

SUBSTANTIAL AMENDMENT

to the 2008 Annual Action Plan for Housing & Community Development in Suburban Monroe County for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)



May 18, 2009



Maggie Brooks
County Executive

Department of Planning & Development
Judy A. Seil, Director
8100 City Place, 50 West Main Street
Rochester, NY 14614
585-753-2000 - Fax: 585-753-2028
www.monroecounty.gov

Application for Federal Assistance SF-424		Version 02
*1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		*2. Type of Application * If Revision, select appropriate letter(s) <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision *Other (Specify) _____
3. Date Received:		4. Applicant Identifier: 16-6002563
5a. Federal Entity Identifier:		*5b. Federal Award Identifier:
State Use Only:		
6. Date Received by State:		7. State Application Identifier:
8. APPLICANT INFORMATION:		
*a. Legal Name: Monroe County		
*b. Employer/Taxpayer Identification Number (EIN/TIN): 16-6002563		*c. Organizational DUNS: 079678249
d. Address:		
*Street 1: <u>50 West Main Street</u>		
Street 2: <u>Suite 8100</u>		
*City: <u>Rochester</u>		
County: <u>Monroe</u>		
*State: <u>New York</u>		
Province: _____		
*Country: <u>USA</u>		
*Zip / Postal Code <u>14614</u>		
e. Organizational Unit:		
Department Name: Department of Planning and Development		Division Name: Community Development Administration
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <u>Ms.</u>		*First Name: <u>Patricia</u>
Middle Name: <u>A.</u>		
*Last Name: <u>Stevens</u>		
Suffix: _____		
Title: <u>Community Development Manager</u>		
Organizational Affiliation:		
*Telephone Number: 585-753-1003		Fax Number: 585-753-2028
*Email: <u>pstevens@monroecounty.gov</u>		

Application for Federal Assistance SF-424

Version 02

***9. Type of Applicant 1: Select Applicant Type:**

B.County Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*Other (Specify)

***10 Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.257 _____

CFDA Title:

Homelessness Prevention and Rapid Re-Housing Program (HPRP) _____

***12 Funding Opportunity Number:**

*Title:

Homelessness Prevention and Rapid Re-Housing Program _____

13. Competition Identification Number:

Title:

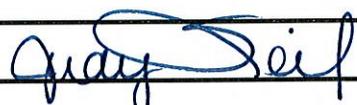
N/A _____

14. Areas Affected by Project (Cities, Counties, States, etc.):

Monroe County

***15. Descriptive Title of Applicant's Project:**

Monroe County Homelessness Prevention and Rapid Re-Housing Program

Application for Federal Assistance SF-424		Version 02
16. Congressional Districts Of:		
*a. Applicant: 25, 26, 28 and 29	*b. Program/Project: 25, 26, 28 and 29	
17. Proposed Project:		
*a. Start Date: 7/2/2009	*b. End Date: 7/1/2012	
18. Estimated Funding (\$):		
*a. Federal	_____	789,300
*b. Applicant	_____	
*c. State	_____	
*d. Local	_____	
*e. Other	_____	
*f. Program Income	_____	
*g. TOTAL	_____	789,300
*19. Is Application Subject to Review By State Under Executive Order 12372 Process?		
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on _____		
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.		
<input checked="" type="checkbox"/> c. Program is not covered by E. O. 12372		
*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)		
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)		
<input checked="" type="checkbox"/> ** I AGREE		
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions		
Authorized Representative:		
Prefix: _____	*First Name: <u>Judy</u> _____	
Middle Name: _____		
*Last Name: <u>Seil</u> _____		
Suffix: _____		
*Title: Director, Department of Planning and Development		
*Telephone Number: 585-753-2000		Fax Number: 585-753-2028
* Email: jseil@monroecounty.gov		
*Signature of Authorized Representative: 		*Date Signed: <u>5/14/09</u>

Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)

Grantees eligible to receive funds under the Homelessness Prevention and Rapid Re-Housing Program (HPRP) are required to complete a substantial amendment to their Consolidated Plan 2008 Action Plan. This form sets forth the required format for this substantial amendment. A completed form is due to HUD within 60 days of the publication of the HUD HPRP notice.

