

# 2019 Annual Action Plan

For Housing & Community Development  
in Suburban Monroe County



**CHERYL DINOLFO**  
COUNTY EXECUTIVE

## Home Improvement Program Project



Before



After

## Affordable Housing Projects



CDS Monarch II, Webster



Heritage Gardens, Henrietta

June 14, 2019

Jeffrey Adair - Director • Department of Planning & Development  
8100 City Place, 50 West Main Street • Rochester, NY 14614  
Phone: (585) 753-2000 • Fax: (585) 753-2028 • [www.monroecounty.gov](http://www.monroecounty.gov)

## Executive Summary

### AP-05 Executive Summary - 91.200(c), 91.220(b)

#### 1. Introduction

Two thousand and nineteen marks the forty-fourth year that Monroe County has received an allocation from the Community Development Block Grant (CDBG) program. It also marks the twenty-eighth year that Monroe County has been a participating jurisdiction in the Home Investment Partnerships Program (HOME). Combined with the Emergency Solutions Grant (ESG) program and program income, these programs help Monroe County channel approximately \$3 million annually into the suburban towns and villages that comprise the Monroe County Consolidated Plan Consortium in support of housing, public works, economic development, and community services programs that primarily benefit low to moderate income households, seniors, and persons with special needs.

Funding for these programs is provided through the U.S. Department of Housing and Urban Development (HUD). In order to remain compliant with the Consolidated Planning regulations, which cover these federal allocations, Monroe County is required to complete an Annual Action Plan for the 2019-2020 program year. The Plan addresses projects funded on an annual basis and new program initiatives.

The CDBG, HOME, and ESG program funds will be directed toward accomplishing the following primary program goals and objectives during the 2019-20 Annual Action Plan:

- Develop affordable housing and home ownership opportunities for all low to moderate income residents, with a priority focus on the development of housing in the towns and villages that do not currently provide affordable units that have been financed, in part, through the CDBG and/or HOME program
- Repair and conserve existing housing stock
- Improve essential utility infrastructure in lower income areas
- Provide job training and economic development for low to moderate income persons and persons with special needs
- Provide essential public services, particularly those that promote home ownership, fair housing, and housing stability
- Revitalize deteriorated neighborhoods

The County's key strategies were developed to meet CDBG, HOME, and ESG goals to assist low to moderate income families, elderly, and persons with special needs.

## **2. Summarize the objectives and outcomes identified in the Plan**

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The Needs Assessment of Monroe County Strategic Plan identified the following needs:

- Develop affordable housing and home ownership opportunities
- Repair and conserve existing housing stock
- Improve access to and quality of public facilities
- Provide job training and economic development opportunities
- Provide essential public services, particularly those that promote home ownership, fair housing, and housing stability
- Revitalize deteriorated neighborhoods
- Provide a continuum of housing and services for homeless persons with a focus on moving people to permanent housing as quickly as possible and increasing self-sufficiency

## **3. Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

Community Development Block Grant (CDBG), Home Investment Partnerships (HOME), and Emergency Solutions Grants (ESG) program funds have been invested into many programs and projects that have had a very positive effect on the individuals and communities served. The projects carried forward our primary goals and objectives in housing, economic development, community services, and public works/facilities improvements. Last year, Monroe County expended \$919,751 through the CDBG and HOME funded Home Improvement Program, assisting 60 (CDBG:41; HOME:19) low-moderate income homeowners make essential repairs that allowed them to stay in their homes.

Improvements to neighborhood and utility infrastructure, which have deteriorated due to age are a high priority for Monroe County because of their importance to preserving neighborhoods. This funding helps local governments undertake projects that they would otherwise not be able to do because of funding limitations. Thirteen (13) public works/facilities projects totaling \$549,773 were completed during the 2017-18 program year.

The Housing Council at PathStone administers the Expanding Housing Opportunity program, Foreclosure Prevention and Home Equity Conversion Mortgage (HECM) Counseling Program, and the Monroe

County Homeownership Program, which helps expand housing opportunities for low to moderate income residents.

Monroe County contracted with LifeSpan to assist low-moderate income senior homeowners to make safety improvements and security modifications to their homes so they can continue to reside independently. LifeSpan also runs the “Don’t Be Scammed” prevention, intervention, and education programs where citizens are trained in the identification and avoidance of financial scams.

#### **4. Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

Monroe County holds two (2) public hearings annually at convenient, fully accessible locations. Bilingual interpreters and other accommodations are provided upon request to translate policies and program requirements for non-English speaking and/or interpret for Deaf residents. The first hearing was held on January 10, 2019 in the Town of Chili Main Meeting Room located at 3333 Chili Avenue. The meeting was held to notify the public of the amount of CDBG, HOME, and ESG funds that Monroe County expects to receive from HUD. There were 15 attendees at the Public Hearing and 17 attendees at the Steering Committee meeting. This year, a special meeting was held for municipal representatives intending to apply for CDBG funds to review CDBG program requirements, project readiness, and environmental review process, including Monroe County’s utilization of the HUD Environmental Review Online System (HEROS) program. This meeting was held on January 15, 2019. 22 attendees from 14 municipalities attended this meeting.

The second public hearing on the 2019-20 Annual Action Plan, held on May 9, 2019 encourages the public to review and comment on the Draft Annual Action Plan. The 30-day comment period will be from May 9-June 10, 2019. The second public hearing was held in the Community Room at the Village of Webster, 28 South Avenue. There were four (4) attendees at the public hearing and four (4) attendees at the Steering Committee meeting.

#### **5. Summary of public comments**

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

One comment was received during the public comment period. See attached Citizen Participation section of the Plan.

#### **6. Summary of comments or views not accepted and the reasons for not accepting them**

No comments received were rejected at the public hearing or during the public comment period: May 9th - June 10, 2019

## **7. Summary**

All CDBG, HOME, and ESG funds, including program income will be used to meet goals included in the Annual Action Plan to improve the quality of life for low to moderate-income families, seniors, and persons with special needs in Monroe County by providing affordable housing and home ownership opportunities, repairing and conserving existing housing stock, financing public infrastructure and infrastructure improvements, creating and retaining jobs, and funding public services that stabilize and enhances living conditions.

**PR-05 Lead & Responsible Agencies - 91.200(b)**

**1. Agency/entity responsible for preparing/administering the Consolidated Plan**

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	MONROE COUNTY	Department of Planning and Development
HOME Administrator	MONROE COUNTY	Department of Planning and Development
ESG Administrator	MONROE COUNTY	Department of Planning and Development

**Table 1 – Responsible Agencies**

**Narrative**

Monroe County Department of Planning & Development, Community Development is responsible for preparing and submitting the Annual Action Plan and for administering Community Development Block Grant (CDBG), Home Investment Partnership Program (HOME), and Emergency Solutions Grant (ESG) funding.

**Consolidated Plan Public Contact Information**

Chanh Quach, Community Development Manager

Department of Planning & Development

50 West Main Street, Suite 8100

Rochester, New York 14614

## **AP-10 Consultation - 91.100, 91.200(b), 91.215(l)**

### **1. Introduction**

The Monroe County Planning and Development Department consists of the Economic Development, Planning, Procurement Technical Assistance, and Workforce Development including Community Development (CD). The five (5) divisions coordinate and collaborate on many efforts. CD works with local town and village governments, as well as many agencies, organizations, and groups to determine the needs of the community. CD staff meets periodically with local developers and the Fairport Urban Renewal Agency (FURA) to coordinate the planning of housing projects and federal grant applications. The County, City of Rochester, and RHA continue to explore ways to enhance our Section 3 efforts.

**Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).**

Monroe County CD staff meets periodically with representatives from the City of Rochester, the Rochester Housing Authority (RHA), the Fairport Urban Renewal Agency (FURA), and the Monroe County Department of Human Services (MCDHS) Employment Assistance Division to review options for increasing coordination of Section 3 participants and businesses and exploring ways to enhance our Section 3 efforts for Monroe County funded projects, including partnerships in training, and employment opportunities.

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

The County actively participates in the Rochester/Monroe County Homeless Continuum of Care (CoC). The CoC coordinates the allocation of federal funding to facilities and programs within the CoC's service area. County staff consult on a regular basis with the organizations that participate in the CoC and the Homeless Services Network (HSN). County staff serves on the Steering Committee of HSN. County staff also serves on the Chronically Homeless Work Group that plans, coordinates, and implements activities and strategies for servicing the chronically homeless and sits on the CoC Project Selection and Monitoring Committee. CoC staff participate in reviewing applications for Emergency Solutions Grant applications submitted annually to the County and City of Rochester as part of the joint County and City Request for Proposals (RFP).

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

County staff work closely with the CoC and other agencies to develop funding applications and determine the best use of ESG funds. By working with the CoC, staff are involved in improving coordination among agencies, facilitating data collection through HMIS and allocating funds. The CoC Executive Director serves on the ESG proposal review team along with staff from Monroe County, the City of Rochester, and community representatives. The County and City release a joint RFP for ESG funding, which has increased the efficiency and coordination of funding in the community.

CoC Board has 21 ex-officio members representing public and private organizations deemed necessary to develop, maintain, monitor, and continuously improve a comprehensive, coordinated, and flexible system of homeless housing and support services. They represent Monroe County, City of Rochester, Town of Greece, Rochester Housing Authority, Homeless Services Network – the CoC’s Stakeholder Group, formerly homeless community members, a domestic violence advocacy organization member, and a health services representative. In addition, there are elected general members from both public and private groups from the community (business sector, legal field, faith-based organizations, veteran organizations, schools, law enforcement, criminal justice, and advocacy groups). The diverse team is the primary planning and coordinating body for homeless housing and services in this community. CoC is now a 501(c)(3) not-for-profit organization and is now the Collaborative Applicant for HUD CoC funding and the HMIS Lead Agency. Long term goals include becoming a Unified Funding agency and continually striving to achieve the status of a High Performing Community. The CoC has been a long-time participant in the ESG planning process for the County and the City. The County and City are represented on the Rating and Ranking Committee of the CoC to review applications submitted annually for HUD CoC Homeless Program funding. ESG community priorities and planning have been discussed at both CoC and HSN meetings, especially in terms of policy priorities, the efficient use of resources and community objectives. The CoC staff also analyzes ESG proposals for fidelity to the requirements and additional information set forth by HUD via policy briefs. ESG funding continues to be a critical issue for local homeless service providers. Facilitated discussions of ESG community priorities are held at the HSN meetings; CoC members and community stakeholders are strongly encouraged to participate.

There are several new initiatives being implemented to reach the goal of ending homelessness:

1. Monroe County Office of Mental Health (OMH) has been awarded a Substance Abuse and Mental Health Services Administration (SAMHSA) grant which will provide increased outreach and mental health services for homeless individuals with serious and persistent mental health issues.
2. Monroe County is one of two counties selected by NYS to pilot the Home Stability Support program which will provide 40 homeless households exiting the shelter system to permanent housing with an enhanced rental allowance of 85% of FMR for 5 years. This is a significant increase from the current shelter allowance. The Project will be evaluated to determine if the rental subsidy is successful in keeping households stably housed.