To aid grantees in meeting this submission deadline, the HPRP Notice reduces the requirement for a 30-day public comment period to no less than 12 calendar days for this substantial amendment. With this exception, HPRP grantees are required to follow their Consolidated Plan's citizen participation process, including consultation with the Continuum of Care (CoC) in the appropriate jurisdiction(s). Grantees are also required to coordinate HPRP activities with the CoC's strategies for homeless prevention and ending homelessness. To maximize transparency, HUD strongly recommends that each grantee post its substantial amendment materials on the grantee's official website as the materials are developed.

A complete submission contains the following three documents:

- 1) A signed and dated SF-424,
- 2) A completed form HUD-40119 (this form), and
- 3) Signed and dated General Consolidated Plan and HPRP certifications.

For additional information regarding the HPRP program, visit the HUD Homelessness Resource Exchange (www.hudhre.info). This site will be regularly updated to include HPRP resources developed by HUD and its technical assistance providers.

The information collection requirements contained in this application have been submitted to the Office of Management and Budget (OMB) for review under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501-3520). This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

Information is submitted in accordance with the regulatory authority contained in each program rule. The information will be used to rate applications, determine eligibility, and establish grant amounts.

Public reporting burden for this collection of information is estimated to be 16 hours, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This information is required to obtain benefits. To the extent that any information collected is of a confidential nature, there will be compliance with Privacy Act requirements. However, the substantial amendment to the Consolidated Plan 2008 Action Plan does not request the submission of such information.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)

A. General Information

Grantee Name	Monroe County
Name of Entity or Department Administering Funds	Department of Planning and Development Community Development Administration
HPRP Contact Person (person to answer questions about this amendment and HPRP)	Patricia A. Stevens
Title	Community Development Manager
Address Line 1	CityPlace
Address Line 2	50 West Main Street, Suite 8100
City, State, Zip Code	Rochester, New York 14614
Telephone	585-753-1003
Fax	585-753-2028
Email Address	pstevens@monroecounty.gov
Authorized Official (if different from Contact Person)	Judy A. Seil
Title	Director, Department of Planning & Development
Address Line 1	CityPlace
Address Line 2	50 West Main Street, Suite 8100
City, State, Zip Code	Rochester, New York 14614
Telephone	585-753-2000
Fax	585-753-2028
Email Address	jseil@monroecounty.gov
Web Address where this Form is Posted	www.monroecounty.gov/planning-community.php

Amount Grantee is Eligible to Receive*	\$789,300
Amount Grantee is Requesting	\$789,300

*Amounts are available at <http://www.hud.gov/recovery/homelesspreventrecov.xls>

Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)

B. Citizen Participation and Public Comment

1. Briefly describe how the grantee followed its citizen participation plan regarding this proposed substantial amendment (limit 250 words).

Response:

Monroe County CDA followed its Citizen Participation Plan outlined in the 2005-2010 Strategic Plan, as it applies to Amendments. A public notice regarding the availability of HPRP funds appeared in The Shopping Bag Advertiser (a free county-wide publication) and The Daily Record on April 22, 2009. A public hearing was held on May 13, 2009 at 10:00 a.m. at CityPlace, 50 West Main Street, Rochester, NY to obtain public comments and input on the Substantial Amendment to the Consolidated Plan 2008 Action Plan for the HPRP.

The Substantial Amendment (HUD-40119) has been made available during the public comment period from April 22, 2009 to May 13, 2009 on the Monroe County website at <http://www.monroecounty.gov>, at the public hearing on May 13th, and upon request from the Community Development office.

Special informational meetings have been held with key community stakeholders, including the Rochester/Monroe County Homeless Continuum of Care (CoC) and the Homeless Services Network (HSN); a network consisting of more than 60 organizations providing housing and/or services for the homeless. In addition, on-going public input and guidance was provided to the County CDA from the Rapid Re-Housing (RRH) Committee. The RRH was formed in January 2009 to develop a RRH model that would include a central intake system and common assessment process. The first RRH meeting was conducted on February 26, 2009 to begin our community's joint RRH planning efforts. In anticipation of receipt of HPRP funds, RRH planning efforts have been prioritized to facilitate development of the HPRP model.

2. Provide the appropriate response regarding this substantial amendment by checking one of the following options:
 - Grantee did not receive public comments.
 - Grantee received and accepted all public comments.
 - Grantee received public comments and did not accept one or more of the comments.
3. Provide a summary of the public comments regarding this substantial amendment. Include a summary of any comments or views not accepted and the reasons for non-acceptance.

Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)

Response:

The HPRP 21-day public comment period was conducted from April 22, 2009 through May 13, 2009. A public hearing was held on May 13 to obtain comments. There were no public speakers at the hearing and no comments were received.

C. Distribution and Administration of Funds

Reminder: The HPRP grant will be made by means of a grant agreement executed by HUD and the grantee. The three-year deadline to expend funds begins when HUD signs the grant agreement. Grantees should ensure that sufficient planning is in place to begin to expend funds shortly after grant agreement.

1. Check the process(es) that the grantee plans to use to select subgrantees. Note that a subgrantee is defined as the organization to which the grantee provides HPRP funds.

Competitive Process

Formula Allocation

Other (Specify: _____)

2. Briefly describe the process(es) indicated in question 1 above (limit 250 words).

Response:

Based upon input and guidance from key homeless stakeholders discussed in Section B, data from our CoC Homeless Management Information System (HMIS), and interest received in advance of this Amendment, the County CDA is aware that the demand is strong and continuing to grow to address the homeless needs within our community. Therefore, requests for HPRP funds will likely exceed the amount available to both the County and the City of Rochester.

Given the spending deadlines of HPRP resources, funds will only be obligated to organizations with demonstrated capacity and expertise to address the areas of greatest need and ensure that projects can be implemented efficiently and successfully to address all program requirements within the statutory time frame.

To accomplish this, the County CDA will issue a joint County / City Notice of Funding Availability (NOFA) on or about May 22, 2009 to solicit proposals for specific HPRP projects in the areas of greatest need. Priority will be given to the following eligible activities under HPRP: Short-Term Rental Assistance; Housing Relocation and Stabilization Services; Housing Search Assistance; Mediation or Outreach to Property Owners; Case

Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)

Management; and other Appropriate Homelessness Prevention and Re-Housing Activities. A special joint City/County HPRP Applicant Workshop will be conducted shortly after issuance of the NOFA.

Proposals will be due on or about June 22, 2009. This will allow applicants at least 30 days to develop proposals. The proposal due date is subject to change based upon HUD's approval of this Amendment, and/or changes issued to the Notice or interpretation of the Notice.

3. Briefly describe the process the grantee plans to use, once HUD signs the grant agreement, to allocate funds available to subgrantees by September 30, 2009, as required by the HPRP Notice (limit 250 words).

Response:

A newly formed HPRP Review Committee consisting of representatives from the County CDA and DHS, City, CoC, HSN, United Way of Greater Rochester and RRH will evaluate and rank projects based on specific project evaluation criteria (HPRP Rating Forms) as developed by the Review Committee. The County CDA and City reserve the right to reject any application that does not meet requirements of the statute or fails to demonstrate the capacity to carry out the proposed activities in an effective and timely manner. Further, the County CDA and City reserve the right to contact individual applicants to submit additional information needed to make final determinations.

The anticipated HPRP application due date of June 22, 2009 will become effective after this Substantial Amendment due date, therefore, it is not possible to exactly predict or commit to specific allocations or specific uses of HPRP funds. However, input received from the key stakeholders and discussed in Section B.1. and interest obtained from potential HPRP applicants, helped substantially to establish the priority eligible activities described in the NOFA.

Based on competitive bids, the County CDA and City will award funds and/or enter into legally binding grant agreements with all subgrantees by September 30, 2009.

County and City payments will be made to the subgrantees for eligible HPRP activities. Subgrantees may be paid in advance pursuant to procedures outlined in 24 CFR 84.22 for non-profit organizations and 24 CFR 85.21 for units of government. The County CDA, City, or subgrantees if applicable, will draw down funds at least quarterly from IDIS.

4. Describe the grantee's plan for ensuring the effective and timely use of HPRP grant funds on eligible activities, as outlined in the HPRP Notice. Include a description of how the grantee plans to oversee and monitor the administration and use of its own HPRP funds, as well as those used by its subgrantees (limit 500 words).

Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)

Response:

In accordance with the HPRP Notice (Docket No. FR-5307-N-01), the County CDA must obligate funds to their subgrantees by September 30, 2009. Pursuant to the Recovery Act, the spending plan stipulates that 60 percent of HPRP grant funds must be expended within two years of the date that funds become available to the County and City for obligation (the date that HUD signs the grant agreement), and 100 percent of funds within three years of this date. As discussed in Section C.2, HPRP funds will only be obligated to organizations with demonstrated capacity to address the areas of greatest need and the expertise to ensure that projects can successfully be implemented to address all program requirements within the statutory time frame.