3. The YWCA, Willow Domestic Violence Center and LAWNY were awarded funding for a Domestic Violence Rapid Re-Housing bonus project in the FY2018 CoC Funding and will begin operation in late summer of 2019.
4. Monroe County DHS has recently begun contracting with MC Collaborative to provide case management services to homeless persons who have been placed in hotels to assist them in moving to permanent housig as quickly as possible. (continued below)

**2. Agencies, groups, organizations and others who participated in the process and consultations**

**Table 2 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	Rochester Housing Authority
	<b>Agency/Group/Organization Type</b>	Housing PHA Services - Housing Services-Employment Service-Fair Housing Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs Section 3 Plan
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Monroe County works with the Rochester Housing Authority (RHA) on a regular basis to discuss the consolidated plan and coordination of services. The RHA is also a collaborative partner in the County, City, and RHA Section 3 efforts
2	<b>Agency/Group/Organization</b>	Fairport Urban Renewal Agency
	<b>Agency/Group/Organization Type</b>	PHA Services - Housing Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The Fairport Urban Renewal Agency administers the voucher program for eastern Monroe County including the towns of Webster, Irondequoit, Penfield, Pittsford, East Rochester, Brighton, Perinton, Henrietta, Mendon, and Rush

3	<b>Agency/Group/Organization</b>	Monroe County Youth Bureau
	<b>Agency/Group/Organization Type</b>	Services-Children Child Welfare Agency Other government - County
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Monroe County CD works with the Youth Bureau to discuss how it can play a role in implementation and collaboration on activities, including employment related to youth in Monroe County
4	<b>Agency/Group/Organization</b>	Monroe County Department of Human Services
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-Elderly Persons Services-homeless Services-Employment Service-Fair Housing Other government - County Other government - Local

	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Monroe County CD and DHS work together on services for low income individuals, including community-wide coordinated entry, Rapid Rehousing partnership, and employment assistance programs.
5	<b>Agency/Group/Organization</b>	Office for People with Developmental Disabilities
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Persons with Disabilities Services-Employment Service-Fair Housing Publicly Funded Institution/System of Care
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs Non-Homeless Special Needs Market Analysis

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Monroe County works with OPWDD to develop strategies to improve conditions and increase availability of decent affordable housing for individuals with special needs in Monroe County. Needs assessment for housing and supportive services are determined on an individualized basis. In conjunction with the OPWDD service provider network, individuals, their circle of support, and service coordinators apply person centered techniques and tools to assess and determine the level and types of services that will best support an individual in their housing of choice.
6	<b>Agency/Group/Organization</b>	Monroe County Department of Health
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Health Health Agency Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Lead-based Paint Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Monroe County Department of Health is the community leader in working to reduce Lead Based Paint poisoning through education, inspection, and remediation
7	<b>Agency/Group/Organization</b>	Monroe County Department of Mental Health
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Elderly Persons Services-homeless Services-Health Service-Fair Housing

	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Office of Mental Health is an active member of the CoC Chronically Homeless workgroup in providing assistance to the chronically homeless.
8	<b>Agency/Group/Organization</b>	The Rochester/Monroe County Homeless Continuum of Care
	<b>Agency/Group/Organization Type</b>	Housing PHA Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment Service-Fair Housing Services - Victims Planning organization

<p><b>What section of the Plan was addressed by Consultation?</b></p>	<p>Housing Need Assessment  Public Housing Needs  Homeless Needs - Chronically homeless  Homeless Needs - Families with children  Homelessness Needs - Veterans  Homelessness Needs - Unaccompanied youth  Homelessness Strategy  Non-Homeless Special Needs  Anti-poverty Strategy</p>
<p><b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b></p>	<p>Monroe County is actively involved with the Rochester/Monroe County Homeless Continuum of Care, Inc (CoC). The CoC meetings are held to discuss homeless data and program delivery. The coordination between the County and the CoC will help improve conditions and reduce the number of unsheltered homeless individuals in Monroe County, including increasing coordination of ESG to strengthen efforts, enhancing services, reducing duplication of efforts, and maximizing resources.</p>

**Identify any Agency Types not consulted and provide rationale for not consulting**

Agency types specifically related to HOPWA were not consulted because Monroe County does not receive funding for this program.

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Rochester/Monroe County Homeless Continuum of Care, Inc	

**Table 3 – Other local / regional / federal planning efforts**

**Narrative**

(continued from above) Coordinated Entry (CE) workgroup continues to meet. CE is in its second year of full implementation and is continually being improved. CE is working and is successful in ensuring that homeless persons are directed to the programs that will best meet their needs; and that homeless persons with the highest vulnerability are prioritized for placement. CE has increased the number of homeless households moving to RRH and PSH programs by 34%.



## **AP-12 Participation - 91.401, 91.105, 91.200(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting**

Monroe County holds two (2) public hearings and steering committee meetings annually at convenient and accessible locations. Bilingual interpreters and other accommodations are provided upon request to translate policies and program requirements for non-English speaking residents and/or Deaf and Hard and Hearing residents. The first hearing and committee meeting in January is held to notify the public and committee members of the amount of CDBG, HOME, and ESG funds that Monroe County expects to receive from HUD. The second public hearing, held in May, encourages the public to review and comment on the Annual Action Plan. Commenters receive a response from the County within 30 days of submitted comments. The Final Plan is not submitted to HUD without consideration and/or implementation of concerns and suggestions from citizens, public agencies, and other interested parties.

The Steering Committee is comprised of 17 towns and 10 villages, who are members of the Monroe County's consortium. The Steering Committee is a vital consultant on the identification of municipal needs of low/mod income families, senior citizens, individuals with developmental and intellectual disabilities, and homeless persons. The Steering Committee also provides consultation in the formulation of objectives and strategies, and the evaluation of the Plan and program assessment.

## Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Hearing	<p>Minorities</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	15 participants attended the January Public Hearing in the town of Chili		All comments received were accepted	
2	Newspaper Ad	<p>Minorities</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	There were no response from the January newspaper ad posted throughout Monroe County		All comments received were accepted	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
3	Direct mail	<p>Minorities</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p> <p>Service providers</p>	See Citizen Participation Section of the Plan	One comment was received during the May direct mailing public comment period	All comments received were accepted	
4	Internet Outreach	<p>Minorities</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	There were no responses from the January or May comment period	There were no comments received	All comments received were accepted	

**Table 4 – Citizen Participation Outreach**

## Expected Resources

### AP-15 Expected Resources - 91.420(b), 91.220(c)(1,2)

#### Introduction

The 2019-20 funding from the U.S. Department of Housing and Urban Development allows Monroe County to directly fund the programs and projects proposed in the Annual Action Plan. The Community Development Block Grant (CDBG), Home Investment Partnerships program (HOME), and Emergency Solutions Grant (ESG) funds and our ability to leverage additional funds from other local and private resources, including program income received from loans, allows Monroe County to meet our goals in priorities addressed in the Annual Action Plan to

serve our extremely low, low, and moderate income residents in suburban Monroe County.

**Anticipated Resources**

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	1,837,559	12,000	0	1,849,559	0	Community Development Block Grant (CDBG) program strategies are developed to meet CDBG goals to improve access to and quality of public facilities; provide essential public services, particularly those that promote home ownership; fair housing; and housing stability; provide job training; and economic development opportunities for low to moderate-income persons and persons with special needs.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	1,058,488	88,134	0	1,146,622	0	Home Investment Partnership (HOME) program funds provide federal funds for the development of affordable housing units, revitalize deteriorated neighborhoods; repair and conserve existing housing stock; and home ownership assistance for first time homebuyers

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	153,145	0	0	153,145	0	Emergency Solutions Grants (ESG) funds provide essential services to homeless individuals to those at risk of homelessness.
Other	public - federal	Other	2,586,606	0	0	2,586,606	2,586,606	Funding authorized by the Coronavirus Aid, Relief, and Economic Security Act (CARES Act) to be used to prevent, prepare for, and respond to coronavirus pandemic (COVID-19)

Table 2 - Expected Resources – Priority Table

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

CDBG, HOME, and ESG sources leveraged additional resources which are wide, including investor equity, homebuyer down payment, private and other Federal and State funds. Match contributions for both HOME and ESG will be made from non-federal resource. The match contributions for HOME will total no less than 25% of the funds drawn from the County's HOME account each fiscal year. Monroe County maintains records demonstrating compliance with HOME match requirements, including a running log and project records documenting the type and amount of match contributions by project. The HOME program attracts substantial private and other public dollars into its funded projects. Match contributions from ESG will be a one-to-one (1:1) cash and/or in-kind services match.



**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

Monroe County does not have publically owned land or property located within the jurisdiction where HUD funding will be used.

**Discussion**

## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

#### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Improve access to and quality of public facilities	2015	2019	Public Facilities	Monroe County Service Area block groups Brighton Gates Henrietta Sweden Brockport East Rochester Fairport Hilton Webster	Public Facilities	CDBG: \$546,488	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 13515 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
2	Repair and conserve existing housing stock	2015	2019	Affordable Housing	Monroe County Service Area block groups Brighton Gates Henrietta Ogden Parma Penfield Perinton Rush Sweden Brockport Churchville East Rochester Fairport Hilton Pittsford Scottsville Webster	Housing Rehabilitation	CDBG: \$429,434 HOME: \$341,281	Homeowner Housing Rehabilitated: 70 Household Housing Unit
3	Provide Economic Development and Job Training	2015	2019	Non-Homeless Special Needs Non-Housing Community Development	Monroe County Service Area block groups	Business / Jobs Development (Economic Development)	CDBG: \$200,000	Jobs created/retained: 10 Jobs Businesses assisted: 2 Businesses Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
4	Provide essential public services	2015	2019	Homeless Non-Homeless Special Needs	Monroe County Service Area block groups Brighton Gates Henrietta Ogden Parma Penfield Perinton Rush Sweden Brockport Churchville East Rochester Fairport Hilton Pittsford Scottsville Webster	Public Services (Community Development)	CDBG: \$258,725 ESG: \$141,659	Public service activities other than Low/Moderate Income Housing Benefit: 2583 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
5	Develop Affordable Housing Opportunities	2015	2019	Affordable Housing	Monroe County Service Area block groups Brighton Gates Henrietta Brockport	Affordable Housing	HOME: \$600,679	Rental units constructed: 17 Household Housing Unit Homeowner Housing Added: 5 Household Housing Unit Direct Financial Assistance to Homebuyers: 18 Households Assisted
6	Provide planning and administration services	2015	2019	Non-Housing Community Development Planning / Administration	Monroe County Service Area block groups	Planning / Administration	CDBG: \$414,912 HOME: \$114,662 ESG: \$11,486	Other: 1 Other

**Table 3 – Goals Summary**

### Goal Descriptions

1	<b>Goal Name</b>	Improve access to and quality of public facilities
	<b>Goal Description</b>	
2	<b>Goal Name</b>	Repair and conserve existing housing stock
	<b>Goal Description</b>	

3	<b>Goal Name</b>	Provide Economic Development and Job Training
	<b>Goal Description</b>	
4	<b>Goal Name</b>	Provide essential public services
	<b>Goal Description</b>	
5	<b>Goal Name</b>	Develop Affordable Housing Opportunities
	<b>Goal Description</b>	
6	<b>Goal Name</b>	Provide planning and administration services
	<b>Goal Description</b>	

## AP-35 Projects - 91.420, 91.220(d)

### Introduction

Monroe County proposes to fund the following projects during the 2019-20 program year. Funds will be used to repair and conserve existing housing stock; improve access to and quality of public facilities; provide essential infrastructure in lower income areas; provide job training and economic development opportunities for low-moderate income persons and persons with special needs; provide essential public services, particularly to those that promote home ownership, fair housing, and housing stability and revitalize deteriorated neighborhoods. Funds will also be used to develop affordable housing, home ownership opportunities for all low-moderate income residents, with a priority focus on the development of housing in towns and villages that do not currently have affordable housing. ESG funds will be used to fund shelter operations and community wide coordinated entry, homelessness prevention, and rapid rehousing services.