All subgrantee HPRP Agreements will clearly define all spending plan requirements, program implementation plan, and the required performance measures (goals and outcomes) for all proposed prevention, diversion and re-housing activities. Subgrantees will be required to meet or exceed all performance measurements and document that the incomes of households served are at or below 50 percent of the Area Median Income (AMI), and are either homeless or at risk of losing housing as defined in detail in the HPRP Notice.

At a minimum, program oversight and monitoring of subgrantees will be conducted in accordance with the project monitoring and compliance procedures described in the approved County's Consolidated Plan. In addition, the joint City/County/CoC HPRP Team will develop a comprehensive HPRP monitoring reporting form to be consistently utilized during all subgrantee monitoring visits to be conducted by the County CDA and City.

All HPRP subgrantees will be required to participate in the CoC Homeless Management Information System (HMIS) for the purpose of collecting data and reporting on outputs and outcomes as required by HUD pursuant to the required data elements that will be collected in HMIS for HPRP as defined in the revised HMIS Data and Technical Standards.

Respectively, Quarterly Performance Reports will be submitted by the County CDA and City to HUD and will establish systems and internal controls in place that allow for separately tracking and reporting on Recovery Act funds as outlined in Section 1512 of the Recovery Act. An Initial Performance Report, which will cover the period between the grant agreement execution date and September 30, 2009 and which will serve as the first Quarterly Performance Report, will be provided to HUD in a timely manner prior to the October 10, 2009 due date.

D. Collaboration

1. Briefly describe how the grantee plans to collaborate with the local agencies that can serve similar target populations, which received funds under the American

Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)

Recovery and Reinvestment Act of 2009 from other Federal agencies, including the U.S. Departments of Education, Health and Human Services, Homeland Security, and Labor (limit 250 words).

Response:

To most effectively utilize all resources, applicants will be encouraged to establish partnerships to integrate and coordinate programs within our community whenever possible.

The County CDA and City will collaborate with local agencies that can serve similar target populations, which will receive additional funding under the Recovery Act:

- Emergency Food and Shelter Program (EFSP) Local Board: Since EFSP funds can be used for homelessness prevention, the grantee will work with the local board to ensure that this community maximizes funds available for prevention activities, and that organizations funded with EFSP use the same assessment and criteria for potential participants. Local EFSP allocations have not yet been announced.
- Monroe County Department of Human Services (MCDHS): County CDA will meet with MCDHS once the guidelines are made available for the Temporary Assistance to Needy Families (TANF) Emergency Contingency Fund to maximize use of this funding to benefit households at risk of homelessness. MCDHS is an active member of the CoC Team
- Rochester City School District (RCSD): RCSD is very interested in playing an active role in outreach efforts to households that are doubled up and/or at imminent risk of homelessness and would benefit from support to secure and stabilize permanent housing that would be the least disruptive to the education of children in the household.
- Rochester Works, Inc. (RWI): RWI, through its career center operations, will provide employment preparation services to clients of local agencies who are ready to pursue job search and employment. Services include job readiness training, skill development, career counseling, job search workshops and individualized job search assistance.

2. Briefly describe how the grantee plans to collaborate with appropriate Continuum(s) of Care and mainstream resources regarding HPRP activities (limit 250 words).

Response:

The County CDA and City are active members of the CoC Team and are currently involved in planning efforts with the CoC Team and RRH Committee to develop a

Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)

local model to ensure that HPRP funding is used efficiently and effectively to reduce the number of persons entering the homeless system and to move persons residing in emergency or transitional housing to permanent housing as quickly as possible. Homelessness prevention activities and rapid re-housing represent two of the three strategies identified in the CoC's plan to end homelessness "Housing Options for All."