#	Project Name
1	Sidewalk Installation, Brighton
2	Gates Gutter replacement
3	Sanitary Sewer Repairs, Henrietta
4	Sidewalk extension, Sweden
5	Gutter replacement, Brockport
6	Road rehab and resurfacing, East Rochester
7	Road rehab and resurfacing, Fairport
8	ADA Pedestrian Crossing, Hilton
9	Sidewalk replacement, Webster Village
10	LifeSpan Safety and Security for Seniors
11	Lifetime Assistance Classified Shredding & Scanning Services
12	ABVI Goodwill manufacturing operations
13	Housing Council Expanding Housing Opportunities Program
14	Housing Council Foreclosure Prevention and HECM Counseling
15	Housing Council Home Ownership program
16	Home Improvement Program
17	CDBG ED Loan Program
18	Community Development Division Administration
19	Program delivery - Home Improvement Program administration
20	Program delivery - Planning Services to Community Development
21	Program delivery - ED loan and grant program administration
22	First-Time Homebuyer
23	Affordable Housing Development
24	Acquisition Rehab Resale - CHDO
25	HESG

#	Project Name
26	ESG20 Monroe County
33	CV-ABVI purchase of service and licenses for crisis hotline
34	CV-Catholic Family Center Rental Assistance
35	CV-Housing Council at PathStone Training and Safety
36	CV-LifeSpan Safety and Security for Seniors
37	CV-Partners in Community Development
38	CV-Volunteers of America
39	CV-Economic Development Loan/Grant fund
40	CV-Administration

**Table 4 – Project Information**

**Describe the reasons for allocation priorities and any obstacles to addressing underserved needs**

All projects and programs are funded based on HUD and Monroe County established criteria. Funded projects and programs will meet the needs of low to moderate income residents in the low/mod census areas. Monroe County will be using Administration funds for a consultant to assist with the 2020-2025 Five (5) Year Consolidated Plan.



**AP-38 Project Summary**  
**Project Summary Information**

1	<b>Project Name</b>	Sidewalk Installation, Brighton
	<b>Target Area</b>	Brighton
	<b>Goals Supported</b>	Improve access to and quality of public facilities
	<b>Needs Addressed</b>	Public Facilities
	<b>Funding</b>	CDBG: \$65,000
	<b>Description</b>	Install 1,250' of 5' sidewalks
	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	1,100 people can benefit from this. Low mod census tract 130.02 BG 4
	<b>Location Description</b>	French Road
	<b>Planned Activities</b>	Install 1,250' of 5' sidewalks
2	<b>Project Name</b>	Gates Gutter replacement
	<b>Target Area</b>	Gates
	<b>Goals Supported</b>	Improve access to and quality of public facilities
	<b>Needs Addressed</b>	Public Facilities
	<b>Funding</b>	CDBG: \$50,000
	<b>Description</b>	Roadside gutter replacement, 1,160' on Nisa Lane
	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	2965 people will benefit from this. Low mod census tract 143.01 BG 1
	<b>Location Description</b>	Nisa Lane
	<b>Planned Activities</b>	Gutter replacement of 1,160' on Nisa Lane
3	<b>Project Name</b>	Sanitary Sewer Repairs, Henrietta
	<b>Target Area</b>	Henrietta
	<b>Goals Supported</b>	Improve access to and quality of public facilities
	<b>Needs Addressed</b>	Public Facilities
	<b>Funding</b>	CDBG: \$45,300
	<b>Description</b>	Sanitary sewer slip lining, 950' on Wildbriar Road

	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	3,205 people can benefit from this. Low mod census tract 131.04 BG 1
	<b>Location Description</b>	Wildbriar Road
	<b>Planned Activities</b>	Sanitary sewer slip lining
4	<b>Project Name</b>	Sidewalk extension, Sweden
	<b>Target Area</b>	Sweden
	<b>Goals Supported</b>	Improve access to and quality of public facilities
	<b>Needs Addressed</b>	Public Facilities
	<b>Funding</b>	CDBG: \$68,000
	<b>Description</b>	Holley Street sidewalk - install 3,000 of 5' sidewalk
	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	495 people can benefit from this. Low mod census tract 153.01 BG 2
	<b>Location Description</b>	Holley Street sidewalk
	<b>Planned Activities</b>	Sidewalk construction
5	<b>Project Name</b>	Gutter replacement, Brockport
	<b>Target Area</b>	Brockport
	<b>Goals Supported</b>	Improve access to and quality of public facilities
	<b>Needs Addressed</b>	Public Facilities
	<b>Funding</b>	CDBG: \$75,000
	<b>Description</b>	Havenwood Drive and Meadow Lane reconstruction, 1,300' of curbing and 1,920' of roadside gutters
	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	1,195 people can benefit from this. Low mod census tract 153.04 BG 1
	<b>Location Description</b>	Havenwood Drive and Meadow Lane

	<b>Planned Activities</b>	Curbing and gutter replacement
<b>6</b>	<b>Project Name</b>	Road rehab and resurfacing, East Rochester
	<b>Target Area</b>	East Rochester
	<b>Goals Supported</b>	Improve access to and quality of public facilities
	<b>Needs Addressed</b>	Public Facilities
	<b>Funding</b>	CDBG: \$50,000
	<b>Description</b>	Mill and Pave, 100 and 200 block of West Commercial Street
	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	695 people can benefit from this. Low mod census tract 121.00 BG 2
	<b>Location Description</b>	West Commercial Street
	<b>Planned Activities</b>	Mill and pave
<b>7</b>	<b>Project Name</b>	Road rehab and resurfacing, Fairport
	<b>Target Area</b>	Fairport
	<b>Goals Supported</b>	Improve access to and quality of public facilities
	<b>Needs Addressed</b>	Public Facilities
	<b>Funding</b>	CDBG: \$67,085
	<b>Description</b>	Monroe St, Hillsborough St, and Suffolk St road resurfacing
	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	660 people can benefit from this. Low mod census tract 118.00 BG 2
	<b>Location Description</b>	Monroe St., Hillsborough St., and Suffolk St
	<b>Planned Activities</b>	Road resurfacing
<b>8</b>	<b>Project Name</b>	ADA Pedestrian Crossing, Hilton
	<b>Target Area</b>	Hilton
	<b>Goals Supported</b>	Improve access to and quality of public facilities
	<b>Needs Addressed</b>	Public Facilities
	<b>Funding</b>	CDBG: \$38,725

	<b>Description</b>	Pedestrian crossings, Southwest Quadrant, 17 sidewalk crossings
	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	915 people can benefit from this. Low mod census tract 148.04 BG 4
	<b>Location Description</b>	Gursslin Lane, Stothard Drive, Atchinson Drive, Leith Lane, Shirleen Drive
	<b>Planned Activities</b>	Installation of curb ramps and detectable warnings on sidewalks and street crossings
9	<b>Project Name</b>	Sidewalk replacement, Webster Village
	<b>Target Area</b>	Webster
	<b>Goals Supported</b>	Improve access to and quality of public facilities
	<b>Needs Addressed</b>	Public Facilities
	<b>Funding</b>	CDBG: \$87,378
	<b>Description</b>	East Main Street sidewalk replacement, 2,480' of 5' sidewalks
	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	2,285 people can benefit from this. Low mod census tract 114.00 BG 1
	<b>Location Description</b>	East Main Street, Village of Webster
	<b>Planned Activities</b>	Replace approx 2,480 LF of failing sidewalks
	<b>Project Name</b>	LifeSpan Safety and Security for Seniors

<b>10</b>	<b>Target Area</b>	Monroe County Service Area block groups Brighton Gates Henrietta Ogden Parma Penfield Perinton Rush Sweden Brockport Churchville East Rochester Fairport Hilton Pittsford Scottsville Webster
	<b>Goals Supported</b>	Provide essential public services
	<b>Needs Addressed</b>	Public Services (Community Development)
	<b>Funding</b>	CDBG: \$43,792
	<b>Description</b>	Provide in-home safety assessments and minor home safety modifications. Provide consultations to prevent and/or resolve fraud and scam cases
	<b>Target Date</b>	7/31/2020
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Provide 375 in-home safety assessments and minor home safety modifications. Provide 60 consultations to prevent and/or resolve fraud and scam cases
	<b>Location Description</b>	All suburban areas throughout Monroe County
	<b>Planned Activities</b>	Prevention assessments, home modifications, educational presentations, community outreach, scam and fraud consultations.
<b>11</b>	<b>Project Name</b>	Lifetime Assistance Classified Shredding & Scanning Services
	<b>Target Area</b>	Monroe County Service Area block groups
	<b>Goals Supported</b>	Provide Economic Development and Job Training Provide essential public services

	<b>Needs Addressed</b>	Public Services (Community Development) Business / Jobs Development (Economic Development)
	<b>Funding</b>	CDBG: \$26,280
	<b>Description</b>	Assist with the purchase of new scanning stations with updated software to improve skills and efficiencies
	<b>Target Date</b>	7/31/2020
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	6-8 individuals with developmental disabilities
	<b>Location Description</b>	All suburban areas in Monroe County
	<b>Planned Activities</b>	Purchase 2 new scanning stations with updated software. The scanners support the Classified Scanning and Shredding program that supports 6-8 developmentally and/or low-income individuals.
<b>12</b>	<b>Project Name</b>	ABVI Goodwill manufacturing operations
	<b>Target Area</b>	Monroe County Service Area block groups
	<b>Goals Supported</b>	Provide essential public services
	<b>Needs Addressed</b>	Public Services (Community Development)
	<b>Funding</b>	CDBG: \$32,000
	<b>Description</b>	Assist in the purchase of lockstitch sewing machines to improve efficiencies and increase employees' skill sets
	<b>Target Date</b>	7/31/2020
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Program will support 43 people, 27 of whom are blind and visually impaired.
	<b>Location Description</b>	All suburban areas in Monroe County
	<b>Planned Activities</b>	Goodwill of the Finger Lakes manufacturing operation program will purchase lockstitch sewing machines. New machines will improve efficiencies and increase employees' skill sets.
	<b>Project Name</b>	Housing Council Expanding Housing Opportunities Program

<b>13</b>	<b>Target Area</b>	Monroe County Service Area block groups Brighton Gates Henrietta Ogden Parma Penfield Perinton Rush Sweden Brockport Churchville East Rochester Fairport Hilton Pittsford Scottsville Webster
	<b>Goals Supported</b>	Provide essential public services
	<b>Needs Addressed</b>	Public Services (Community Development)
	<b>Funding</b>	CDBG: \$46,653
	<b>Description</b>	County-wide fair housing guidance, rental management and educational program for tenants, landlords, home buyers and sellers, real estate professionals and other housing providers.
	<b>Target Date</b>	7/31/2020
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	600 landlord/tenant issues will be addressed, 40 landlords, 100 callers for hotline counseling.
	<b>Location Description</b>	All suburban areas throughout Monroe County
	<b>Planned Activities</b>	Fair housing guidance, rental management, and educational program
	<b>Project Name</b>	Housing Council Foreclosure Prevention and HECM Counseling



14	<b>Target Area</b>	Monroe County Service Area block groups Brighton Gates Henrietta Ogden Parma Penfield Perinton Rush Sweden Brockport Churchville East Rochester Fairport Hilton Pittsford Scottsville Webster
	<b>Goals Supported</b>	Provide essential public services
	<b>Needs Addressed</b>	Public Services (Community Development)
	<b>Funding</b>	CDBG: \$50,000
	<b>Description</b>	Foreclosure prevention counseling and outreach, including potential Home Equity Conversion Mortgage borrowers.
	<b>Target Date</b>	7/31/2020
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Program will serve approximately 85 low-mod households with mortgage foreclosure prevention counseling and outreach.
	<b>Location Description</b>	Program will serve homeowners who reside in suburban towns and villages throughout Monroe County.
	<b>Planned Activities</b>	Program will serve 85 households with mortgage foreclosure prevention counseling and outreach. Conduct outreach to at-risk homeowners and counsel potential HECM borrowers.
	<b>Project Name</b>	Housing Council Home Ownership program