The CoC 's membership is diverse and includes representatives from the providers of mainstream resources, ie. – health care, entitlement benefits, education, etc. There are ten (10) ex-officio members of the CoC Team appointed by private and public organizations that were deemed necessary to have at the table to develop, maintain, monitor and continuously improve a comprehensive, flexible and coordinated continuum of care system of housing and services for homelessness in this community. These members represent: Monroe County Department of Human Services, Monroe County Office of Mental Health, Rochester/Monroe County Youth Bureau, Monroe County Department of Planning and Development - CDA; City of Rochester - Bureau of Youth Services and Department of CD, United Way, Rochester Housing Authority, and the HSN - two elected members from this consortium of more than 60 organizations and individuals providing housing and/or services to homeless populations in this community.

All HUD funded projects in the continuum are required to meet or exceed performance measurements in linking participants with mainstream resources. Planning work groups include both members of the CoC Team and the Homeless Services Network (HSN).

3. Briefly describe how HPRP grant funds for financial assistance and housing relocation/stabilization services will be used in a manner that is consistent with the grantee's Consolidated Plan (limit 250 words).

Response:

HPRP funds will be used in a manner consistent with the Homeless CoC Action Plan described in the Consolidated Plan. With increased resources for prevention services, funds will be used to reduce numbers of homeless persons by diverting at risk households from the homeless system. ESG activities including eviction prevention, utility assistance and other emergency services by experienced providers are included in and consistent with the Consolidated Plan. The allowance for these services is also included in the City's newly adopted Housing Policy.

Rapid Re-Housing funds will provide new resources to move homeless households to permanent housing quickly and provide short-term support services to ensure housing is stabilized, preventing future episodes of homelessness.

We will continue to enhance our Discharge Planning Protocols Policy to reduce the numbers of homeless who have been discharged from publicly fund institutions or

Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)

systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions), in order to prevent such discharge from immediately resulting in homelessness. However, persons who are being imminently discharged into homelessness from such publicly funded institutions are eligible to receive financial assistance or services through HPRP as long as they meet the minimum requirements as discussed in section D.2 of the HPRP Notice.

Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)

E. Estimated Budget Summary

HUD requires the grantee to complete the following table so that participants in the citizen participation process may see the grantee’s preliminary estimated amounts for various HPRP activities. Enter the estimated budget amounts for each activity in the appropriate column and row. The grantee will be required to report actual amounts in subsequent reporting.

HPRP Estimated Budget Summary			
	Homelessness Prevention	Rapid Re-housing	Total Amount Budgeted
Financial Assistance ¹	\$168,263	\$123,393	\$291,656
Housing Relocation and Stabilization Services ²	\$168,263	\$287,916	\$456,179
Subtotal (add previous two rows)	\$336,526	\$411,309	\$747,835
Data Collection and Evaluation ³			\$ 2,000
Administration (up to 5% of allocation)			\$ 39,465
Total HPRP Amount Budgeted⁴			\$789,300

¹Financial assistance includes the following activities as detailed in the HPRP Notice: short-term rental assistance, medium-term rental assistance, security deposits, utility deposits, utility payments, moving cost assistance, and motel or hotel vouchers.

²Housing relocation and stabilization services include the following activities as detailed in the HPRP Notice: case management, outreach, housing search and placement, legal services, mediation, and credit repair.

³Data collection and evaluation includes costs associated with operating HUD-approved homeless management information systems for purposes of collecting unduplicated counts of homeless persons and analyzing patterns of use of HPRP funds.

⁴This amount must match the amount entered in the cell on the table in Section A titled “Amount Grantee is Requesting.”

Homelessness Prevention and Rapid Re-Housing Program (HPRP) Certifications

The HPRP Grantee certifies that:

Consolidated Plan – It is following a current HUD-approved Consolidated Plan or CHAS.

Consistency with Plan – The housing activities to be undertaken with HPRP funds are consistent with the strategic plan.

Confidentiality – It will develop and implement procedures to ensure:

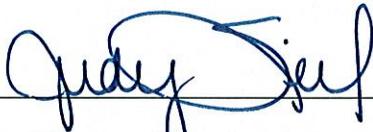
- (1) The confidentiality of records pertaining to any individual provided with assistance; and
- (2) That the address or location of any assisted housing will not be made public, except to the extent that this prohibition contradicts a preexisting privacy policy of the grantee.

Discharge Policy – A certification that the State or jurisdiction has established a policy for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons.

HMIS – It will comply with HUD's standards for participation in a local Homeless Management Information System and the collection and reporting of client-level information.