15	<b>Target Area</b>	Monroe County Service Area block groups Brighton Gates Henrietta Ogden Parma Penfield Perinton Rush Sweden Brockport Churchville East Rochester Fairport Hilton Pittsford Scottsville Webster
	<b>Goals Supported</b>	Provide essential public services
	<b>Needs Addressed</b>	Public Services (Community Development)
	<b>Funding</b>	CDBG: \$60,000
	<b>Description</b>	Homebuyer education and conduct pre-and post purchase classes.
	<b>Target Date</b>	7/31/2020
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Program will provide potential homebuyers initial interviews and counseling to 190 families and credit restoration to over 40 families. Packaging of financial assistance to first time homebuyers enabling them to purchase their first home. The Housing Council will also conduct 12 pre-purchase workshops and 2 post-purchase classes.
	<b>Location Description</b>	Program will serve homeowners who reside in suburban towns and villages throughout Monroe County.
	<b>Planned Activities</b>	Program will provide potential homebuyers initial interviews and counseling and credit restoration. Packaging of financial assistance to first time homebuyers enabling them to purchase their first home. Pre-purchase workshops and post-purchase classes.
	<b>Project Name</b>	Home Improvement Program

16	<b>Target Area</b>	Monroe County Service Area block groups Brighton Gates Henrietta Ogden Parma Penfield Perinton Rush Sweden Brockport Churchville East Rochester Fairport Hilton Pittsford Scottsville Webster
	<b>Goals Supported</b>	Repair and conserve existing housing stock
	<b>Needs Addressed</b>	Housing Rehabilitation
	<b>Funding</b>	CDBG: \$429,434 HOME: \$341,281
	<b>Description</b>	Provide grants/loans to repair and revitalize homes in suburban towns and villages throughout Monroe County to income eligible homeowners.
	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Program will assist approximately 70 low-mod income homeowners with eligible home repairs.
	<b>Location Description</b>	Program will serve homeowners who reside in suburban towns and villages throughout Monroe County.
	<b>Planned Activities</b>	Home improvement activities, include structural repairs, major systems, accessibility, and rooftop solar photovoltaic (pv).
	<b>Project Name</b>	CDBG ED Loan Program

<b>17</b>	<b>Target Area</b>	Monroe County Service Area block groups Brighton Gates Henrietta Ogden Parma Penfield Perinton Rush Sweden Brockport Churchville East Rochester Fairport Hilton Pittsford Scottsville Webster
	<b>Goals Supported</b>	Provide Economic Development and Job Training
	<b>Needs Addressed</b>	Business / Jobs Development (Economic Development)
	<b>Funding</b>	CDBG: \$200,000
	<b>Description</b>	The CDBG Economic Development Loan Program provides low interest loans/grants to businesses that are expanding or relocating to Monroe County and creating/retaining jobs.
	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	10 jobs will be created or retained
	<b>Location Description</b>	This funding will be available to businesses located in suburban towns and villages throughout Monroe County
	<b>Planned Activities</b>	Provide low interest loans or grants to businesses in all suburban areas in Monroe County
<b>18</b>	<b>Project Name</b>	Community Development Division Administration
	<b>Target Area</b>	Monroe County Service Area block groups
	<b>Goals Supported</b>	Provide planning and administration services

	<b>Needs Addressed</b>	Planning / Administration
	<b>Funding</b>	CDBG: \$369,912 HOME: \$114,662
	<b>Description</b>	Providing Planning and Administrative services.
	<b>Target Date</b>	7/31/2020
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Not applicable
	<b>Location Description</b>	Not applicable
	<b>Planned Activities</b>	Provide planning and administrative services for all CDBG and HOME programs. CDBG funds will also be used to hire a consultant to assist in the 5-year Consolidated Plan and a community-wide Fair Housing study.
19	<b>Project Name</b>	Program delivery - Home Improvement Program administration
	<b>Target Area</b>	Monroe County Service Area block groups
	<b>Goals Supported</b>	Provide planning and administration services
	<b>Needs Addressed</b>	Planning / Administration
	<b>Funding</b>	CDBG: \$15,000
	<b>Description</b>	Program delivery for the Home Improvement Program
	<b>Target Date</b>	7/31/2020
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Not applicable
	<b>Location Description</b>	Not applicable
	<b>Planned Activities</b>	Program delivery for the Home Improvement Program, including financial review of program applicants, contract execution, and monitoring
20	<b>Project Name</b>	Program delivery - Planning Services to Community Development
	<b>Target Area</b>	Monroe County Service Area block groups
	<b>Goals Supported</b>	Provide planning and administration services
	<b>Needs Addressed</b>	Planning / Administration

	<b>Funding</b>	CDBG: \$15,000
	<b>Description</b>	Provide planning, environmental, and mapping services.
	<b>Target Date</b>	7/31/2020
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Not applicable
	<b>Location Description</b>	Not applicable
	<b>Planned Activities</b>	Not applicable
<b>21</b>	<b>Project Name</b>	Program delivery - ED loan and grant program administration
	<b>Target Area</b>	Monroe County Service Area block groups
	<b>Goals Supported</b>	Provide planning and administration services
	<b>Needs Addressed</b>	Planning / Administration
	<b>Funding</b>	CDBG: \$15,000
	<b>Description</b>	Administer the Economic Development Grant and Loan fund
	<b>Target Date</b>	7/31/2020
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Not applicable
	<b>Location Description</b>	Not applicable
	<b>Planned Activities</b>	Program delivery of grant and loan fund administration for businesses expanding in or relocating to suburban areas of Monroe County.
	<b>Project Name</b>	First-Time Homebuyer

<b>22</b>	<b>Target Area</b>	Monroe County Service Area block groups Brighton Gates Henrietta Ogden Parma Penfield Perinton Rush Sweden Brockport Churchville East Rochester Fairport Hilton Pittsford Scottsville Webster
	<b>Goals Supported</b>	Develop Affordable Housing Opportunities
	<b>Needs Addressed</b>	Affordable Housing
	<b>Funding</b>	HOME: \$90,000
	<b>Description</b>	Closing cost and down payment assistance to income eligible first-time homebuyers who purchase their home in the suburban towns and villages throughout Monroe County.
	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Provide direct subsidy for closing cost and down payment assistance to approximately 18 individuals and families purchasing their first home.
	<b>Location Description</b>	Towns and villages throughout Monroe County, excluding the city of Rochester
	<b>Planned Activities</b>	Provide direct subsidy for closing cost and down payment assistance to first-time homebuyers.
<b>23</b>	<b>Project Name</b>	Affordable Housing Development
	<b>Target Area</b>	Monroe County Service Area block groups Sweden
	<b>Goals Supported</b>	Develop Affordable Housing Opportunities

	<b>Needs Addressed</b>	Affordable Housing
	<b>Funding</b>	HOME: \$300,000
	<b>Description</b>	Provide funding for the development of 2 rental housing projects in the towns of Irondequoit and Sweden (Village of Brockport)
	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	22 individuals and seniors will benefit from the development of the 2 affordable rental housing developments once completed.
	<b>Location Description</b>	Town of Irondequoit and the Town of Sweden, in the Village of Brockport
	<b>Planned Activities</b>	Develop Frances Apartments, Phase II in Brockport, town of Sweden and Senior Apartments in the town of Irondequoit.
<b>24</b>	<b>Project Name</b>	Acquisition Rehab Resale - CHDO
	<b>Target Area</b>	Monroe County Service Area block groups Brighton Gates Henrietta Ogden Parma Penfield Perinton Rush Sweden Brockport Churchville East Rochester Fairport Hilton Pittsford Scottsville Webster
	<b>Goals Supported</b>	Develop Affordable Housing Opportunities
	<b>Needs Addressed</b>	Affordable Housing
	<b>Funding</b>	HOME: \$300,679



	<b>Description</b>	Acquisition and rehabilitation of vacant properties throughout towns and villages in suburban Monroe County for resale to income eligible first time homebuyers.
	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Acquisition of approximately 5 vacant properties for rehabilitation and resale to income eligible first time homebuyers.
	<b>Location Description</b>	Throughout towns and villages in suburban Monroe County.
	<b>Planned Activities</b>	The Rochester Housing Development Fund Corporation (RHDFC) will acquire vacant, abandoned, or foreclosed properties, perform rehabilitation for habitability, and resale the house to an income eligible first time homebuyer.
<b>25</b>	<b>Project Name</b>	HESG
	<b>Target Area</b>	Monroe County Service Area block groups Brighton Gates Henrietta Ogden Parma Penfield Perinton Rush Sweden Brockport Churchville East Rochester Fairport Hilton Pittsford Scottsville Webster
	<b>Goals Supported</b>	Provide essential public services Provide planning and administration services
	<b>Needs Addressed</b>	Public Services (Community Development) Planning / Administration
	<b>Funding</b>	ESG: \$153,145

	<b>Description</b>	Provide funding for Shelter Operations, Homelessness Prevention, Rapid Rehousing, and Street Outreach - Community Wide Coordinated Entry, including administrative expenses
	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	HOPE Ministries will assist 70 persons and Catholic Family Center will assist 15 persons through Homlessness Prevention; CCSI Rapid Rehousing will assist 26 households; Street Outreach - Coordinated Entry will assist 50 persons; Dimitri House shelter operations will serve 37 men.
	<b>Location Description</b>	County-wide
	<b>Planned Activities</b>	Homelessness Prevention through HOPE Ministry (\$24,000) and Catholic Family Center (\$25,00); Rapid Rehousing through CCSI (\$25,000); Street Outreach - Community-wide Coordinated Entry (\$30,159); Shelter operations through Dimitri House (\$30,159); and Administrative expenses (\$11,486).
<b>26</b>	<b>Project Name</b>	ESG20 Monroe County
	<b>Target Area</b>	Monroe County Service Area block groups Brighton Gates Henrietta Ogden Parma Penfield Perinton Rush Sweden Brockport Churchville East Rochester Fairport Hilton Pittsford Scottsville Webster
	<b>Goals Supported</b>	Provide essential public services Provide planning and administration services
	<b>Needs Addressed</b>	Public Services (Community Development)

	<b>Funding</b>	CDBG-CV and ESG-CV: \$1,491,363
	<b>Description</b>	Funding for Shelter operations (CFY-\$44,085), Rapid Rehousing (ODM-\$200,807), Homelessness Prevention (CFC-\$191,588; Hope \$64,610, Justice for All \$250,000), and Admin (\$149,135.70). \$591,137.20 remains uncommitted to a program or project. An NOFA/RFP will be released prior to committing the balance of the funds.
	<b>Target Date</b>	7/31/2021
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Individuals and families below 50% AMI
	<b>Location Description</b>	throughout suburban Monroe County
	<b>Planned Activities</b>	Shelter operations (CFY-\$44,085), Rapid Rehousing (ODM-\$200,807), Homelessness Prevention (CFC-\$191,588; Hope \$64,610), and Admin (\$55,676)
<b>27</b>	<b>Project Name</b>	CV-ABVI purchase of service and licenses for crisis hotline
	<b>Target Area</b>	Monroe County Service Area block groups Brighton Gates Henrietta Ogden Parma Penfield Perinton Rush Sweden Brockport Churchville East Rochester Fairport Hilton Pittsford Scottsville Webster
	<b>Goals Supported</b>	Provide essential public services
	<b>Needs Addressed</b>	Public Services (Community Development)
	<b>Funding</b>	CDBG-CV and ESG-CV: \$5,300