Signature/Authorized Official

Date



5/14/09

Title: Director, Planning & Development Department

GENERAL CERTIFICATIONS FOR STATE OR LOCAL GOVERNMENT FOR THE HOMELESSNESS PREVENTION AND RAPID RE-HOUSING PROGRAM (HPRP)

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the state, territory, or local government certifies that:

Affirmatively Further Fair Housing -- The state, territory, or local government will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction or state, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Drug-Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about:
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
 - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

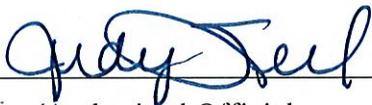
Anti-Lobbying --To the best of the state, territory, or local government's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

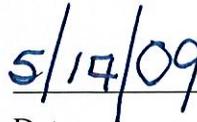
Authority of Local Government, State, or Territory --The submission of the consolidated plan is authorized under state law and local law (as applicable) and the jurisdiction or state possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with Plan -- The housing activities to be undertaken with HPRP funds are consistent with the strategic plan.

Section 3 --It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.



Signature/Authorized Official



Date

Director, Department of Planning & Development

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The Grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Check if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

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NOTICE OF PUBLIC HEARING AND CITIZEN PARTICIPATION
May 13, 2009

Monroe County Department of Planning and Development
Community Development Office
8100 City Place, 50 West Main Street, Rochester, NY 14614 • 585-753-2000
<http://www.monroecounty.gov>

Monroe County expects to receive an estimated allocation of \$3,255,436 from the U.S. Department of Housing and Urban Development (HUD) in 2009. This amount represents estimated allocations for the Community Development Block Grant (CDBG) in the amount of \$1,900,483, the HOME Investment Partnerships Program (HOME) in the amount of \$1,270,337, and the Emergency Shelter Grants in the amount of \$84,616. Estimated program income is \$300,000 for the CDBG program and \$50,000 for the HOME program. When all sources of funds are combined, the total amount available for the CDBG, HOME, and ESG 2009-10 programs is approximately \$3,605,436. Monroe County and HUD are not bound by these estimates as they are subject to final approval of the program submissions by HUD.

Monroe County also expects to receive HUD stimulus allocations created under the American Recovery and Reinvestment Act of 2009 (Recovery Act) for the CDBG program in the amount of \$515,914 and for the Homeless Prevention Fund, referred to as the Homeless Prevention and Rapid Re-Housing Program (HPRP), in the amount of \$789,300. To obtain these funds, Monroe County is required to prepare and submit substantial amendments to its 2008 Annual Action Plan.

Monroe County will hold a public hearing on **Wednesday, May 13, 2009, at 10:00 a.m. in the Third Floor Auditorium (Room 3139) at CityPlace, 50 West Main Street, Rochester, New York 14614.** The purpose of the public hearing is to gather citizen input on the 2009 Annual Action Plan for Housing and Community Development in Suburban Monroe County and the proposed projects described therein. This public hearing is also being held to gather citizen input on the Substantial Amendments to the 2008 Annual Action Plan to enable the County to receive CDBG and HPRP allocations under the Recovery Act.

In accordance with federal regulations, the 2009 Annual Action Plan for Housing and Community Development in Suburban Monroe County presents a strategic framework for community development, affordable housing and home ownership programs in Monroe County. The programs and projects proposed for funding during the period of August 1, 2009 through July 31, 2010 are listed below and detailed in the 2009 Annual Action Plan for Housing and Community Development in Suburban Monroe County.

Proposed CDBG Projects for 2009 Program Year

Public Works and Facility Improvements:

Town of Brighton	South Clinton Avenue Sidewalk Construction	\$ 50,000
Town of Chili	Old Scottsville-Chili Road Sidewalk Construction	\$ 50,000
Town of Clarkson	Clarkson Courthouse ADA Ramp	\$ 40,000
Town of Gates	Memorial Park ADA Improvements	\$ 50,000
Town of Hamlin	Town Hall ADA Parking Improvements	\$ 40,000
Town of Henrietta	Wildbriar Road Sanitary Sewer Replacement	\$ 50,000
Town of Parma	Pedestrian Walkway over Salmon Creek	\$ 30,000
Town of Penfield	Community Center and Town Hall ADA Improvements	\$ 40,000
Town of Perinton	ADA Walkway and Dock on Erie Canal	\$ 35,000
Town of Riga	South Sanford Road Park ADA Basketball Facility	\$ 40,000
Town of Rush	Town Hall ADA Door Openers	\$ 5,000
Town of Sweden	Sweden/Clarkson Community Center Entrance Imp.	\$ 35,000
Town of Webster	Arboretum Building ADA Improvements	\$ 40,000
Town of Wheatland	Town Hall ADA Improvements	\$ 30,000
Village of Brockport	Façade Improvement Program	\$ 40,000
Village of Fairport	High Street Improvements	\$ 35,000
Village of Honeoye Falls	Village Hall ADA Improvements	\$ 25,823
Village of Pittsford	Schoen Place ADA Improvements Phase IV	\$ 30,000
Village of Scottsville	Browns Avenue Sanitary Sewer Repair	\$ 40,000
Village of Webster	Donovan Park Water Main Phase II	\$ 40,000
Monroe County Parks	ADA Accessible Play Area and Nature Trail in Abraham Lincoln Park	\$ 50,000

Community-Wide Public Services:

Affordable Monroe – Housing Marketing & Outreach (Anne S. Peterson, Consultant)	\$ 13,700
Fair Housing (Special Needs) Education Services (Anne S. Peterson, Consultant)	\$ 5,500
Fair Housing Landlord/Tenant Counseling (The Housing Council)	\$ 80,000
Foreclosure Prevention & HECM Counseling (The Housing Council)	\$ 90,000
Home Ownership Program (Providence Housing)	\$ 87,000
Neighborhood Enrichment Program (Partners in Community Development)	\$ 10,000
Safety and Security for Seniors Program (Lifespan)	\$ 50,000
SportsNet Vehicle (Rochester Rehabilitation Center)	\$ 17,261
Working Wardrobe Program Expansion (Volunteers of America)	\$ 7,100

Community-Wide Housing Programs:

Home Improvement Grant Program	\$300,000
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Community-Wide Economic Development:

Economic Development Revolving Grant & Loan Fund	\$254,002
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Planning and Capacity Building Activities:

General Administration	\$431,337
Economic Development Technical Assistance	\$ 25,000
Planning Division Technical Assistance	\$ 25,000
Revitalization Planning Study (Clinton Street, Village of Brockport)	\$ 8,760

Proposed HOME Categories for 2009 Program Year

Home Improvement Grant Program	\$210,258
First Time Home Buyer Program Direct Subsidy	\$200,000
Rental Housing Development (non-CHDO)	\$587,495
Rental Housing Development (CHDO)	\$190,551
General Administration	\$132,033

Proposed ESG Allocation for 2009 Program Year

Emergency Shelter Grant Program	\$ 84,616
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Monroe County welcomes comments and inquiries in regard to the proposed CDBG, HOME, and ESG projects and program categories and the CDBG Recovery Act allocation. Interested citizens are invited to attend the public hearing and/or to submit comments to the above address by June 5, 2009. All comments will be reviewed and responded to prior to submission of the 2009 Annual Action Plan to HUD on June 16, 2009.

Copies of the 2009 Annual Action Plan for Housing and Community Development in Suburban Monroe County are also available at <http://www.monroecounty.gov> and the above address.

Homeless Prevention and Rapid Re-Housing Program (HPRP)

The Substantial Amendment (HUD-40119) to the Consolidated Plan 2008 Action Plan for the Homeless Prevention and Rapid Re-Housing Program (HPRP) will be available during a public review and comment period from April 22 to May 13, 2009 on the Monroe County website at <http://www.monroecounty.gov>. Copies of this document will also be available for review at the May 13, 2009 public hearing and at the Department of Planning and Development, CityPlace, 50 West Main Street, Suite 8100, Rochester, New York 14614. All comments will be reviewed and responded to prior to submission of the substantial amendment to HUD on May 18, 2009. The estimated budget for Monroe County's HPRP allocation is shown below.

HPRP Estimated Budget Summary			
	Homelessness Prevention	Rapid Re-Housing	Total Amount Budgeted
Financial Assistance	\$168,263	\$123,393	\$291,656
Housing Relocation and Stabilization Services	\$168,263	\$287,916	\$456,179
Subtotal	\$336,526	\$411,309	\$747,835
Data Collection and Evaluation			\$2,000
Administration (up to 5% of allocation)			\$39,465
Total HPRP Amount Budgeted			\$789,300