	<b>Description</b>	Purchase of server and license for 2-1-1 Hotline for remote working and increased call volume due to COVID-19
	<b>Target Date</b>	7/31/2021
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	1,240 households
	<b>Location Description</b>	throughout suburban Monroe County
	<b>Planned Activities</b>	purchase of a server and license for remote work to provide resources to individuals in suburban Monroe County related to COVID-19
28	<b>Project Name</b>	CV-Catholic Family Center Rental Assistance
	<b>Target Area</b>	Monroe County Service Area block groups Brighton Gates Henrietta Ogden Parma Penfield Perinton Rush Sweden Brockport Churchville East Rochester Fairport Hilton Pittsford Scottsville Webster
	<b>Goals Supported</b>	Provide essential public services
	<b>Needs Addressed</b>	Public Services (Community Development)
	<b>Funding</b>	CDBG-CV and ESG-CV: \$125,000
	<b>Description</b>	Homelessness prevention program for income eligible tenants impacted by COVID-19
	<b>Target Date</b>	7/31/2021

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	rental assistance to 25 households between 51-80% AMI impacted by COVID-19
	<b>Location Description</b>	throughout suburban Monroe County
	<b>Planned Activities</b>	rental assistance for individuals and families at 51-80% AMI throughout suburban Monroe County impacted by COVID-19
29	<b>Project Name</b>	CV-Housing Council at PathStone Training and Safety
	<b>Target Area</b>	Monroe County Service Area block groups Brighton Gates Henrietta Ogden Parma Penfield Perinton Rush Sweden Brockport Churchville East Rochester Fairport Hilton Pittsford Scottsville Webster
	<b>Goals Supported</b>	Provide essential public services
	<b>Needs Addressed</b>	Public Services (Community Development)
	<b>Funding</b>	CDBG-CV and ESG-CV: \$43,750
	<b>Description</b>	Training and safety mechanisms for staff and clients due to COVID-19
	<b>Target Date</b>	7/31/2021
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Housing Council will serve 3,000 low-mod income individuals and families impacted by COVID-19
	<b>Location Description</b>	Throughout suburban Monroe County

	<b>Planned Activities</b>	Protective barriers for staff, remote work, increased staff time for housing hotline due to increased demand for low to moderate income households impacted by COVID-19
30	<b>Project Name</b>	CV-LifeSpan Safety and Security for Seniors
	<b>Target Area</b>	Monroe County Service Area block groups Brighton Gates Henrietta Ogden Parma Penfield Perinton Rush Sweden Brockport Churchville East Rochester Fairport Hilton Pittsford Scottsville Webster
	<b>Goals Supported</b>	Provide essential public services
	<b>Needs Addressed</b>	Public Services (Community Development)
	<b>Funding</b>	CDBG-CV and ESG-CV: \$20,300
	<b>Description</b>	Emergency care services provided to the elderly related to COVID-19
	<b>Target Date</b>	7/31/2021
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	LifeSpan will provide additional services to seniors impacted by COVID-19
	<b>Location Description</b>	throughout suburban Monroe County
	<b>Planned Activities</b>	increased staff time and pay for added delivery services of food and prescriptions who were unable to leave their home due to COVID-19
	<b>Project Name</b>	CV-Partners in Community Development

31	<b>Target Area</b>	Monroe County Service Area block groups Fairport Webster
	<b>Goals Supported</b>	Provide Economic Development and Job Training Provide essential public services
	<b>Needs Addressed</b>	Public Services (Community Development) Business / Jobs Development (Economic Development)
	<b>Funding</b>	CDBG-CV and ESG-CV: \$15,000
	<b>Description</b>	Job training and resources to low-mod income individuals who have been impacted by COVID-19
	<b>Target Date</b>	7/31/2021
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	50 households will be served
	<b>Location Description</b>	individuals residing at Pines of Perinton (Fairport), Phillips Village (Webster), and Cedars of Chili (Chili)
	<b>Planned Activities</b>	training and resources for individuals seeking employment
32	<b>Project Name</b>	CV-Volunteers of America
	<b>Target Area</b>	Monroe County Service Area block groups
	<b>Goals Supported</b>	Improve access to and quality of public facilities
	<b>Needs Addressed</b>	Public Facilities
	<b>Funding</b>	CDBG-CV and ESG-CV: \$45,800
	<b>Description</b>	Construct 2 quarantine bedrooms and a bathroom at the shelter
	<b>Target Date</b>	7/31/2021
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	individuals and families residing at the shelter from throughout suburban Monroe County
	<b>Location Description</b>	shelter is located at 175 Ward Street, Rochester
	<b>Planned Activities</b>	adding 2 quarantine bedrooms and bathroom
	<b>Project Name</b>	CV-Economic Development Loan/Grant fund

<b>33</b>	<b>Target Area</b>	Monroe County Service Area block groups Brighton Gates Henrietta Ogden Parma Penfield Perinton Rush Sweden Brockport Churchville East Rochester Fairport Hilton Pittsford Scottsville Webster
	<b>Goals Supported</b>	Provide Economic Development and Job Training
	<b>Needs Addressed</b>	Business / Jobs Development (Economic Development)
	<b>Funding</b>	CDBG-CV and ESG-CV: \$211,135
	<b>Description</b>	provide loan/grant to eligible businesses impacted by COVID-19
	<b>Target Date</b>	7/31/2021
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	businesses that were impacted by COVID-19
	<b>Location Description</b>	throughout suburban Monroe County
	<b>Planned Activities</b>	financial assistance to businesses impacted by COVID-19
	<b>34</b>	<b>Project Name</b>
<b>Target Area</b>		Monroe County Service Area block groups
<b>Goals Supported</b>		Provide planning and administration services
<b>Needs Addressed</b>		Planning / Administration
<b>Funding</b>		CDBG-CV and ESG-CV: \$219,048
<b>Description</b>		Administration of CV programs



<b>Target Date</b>	7/31/2021
<b>Estimate the number and type of families that will benefit from the proposed activities</b>	
<b>Location Description</b>	
<b>Planned Activities</b>	

## AP-50 Geographic Distribution - 91.420, 91.220(f)

### Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Targeted areas are all within Monroe County's service area, including public facilities and infrastructure improvements in Brighton, Gates, Henrietta, Sweden, Brockport, East Rochester, Fairport, Hilton, and the Village of Webster this year. Other than public facilities, funding that will be spent will be used to benefit low-moderate income individuals, seniors, and individuals with developmental and intellectual disabilities throughout suburban Monroe County.

### Geographic Distribution

Target Area	Percentage of Funds
Monroe County Service Area block groups	100
Brighton	
Gates	
Henrietta	
Ogden	
Parma	
Penfield	
Perinton	
Rush	
Sweden	
Brockport	
Churchville	
East Rochester	
Fairport	
Hilton	
Pittsford	
Scottsville	
Webster	

Table 5 - Geographic Distribution

### Rationale for the priorities for allocating investments geographically

Monroe County funding priorities are intended to provide public facilities and infrastructure improvements to municipalities where projects are in low-mod census areas and public services activities, not to exceed 15% of the annual CDBG allocation, including program income. The funds proposed for Planning and Administration activities, including program income will not exceed 20% of

the annual CDBG amount.

**Discussion**

## Affordable Housing

### AP-55 Affordable Housing - 91.420, 91.220(g)

#### Introduction

Through the CDBG and HOME funds, Monroe County administers the Home Improvement Program (HIP) and HIP-solar provides income eligible homeowners with grants and/or loans for needed repairs, thereby maintaining affordability. Home funds are utilized to provide gap financing for the development of affordable rental housing for low-mod income individuals, families, seniors, and individuals with developmental and intellectual disabilities.

<b>One Year Goals for the Number of Households to be Supported</b>	
Homeless	0
Non-Homeless	11
Special-Needs	6
Total	17

**Table 6 - One Year Goals for Affordable Housing by Support Requirement**

<b>One Year Goals for the Number of Households Supported Through</b>	
Rental Assistance	18
The Production of New Units	17
Rehab of Existing Units	70
Acquisition of Existing Units	5
Total	110

**Table 7 - One Year Goals for Affordable Housing by Support Type**

#### Discussion

## **AP-60 Public Housing - 91.420, 91.220(h)**

### **Introduction**

The Rochester Housing Authority and the Village of Fairport Urban Renewal Agency (FURA) manage the two (2) existing publicly administered Section 8 Programs in Monroe County.

RHA currently administers the City/County program. The FURA Section 8 program territory encompasses the Town of Macedon within Wayne County and the eastern part of Monroe County including the towns of Irondequoit, Webster, Penfield, East Rochester, Brighton, Perinton, Henrietta, Pittsford, Mendon, and Rush. The area also includes all Villages located within the Towns.

RHA has developed its Annual Five Year and Strategic Plans. The Strategic plan action items have become part of the overall Annual and 5-Year Plan. Established in 1955 as an independent public corporation by New York State Public Housing Law, RHA serves more than 26,000 lower-income residents and program participants in the five-county Greater Rochester area, to provide quality comfortable living for people with limited incomes. Approximately 20% of total residents served reside in the 2,200+ RHA public housing units.

- **Public Housing & Units available for:**Over 50 & Disabled: Danforth Tower East/West, Glenwood Gardens, Hudson Ridge Tower, Kennedy Tower, and Parliament Arms.Disabled & Over/Under 50: Lake Tower, Lena Gantt Estates, Lexington Court, and University Tower.Family Housing: Bay-Zimmer Townhouses, Bronson Court, Federal Street Townhouses, Harriet Tubman Estates, Holland Townhouses, Lena Gantt Estates, Lexington Court, and Parkside Apartments.Enriched Housing: Danforth Tower East, Hudson Ridge Tower, Johnathan ChildSingle & Double Scattered Site Homes
- **Resident Services:**Family Self-Sufficiency (FSS) is a voluntary employment and savings incentive program designed to assist families is becoming economically independent and self-sufficient.Supportive services in the program include homeownership, training for jobs, education, and life skills to help families reach their goals in 5 years.Service Coordination for RHA Elderly and Disabled Residents, including health and wellness, money and employment, transportation and more.

Current program demographics through FURA's Section 8 program reflect 339 elderly and disabled families and 112 other eligible households (i.e.: non-disabled families and 2-adult households). The average annual total household income is \$15,774. 54% of participating individuals and families are Extremely Low Income (30% of the AMI), 31% served are at or below the Low Income bracket (50% of the AMI) and 15% are at or above the low income bracket (80% of the AMI). Each year, FURA admits approximately 65-75 new families with 75% of those below 30% of the area median income. FURA is authorized to administer 497 vouchers. The current utilization of 455 vouchers is a direct result of HUD

budgetary concerns, but with recent budget clarification announcements, that number is climbing.

### **Actions planned during the next year to address the needs to public housing**

RHA is continuing its initiative to “Change the Face of Public Housing” by renovating and constructing new units that residents will be proud to call home. RHA has implemented a “Beautification Initiative” for all its public housing developments that will not only enhance curb appeal but the rest of the property as well. RHA will continue to provide quality affordable housing and services for its residents. RHA meets with our resident councils, resident Commissioners, staff and neighborhood associations to address needs and discuss upcoming projects. We take all suggestions and recommendations from these sources into consideration when preparing the five-year Capital Improvement plan. Due to ever changing conditions, items are prioritized and can fluctuate within the plan from year to year. There are currently multiple projects in place and more are being planned for the upcoming year. We are also undertaking roof replacement projects at several of our properties. RHA is planning the redevelopment of its public housing sites; Federal Street Townhouses, scattered sites, Parliament Arms, Fairfield Village and Waring/Veteran St. scattered sites. These redevelopment projects may increase or decrease the number of available public housing units. If the number is decreased, RHA intends to replace those units at locations to be determined. RHA has room in its Faircloth limit to do so. RHA intends to apply for Low Income Housing Tax Credits (LIHTC) and other sources of funding to redevelop Federal St. Townhouses. RHA may issue its own bonds for development activities and acquiring property. RHA intends to apply for NYS funding opportunities for development and capital improvement activities. RHA will be applying to New York State Housing and Community Renewal’s Public Housing Preservation Program (PHP). PHP is a partnership among HCR, HUD, Federal Public Housing Authorities (PHAs) outside New York City, and collaborating with private for profit and non-profit developers to address the needs of these properties and assist RHA in completing their plans to ensure the long-term sustainability of existing public housing units. HCR will coordinate with RHA and HUD to develop and implement a five-year strategy to preserve public housing units, address their need for capital improvements, and ensure their continued affordability.

RHA is in the planning process of replacing several scattered sites, located on Eiffel Place, Waring Road, Veteran Street, and Shirley Street, with new single-family units. The new units will have open floor plans, be energy efficient, have high quality materials and fixtures, off street parking and one or more may be accessible.

RHA plans to perform a comprehensive physical needs assessment on many of its scattered site units in 2019. There will be an emphasis on identifying single family units for homeownership. The work performed will include landscaping, paving, HVAC upgrades and interior and exterior improvements. RHA has contracted with a Grant Writer Consultant who will assess and determine the best next steps for preservation of our Public Housing complexes/units. We will be working to reduce unit turnaround time for vacated public housing units so that they can be filled with applicants from our wait list. RHA is in the process of planning energy saving projects such as lighting, water conservation, and more efficient

HVAC systems that will improve the quality of life for residents.

The RHA Resident Service Department is also working hard to partner with other agencies that can assist our residents in self-sufficiency initiatives and goals. Homeownership, training, employment, and life skills and financial education are a focus in the upcoming year.

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

RHA is committed to improving communication between management and its resident and program participants and continues to work with area partners to provide service. RHA has created a new position, Public Participation Coordinator, to increase resident participation in self-sufficiency program offerings. RHA will continue to develop and promote its Section 3 program, resident councils, and advisory board. RHA's Resident Services department will continue to assist with resident needs and actively engage in homeownership opportunities and self-sufficiency program development. RHA intends to perform an assessment of its public housing scattered site units and determine which single-family units will be designated for homeownership. Current residents will be given first option to purchase the home. RHA may use capital funds and/or operating reserves to renovate designated public housing scattered site homes prior to being offered for homeownership. RHA has implemented a homeownership plan that will include Section 32 Homeownership. This comprehensive plan outlines the requirements and guidelines of the program. In addition to the Plan, RHA intends to develop a post homeownership program to assist families in maintaining their homes and ensuring homeowners that they have somewhere to go for assistance when they need it. RHA intends to increase utilization of homeownership vouchers and increase outreach efforts.

### **If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance**

RHA has never been nor plans on being a troubled Public Housing Authority (PHA). FURA is not designated as a troubled housing authority.

### **Discussion**

(continued from above) FURA's waiting list was open from January 2017 through March 29, 2018. At this time, there are a total of 1047 applicants on the waiting list. This includes 472 families (with at least one child or another adult), 198 elderly and 377 disabled families. Due to the high number of families waiting, it is expected that the list will remain closed for 1-2 more years.

FURA has continued efforts to enhance relationships with existing landlords and promote opportunities for new landlords to participate in the program. In addition, we strive to improve the way that participants search for affordable housing and link them with available landlords. As such, the Agency has made plans to implement the GoSection 8 program, which will enable us to reach our goals. This

program enables landlords to easily list and manage available units for rent, thus allowing them to fill vacancies more easily. This service also provides tenants with a more reliable source of available housing, and will eliminate time spent calling on units that have already been rented. The GoSection 8 program takes the place of the conventional paper listings, which are quickly outdated and time-consuming to maintain.

FURA is still considering the possibility of allocating additional funding for Project Based Voucher (PBV) projects. Project Based Voucher projects are beneficial to communities that have a shortage of safe and affordable rental housing. FURA's service area consists of a very tight housing market and increasing the PBV units would directly benefit participants as they would be able to secure safe and affordable housing quickly. Increasing the available rental units available to participants of our program is an important priority for FURA.

PBV funding can be awarded to developers who develop new units or rehabilitate existing housing. Working collaboratively with developers will help to increase the pool of safe and affordable housing units as well as help to preserve existing housing stock. Incentives to developers include financial security from the long-term housing contract with FURA. In addition, in higher cost areas, some projects may be eligible for higher subsidies for PBV units than regular Tenant Based Vouchers.

FURA staff remains devoted to moving forward with future plans to continue serving our clients well. The Agency has gained new technology, making it a more efficient office environment. FURA remains committed to recertifying households in the comfort of their own homes, as it reflects an unparalleled commitment to excellent customer service.



## **AP-65 Homeless and Other Special Needs Activities - 91.420, 91.220(i)**

### **Introduction**

Monroe County will continue to work with the Continuum of Care (CoC) to coordinate services to the homeless and to provide outreach and other services, emergency shelter, transitional housing, and permanent supportive housing to persons with special needs.

Coordinated Entry (CE) and the Housing First model are the primary tools that will end chronic homelessness. Through CE, the Chronically Homeless move to the top of the prioritization list for placement into permanent supportive housing. All CoC and ESG programs incorporate housing first principles into their programs to reduce barriers to accessing housing and to reduce terminations from programs.

In 2016, the Rochester/Monroe County CoC reached functional zero toward ending veteran homelessness. Functional zero status does not mean that veterans won't become homeless; but it means that the CoC now has sufficient resources to get veterans into appropriate housing quickly and that veterans remain stably housed. The CoC, County, and City continues to partner with the Veterans Administration, Veterans Outreach Center and other organizations serving veterans to ensure current resources are maintained and support new housing units targeted to veterans.

To end homelessness for families and dependent children, the primary strategies being utilized are diversion and rapid re-housing. Strategies to end homelessness for unaccompanied youth include outreach, transitional housing and now have a rapid re-housing dedicated to youth adults (ages 18-23). The CoC will continue to pursue resources for homeless youth through HUD's Youth Homelessness Demonstration Project.

Two (2) Rapid Re-Housing programs were awarded FY2017 CoC funding to serve the re-entry population are now fully operational and proving to be successful in assisting this population with accessing and remaining stable in permanent housing.

The Coordinated Entry (CE) system fully implemented in January 2018 aids in reaching these goals by employing diversion strategies to decrease entry into the homeless system and vulnerability assessments to ensure that those with the highest needs are prioritized and are referred to the programs that will best meet their needs.

We continue to work to end homelessness for families, youth, and children by 2020. Consistent with the 2012 Homelessness Resolution Strategy, Rochester will: Continue to implement diversion as the first response to a housing crisis; Emphasize a rapid rehousing/housing first approach for the entire system; Increase Rapid Rehousing and PSH resources; Use Progressive Engagement in providing services; Improve practice and capacity in Permanent Supportive Housing (PSH) programs by targeting PSH to people with the highest needs based on the vulnerability assessment tool, building PSH provider

capacity, integrating employment services into PSH programs, and implementing a “Moving On Strategy” from PSH interventions; Implementing data-driven decision-making and evaluation; and ensuring leadership and accountability.

### **Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including**

#### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

Person Centered Housing Options (PCHO) and the Rapid Engagement Demonstration (RED) Team will continue to identify and engage the unsheltered homeless. PCHO, RED, and MC Collaborative, Veteran Administration regularly visit soup kitchens, libraries, and public places that unsheltered homeless adults frequent. Youth street outreach workers will continue to regularly engage homeless and at-risk youth on the street, recreational centers, and other locations that youth frequent. The objective is to link the unsheltered homeless with housing, services, and mainstream benefits.

Additional outreach is conducted by Monroe County DHS during the Code Blue season, when temperatures fall below 32 degrees. Any person requesting emergency shelter when the temperature is below 32 degrees must be placed in shelter or if there are no shelter beds available, in local hotels.

Youth service providers will continue to utilize street outreach workers who use a mobile unit to conduct ongoing, regular outreach efforts with homeless and at-risk youth, including LGBT youth. Services include medical screenings (including HIV testing), condom distribution, and linking youth to community-based services and income streams. Homeless youth who agree to placement are transported to youth emergency shelters. Veterans outreach workers visit shelters, soup kitchens, and other locations in an effort to identify homeless veterans and link them to the VA and community-based services. Street outreach is conducted to engage the unsheltered homeless in the locations where they are known to congregate (e.g., parking garages). Specialized Office of Mental Health (OMH) outreach workers connect with homeless persons experiencing serious mental and/or substance abuse issues. Outreach workers who speak Spanish and other languages participate in these efforts.

#### **Addressing the emergency shelter and transitional housing needs of homeless persons**

Monroe County works with the CoC and its members to carry out outreach and services to homeless persons and to provide emergency shelter for all homeless populations: homeless youth, veterans, victims of domestic violence, persons with chemical dependency and/or mental health issues and other homeless individuals and families. Transitional housing is provided for homeless youth, veteran, and re-entry populations, many of whom have chemical dependency and/or mental health issue.

#### **Helping homeless persons (especially chronically homeless individuals and families, families**

**with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

To end homelessness among households with dependent children, the CoC is investing in Rapid Rehousing (RRH) programs. RRH provides short term rental assistance and case management services to move families from shelter to permanent housing quickly and ensure housing stability. It is also providing diversion assistance for those who have a viable alternative to a shelter but require some support. In 2011, Rochester Housing Authority (RHA) implemented a “Moving On” preference for its Housing Choice Voucher program. Participants must have successfully completed a Permanent Supportive Housing (formerly known as Shelter Plus Care) program for at least one year prior to being eligible for a voucher. Successfully completed means the family has not violated any family obligations under Permanent Supportive Housing program. Up to 200 Housing Choice Vouchers will be set aside to be available per calendar year.

The CoC plans to increase the number of homeless household with children assisted through RRH projects by working with TH projects serving families to help them restructure using a RRH model and by soliciting housing organizations without such programs to create new RRH projects. ESG funding supports Coordinated Care Services, Inc.’s Rapid Rehousing program that responds quickly to households referred through coordinated entry, secure appropriate PH, and uses an array of mainstream benefits and supports to maximize resources, increase housing stability and reduce repeat episodes of RRH system. RRH projects have sought and been awarded funding from other sources (e.g., NYS OTDA, and HUD CoC funding), and this effort will continue. The needs of domestic violence victims, including their families, will continue to be broadly addressed. The Rochester/Monroe County Domestic Violence Consortium, with 50-member organizations from human services and the legal system, promotes a coordinated community response to domestic violence (or at risk) along with their children, offering counseling, education, and a secure shelter, whose location is not publicly identified. A 24-hour hotline provides information on housing and services for domestic violence victims. Willow DVC has stringent policies to ensure the safety and privacy of its clients. Names and other identifying data are not entered into HMIS. Willow DVC and the YWCA has recently submitted a renewal application for New York State funding for a joint program providing rapid rehousing and legal services. YWCA, LAWNY, and Willow were just awarded FY2018 CoC funding for a rapid rehousing project for households experiencing domestic violence.

The Center for Youth (CFY) Services and the Salvation Army-Genesis House continue to provide housing and services for unaccompanied homeless youth. CoC will continue to offer a range of outreach, emergency and transitional housing, and support services are available through CoC and other funding resources. CFY recently opened the first RRH program for Transition Age Youth (ages 18-24) in our community. Youth providers have a common intake form, work closely together, and meet on a monthly

basis to ensure that homeless youth have access to safe housing and services. In all cases, before a youth leaves a program, the youth is linked to a family member or other responsible, supportive adult. Youth providers will continue to work with Monroe County Office of Mental Health (OMH) to ensure access to mental health services and ease transition from the youth to the adult mental health system. Youth ages 16-17 and 18-24 will be targeted separately and offered age-appropriate services, while youth as young as 12 will also be served.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

Youth being discharged from foster care or other institutional settings typically return to their family of origin or another responsible family member or adult. When no such adult can be located, the youth is placed in a community program specifically designed to prepare youth for independent living, such as The Villa of Hope or Hillside Children's Center. The CoC will continue to educate homeless providers about the importance of ensuring that youth are not discharged into homelessness. Shelters have been instructed to immediately contact the Monroe County Department of Human Services (MCDHS) to report the failed discharge plan if a youth is attempting to access homeless services. The youth is then connected to a caseworker who attempts to re-unite the youth with his/her family or other responsible adult and link him/her to appropriate services. If such a re-uniting is not possible, the youth is referred again to a community program that prepares youth to transition to independent living.

Hospitals have been encouraged to not discharge people with no available housing after hours or weekends so that they can first go to MCDHS for assessment and placement into a shelter that can best meet their needs. When this is not possible or patient leaves against medical advice, hospital social work staff have been provided with information on local emergency shelters (e.g., physical layouts, staffing hours open) so a referral is made to the shelter that can best meet the person's needs. Hospital staff calls the shelter to confirm a bed is available, any required follow-up care is communicated to shelter staff, and the patient is provided with a sufficient supply of medication. A new pilot program funded through Medicaid redesign (FLPPS) will provide enhanced services in eight (8) emergency shelter beds for homeless persons being discharged from inpatient hospital stays. The goal is to prevent re-hospitalization, link to mainstream benefits and access permanent housing as quickly as possible.

Inpatient facilities licensed or operated by the NYSOMH and NYSOASAS are encouraged to refer individuals to housing consistent with the level of care required by the patient and to not discharge patients until a comprehensive discharge plan is in place. Prior to discharge, individuals in need of supervised housing, and who agree to a referral, are referred to the Monroe County Single Point of Access (SPOA). The SPOA facilities housing assistance for eligible individuals and connects persons to

mental health care coordination services. Through HSN, the CoC will continue to educate stakeholders about the primary providers of licensed mental health residential beds (DePaul Community Services, East House, Housing Options Made Easy, Rochester Psychiatric Center), intensive case management programs (Strong Memorial Hospital, Rochester General Health System, Unity Health System), and care coordination services (ACT Team, Project Link, MICA Net).

Ex-offenders are most frequently discharged to their families or to independent living under the supervision of parole. New York State Parole has contracts with several community agencies to place ex-offenders when no other housing can be located (e.g., Salvation Army Adult Rehabilitation Center, Grace House, and DuBois Re-Entry Program). The State is implementing a pilot project in Monroe County for effectively linking eligible individuals from this population to Health Homes, which will provide enhanced care management, ensuring that enrollees are linked to housing and social services supports.

## **Discussion**

The CoC Team and the Monroe County Re-Entry Task Force will continue to work closely together, utilizing common members, to assist ex-offenders to successfully transition back into the community. Linking ex-offenders to mainstream resources will reduce the number of ex-offenders from entering the homeless system. Delphi, the umbrella agency for the Monroe County Re-Entry Task Force was recently awarded funding for a RRH program for person who were released and then end up in the homeless system when their housing plan falls through.

The Veterans Administration (VA) and the Veterans Outreach Center (VOC) will continue to exclusively serve veterans in the CoC geography. The VA and RHA are partners for the HUD VASH program and have developed the local VASH referral/application process and coordinated support services. The VA also partners with two Salvation Army Programs-Booth Haven and Safe Haven-that have beds for veterans through the grant and Per Diem program. Soldier ON has been awarded a SSVF grant to provide prevention and rapid re-housing services to veterans and their families in Monroe County, a program previously administered by VOC. The VOC and the VA will continue to operate programs for veterans returning from Iraq and Afghanistan to welcome them home and link them with employment and other mainstream resources to secure and stabilize their income. The YWCA has an MOU with the VOC to provide services to female veterans.

To reduce the time in ES or TH, the CoC has adopted a Housing First approach, which has resulted in eliminating many preconditions (e.g., sobriety, minimum income threshold) that were previously barriers to housing entry. In addition, ESG funds have been used for rapid rehousing efforts, especially for families, to move them quickly to PH and reduce future episodes of homelessness. Data on the length of time that homeless individuals and families spend in CoC and ESG-funded ES, TH, and SH programs are included in HMIS and will be used to track changes over time. Non-HUD funded projects are represented among the over 70 community agencies in the HSN, where members are educated on best practices and tools that can be used to reduce the length of time individuals stay homeless. To reduce the extent to which individuals and families leaving homelessness experience additional

homeless episodes, the CoC will utilize prevention, diversion, and short-term rental assistance, and arrears payments. The Monroe County DHS Diversion Unit will continue to assist those at risk of homelessness with payment for such costs as back taxes, mortgage payments, auto repairs, and tools/uniforms for jobs. Returns to homelessness are also decreased as the result of less stringent compliance demands for participants, automatic renewals of leases, and ensuring that discharges are in accordance with fair housing requirements. Data in HMIS is used to determine if and when individuals and families leaving TH, RRH, and PSH experience another episode of homelessness in those cases where either they exit to homelessness or they exit to permanent housing, but subsequently re-enter the homeless system in the CoC geography. To improve the housing stability of persons in permanent supportive housing, the CoC will utilize the local Coordinated Entry System to ensure that households are directed to the housing and services that will best meet the needs of that household. Special attention is given to increasing access to mainstream supportive services and enhancing case/care management options to ensure effective integration of homeless housing & community-based services and supports.

## **AP-75 Barriers to affordable housing -91.420, 91.220(j)**

### **Introduction**

The need for affordable housing is especially acute for families and seniors in Monroe County. In recent years, many of Monroe County's 19 towns and 10 villages revised their comprehensive plans. When these were reviewed as part of the Analysis of Impediments, no towns expressed a need for rental housing, market rate or otherwise. Residents replied that local housing problems could be solved with more single family housing.

Monroe County is continuing to work to expand access to and knowledge of County and Town administered Community Development services, such as the Home Improvement Program, Home Improvement solar, first-time homebuyer/homeownership programs, by providing and conducting outreach at our community centers and senior centers, and by providing easily accessible information. In addition, Monroe County implemented a program change to our Home Improvement Program in 2018 by increasing the combined cash and asset limit to \$50,000. This will make the program available to more homeowners in our community.

Monroe County also conducted town tours to share with our municipal partners what the Planning and Development department offers through our various divisions and how we can better help our partners and residents through resources we have available. Community Development discussed in greater detail the impact HUD funding has made to our low-mod income seniors, individuals/small families, and individuals with developmental and intellectual disabilities through our various programs.

Communities outside of the City limits struggle with vacant property issues and there are few high quality, homeownership opportunities for low and moderate-income buyers in many Monroe County communities. Monroe County is continuing our funding for acquisition rehab resale program that will revitalize neighborhoods, increase inventory, and make available more affordable single family residential homes to first-time homebuyers interested in purchasing their first home and at the same time removing blight in the neighborhoods.

**Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

The update to the Analysis of Impediments (AI) indicates that discrimination has been the major negative factor in locating housing for seniors and families. The Fair Housing Action Plan is a multi-year plan designed to address barriers to housing in Monroe County for low-income families and individuals

and members of protected classes.

## **Discussion**



## **AP-85 Other Actions - 91.420, 91.220(k)**

### **Introduction**

#### **Actions planned to address obstacles to meeting underserved needs**

Housing costs remain a burden to low-moderate income families as well as availability of units. Demands for resources continue to increase due to budget cuts and funding regulations and restrictions. Monroe County will continue to focus on: 1) creating and maintaining affordable housing stock; 2) continuing the Home Improvement Program to assist low income homeowners maintain their home and pay for necessary repairs; 3) continue to fund programs that assist job creation and retention and business expansion; 4) increase efforts to expand Section 3 new hires by increasing coordination with the City of Rochester, Rochester Housing Authority, RochesterWorks, Monroe County Human Services-Employment Assistance Division to assist contractors in finding and hiring low income individual and contracting with Section 3 businesses; 5) maintaining funding for the acquisition rehab resale program to increase housing stock and making available more affordable housing for first time homebuyers in suburban Monroe County.

#### **Actions planned to foster and maintain affordable housing**

Creating, maintaining, rehabbing affordable housing stock, prioritizing projects in communities where there is currently no or little affordable housing, continuing to fund public works/infrastructure improvements in low-moderate income areas. Monroe County developed a partnership last year with the Rochester Housing Development Fund Corporation (RHDFC) to expand the acquisition rehab resale program into suburban towns and villages. This initiative will increase affordable housing inventory and make available more single family units for homeowners interested in purchasing their first home in suburban towns and villages throughout Monroe County where housing stock is low and competitive for buyers.

#### **Actions planned to reduce lead-based paint hazards**

Federal requirements for lead-safe work practices and contractor certifications have substantially increased the cost of home repairs, making it more difficult to accomplish steps necessary to ensure health and safety related deficiencies are corrected. Monroe County will continue to enforce actions-specific to its housing programs in lead-based paint hazard identification, disclosure, and reduction. These activities are expected to make up half of the repairs undertaken this year. All properties purchased through the First-Time Homebuyer Program must have inspections for lead-based paint hazards prior to final approval of applications for assistance. Purchase subsidies are issued only after receipt of inspection reports indicating that no lead-based paint hazards are present at the time of

purchase.

Proway Management, a NYS Certified Women/Minority Owned Business, Rochester based lead paint testing firm provides risks assessment and clearance inspection services for the Home Improvement program participants.

The Monroe County Department of Public Health (MCDPH) is the lead agency in regard to lead poisoning prevention. The MCDPH received a \$766,555 Healthy Neighborhoods grant from the NYS Department of Health (NYSDOH), which runs for five years starting April 1, 2014 and has been recently been extended for an additional year through 2020. The focus of the grant is primary prevention for Tobacco Control, Fire Safety, Injury Prevention, Lead Prevention, Indoor Air Quality, General Housing Conditions and Asthma Control. Each year, outreach workers will perform approximately five hundred (500) initial visits in zip code 14605, 14608, 14609, 114611, and 14621, which have well documented public health and housing issues. A total of 125 follow up visits are also anticipated. Topics covered will include lead poisoning prevention (deteriorated paint, dust, cleaning and nutrition), fire safety issues, carbon monoxide, general sanitation issues, code violations, electrical problems, tobacco cessation, general home safety, and controlling asthma triggers. Referrals will be made to MCDPH programs and other agencies when problems are identified.

Lead Safe Work Practices Training – After April 2010, the new federal law requires contractors to become EPA Lead Certified Renovators. The new Lead Renovation, Repair and Painting rule (LRRP) affects anyone who is paid to perform work that disturbs paint in housing and child-occupied facilities built before 1978. This would include residential rental property owners/managers, general contractors, maintenance personnel, and trade contractors, including HVAC, painters, plumbers, carpenters, and electricians. All persons conducting lead hazard control work that are ordered in a County “Notice and Demand,” and/or participating in the County HUD lead grant must successfully complete an approved EPA Renovation, Repair & Painting initial 8-hour training. Prior to the commencement of lead hazard control work the recipient of the “Notice & Demand” and/or property owner must submit proof of this training to the MCDOPH for all such persons. The Cornell School of Industrial Relations is the EPA certified training provider again for 2019, where a total of 24 initial or refresher classes will be conducted. This year the training is again being paid for with funds from the Childhood Lead Poisoning Primary Prevention grant. The Lead Poisoning Prevention Program received another grant from NYSDOH for \$372,904. (Con't below)

### **Actions planned to reduce the number of poverty-level families**

Rochester Monroe Anti-Poverty Initiative (RMAPI) coordinated efforts to access the initial funding to establish adult mentoring programs. Two (2) non-profit organizations have received funding for programs that will work with low income persons to seek pathways to getting ahead.

Monroe County Department of Human Services and Rochester Rehabilitation are partnering in a new program "Paths to Empowerment." This program will support individuals who are making the transition

from public assistance to self-sufficiency. The program will coordinate existing resources and making linkages to community resources to maximize success for persons working toward employment retention.

### **Actions planned to develop institutional structure**

Monroe County Community Development will continue to work collaboratively with the divisions in Planning and Development, other County departments, including the Department of Human Services, Office of Mental Health, Office of the Aging, Youth Bureau, area service providers, the City of Rochester, the Rochester Housing Authority, the Fairport Urban Renewal Agency, the Rochester/Monroe County Homeless Continuum of Care, and local towns and villages to enhance services and develop a more effective system for service coordination

### **Actions planned to enhance coordination between public and private housing and social service agencies**

Community Development staff works collaboratively with the Department of Human Services, the City of Rochester, local towns and villages, local and state public and private housing agencies, including the Rochester Housing Authority and the Fairport Urban Renewal Agency. Coordination with public and private housing and social services agencies are a priority to enhance services and maximize resources.

### **Discussion**

(Con't from above) This grant runs from October 1, 2019 through September 30, 2020. This program provides medical case management and educational intervention for children with elevated blood lead levels of >15ug/dl and their families. Environmental inspections are expected to begin for children with EBLL's down to 5ug/dl toward the end of 2019. Services in response to reports of elevated blood lead levels in children are mandated by NYS Public Health Law Title 10 of Article 13, Section 5 1370-1375 and Part 67 of the NYS Sanitary Code. Lead Program Public Health Sanitarians conduct environmental inspections of properties to find lead hazards in homes where children with elevated lead levels reside or spend considerable time. Any hazards found must be remediated using a certified renovator or an individual certified in lead abatement and the properties must achieve lead dust clearance in accordance with EPA standards. A Lead Program nurse ensures that children receive follow-up testing and care from their pediatric provider. Both sanitarians and nurses provide lead poisoning prevention education to parents and guardians.

The Childhood Lead Poisoning Primary Prevention Program received a five year Childhood Lead Poisoning Primary Prevention grant from the NYSDOH for \$4,475,210 for the period of 4/1/15-3/31/20. This equates to \$895,042/year for five years. Approximately 400 properties that house young children, pregnant women, and refugees will be made lead safe each year and residents and owners of these properties will be educated in lead poisoning prevention and other environmental health hazards in their homes including asthma triggers, mold, pest infestation, fire safety, and carbon monoxide

poisoning prevention. A MCDOPH Lead Program Public Health Sanitarian will visit these homes to conduct a lead inspection, a healthy home inspection, and provide education. Properties found to have conditions conducive to lead poisoning will be designated as an "Area of High Risk" under Public Health Law and have a Notice and Demand issued to the property owner.

In November 2014, the Monroe County Department of Health received a 4 year \$3,270,000 grant from the U.S. Department of Housing and Urban Development for the Lead-Based Paint Hazard Control Program. Grant funding increased the City of Rochester's lead safe affordable housing by remediating 196 housing units and performing Healthy Home inspections (to prevent injury/death) occupied by low and very low income residents with young children. The department expects to submit a renewal application when the next Notice of Funding Availability (NOFA) is released in 2019 to continue these efforts.

## Program Specific Requirements

### AP-90 Program Specific Requirements - 91.420, 91.220(I)(1,2,4)

#### Introduction

#### Community Development Block Grant Program (CDBG)

##### Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>0</b>

#### Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

#### HOME Investment Partnership Program (HOME)

##### Reference 24 CFR 91.220(I)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

Monroe County leverages HOME funds with other funding secured by its housing partners to develop affordable homeownership and rental housing. Community Housing Development Organizations (CHDO) and other non-profit housing developers apply for and receive funding from

state and local resources to support the County's housing program, projects, and goals. Monroe County's investment of HOME funds is consistent with the forms of assistance included in 24 CFR 92.205(b)

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Monroe County, through the HOME program, provides conditioned grants up to \$8,000 of down payment assistance and closing cost assistance to income eligible applicants below 70% of Area Median Income (AMI), and a maximum of \$5,000 for income eligible applicants between 71-80% of AMI for First Time homebuyers to reduce the cost of purchasing their first home.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Monroe County will provide the CHDO Home Investment Partnership program funds to acquire property in accordance with 24 CFR Part 92. At the time a FTHB applicant enters into a purchase agreement for an eligible property (the "Property"), they accept the amount, terms, and conditions of Buyer's Agreement. Home buyers will execute a Note and Mortgage with a Declaration of Restrictive Covenant. Language for the Declaration of Restrictive Covenant will be as follows:

Title of the PROPERTY is conveyed subject to the following restrictions: The Property was acquired with the assistance of funds from Monroe County Home Investment Partnership Funds provided under 24 CFR Part 92. As a condition of the subsidy, the Property must be the Owner's Principal Residence ("Principal Residence" shall mean that the Owner must reside at the Property for any consecutive nine months in each year of the Affordable Housing Period and it must be his/her principal residence) for ten (10) or fifteen (15) years dependent on the Home Investment from the date of this deed. If the Affordable Housing Period has not expired and the Owner sells, rented, title is transferred voluntarily, or in case of bankruptcy, foreclosure, etc., or if the OWNER otherwise fails to occupy the Property as his/her Principal Residence; The Owner agrees to sell the Property to a low-income family as defined in the Regulations that will use the Property as its Principal Residence for the duration of the ten (10) or fifteen (15) year period. Documentation must be provided to Monroe County verifying the prospective buyer's income eligibility. The price at resale must (1) ensure that the Property will remain affordable to a reasonable range of low-income homebuyers, and (2) provide the Owner a fair return on investment (including the Grantee's investment and any capital improvement).

These affordability restrictions and residency requirements shall terminate upon foreclosure or transfer in lieu of foreclosure. The County may use purchase options, rights of first refusal, or other preemptive rights to purchase the housing before foreclosure or deed in lieu of foreclosure to

preserve affordability. Nonetheless, these affordability restrictions shall be revived according to the original terms if, during the original Affordable Housing Period, the Owner of record before the foreclosure, or deed in lieu of foreclosure, or any entity that includes the Owner or those with whom the Owner has or had family or business ties, obtains an ownership interest in the Property. (Con't below)

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

This is not applicable. Monroe County does not use HOME funds to refinance debt.

### **Emergency Solutions Grant (ESG)**

1. Include written standards for providing ESG assistance (may include as attachment)

The County, the City of Rochester, the Continuum of Care (CoC) and HSN (a collaborative of more than 60 local homeless services – legal, primary care, mental health, substance abuse, re-entry providers and community stakeholders) have met throughout each program year to jointly plan for ESG. In keeping with past practice of providing the Emergency Shelter Grants (ESG) Program desk Guide March 2001 to all sub-recipients, the County and the City has provided sub-recipients and community providers that coordinate with them the Emergency Solutions Grant rules and Regulations, and tools available to date including Definition of Homelessness (Criteria for Defining Homeless and Record Keeping Requirements), and Emergency Solutions Grants (ESG) Program Components and Activities. The County and the City provided sub-recipients with information about the new homeless definition and eligibility, documentation and record requirements and HMIS participation. The CoC provides HMIS licenses and training to ESG sub-recipients.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

Coordinated Entry is defined by HUD as a consistent and streamlined process for accessing the resources available in the homeless crisis response system, ensuring that those with the highest need, most vulnerable households in the community are prioritized for services and that the housing and supportive services in the system are used as efficiently and effectively as possible.

The intended target population for Coordinated Entry is all households or person(s) who are experiencing homelessness in Rochester and Monroe County and seeking assistance and homeless

system services. This includes single adults without children, adults accompanied by children, families, unaccompanied youth, parenting youth, person(s) fleeing domestic violence, and veterans.

The community has agreed upon the use of a common assessment tool, known as the VI-SPDAT, to help identify the immediate needs of the household and begin directing them to the appropriate permanent housing intervention based on those needs. Interventions include Rapid Re-Housing for those who have moderate needs and Permanent Supportive Housing, which will be reserved for those with the highest needs. Households scoring low on the assessment are identified as not needing a housing intervention and are likely able to resolve their homelessness without ongoing assistance. The VI-SPDAT score will be used as one of the determinants in the community-wide prioritization model. While it is important to have an efficient and effective process by which households can access the homeless system, it is equally important for households to be quickly exited into the appropriate permanent housing that will best meet their needs and minimize their likelihood of returning to homelessness.

Prioritization is a critical component of a Coordinated Entry system to appropriately exit households to permanent housing and to ensure those with the greatest needs have timely access to services. CE has developed a prioritization model based on input from community stakeholders to establish a process to effectively identify those with the highest needs and connect them to the appropriate permanent housing more quickly. CE Workgroup meets regularly to determine how households are prioritized and what information will be used to determine the way in which the list is ordered. A by name prioritization list is now in place and all TH, PSH, and RRH housing providers are only permitted to accept households that are referred through the Coordinated Entry prioritization process. This process is fairly new, the prioritization list began being used by all providers in December 2017. The work group continues to meet to make sure CE is functioning efficiently and makes revisions to policies and procedures as needed.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

Awards for 2019-20 were based upon a 2019 Request for Proposal (RFP) process in which the County and City published a legal notice in the Daily Record; announced availability on its established website; distributed via networks including those of the CoC, HSN, and Housing Choice listservs. Awardees were chosen by a committee of the County, City, CoC Administrative Board, and a formerly homeless community member. Services under this proposal cycle will run through July 31, 2020. The 2019-20 ESG RFP was publicized and distributed following the same procedure.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions



regarding facilities and services funded under ESG.

In compliance with Section 576.405(a) of the Interim Rule, the County has engaged persons who are currently or formerly homeless in their planning process.

5. Describe performance standards for evaluating ESG.

The County utilizes the Integrated Disbursement and Information System (IDIS) to document program progress and monitor program performance on an ongoing basis. The County requires all ESG sub-recipients to submit data to HMIS as required by HUD. A Consolidated Annual Performance and Evaluation Report (CAPER) is prepared annually by the County. Each ESG funded project now uses HMIS to run their CAPER and download it directly into SAGE for the County and City to produce that report. The CoC will assist the projects as needed to do the submission. The Consolidated CAPER contains a summary of resources and programmatic accomplishments, the status of actions taken in concert with the CoC to implement the strategies contained in the Consolidated Plan, and evaluation of progress made in addressing identified priority needs and objectives.

Performance standards are in alignment with the new measures implemented with the HEARTH Act including: reducing the duration of homelessness; reducing recidivism; reducing the number of people who become homeless; increasing employment and income; and stability in permanent housing.

Resale Provisions con't - The Fair Rate of Return will be based upon the percentage change in the Consumer Price Index over the period of ownership plus the owner's down payment and any capital improvements. Capital Improvements would include window replacement, major system replacement such as roofs, plumbing, updated electrical, bathrooms and kitchens, additions and porches and central air conditioning. If the property depreciates in value there may not be a return on investment.

Monroe County, through its contractor will use an enforcement mechanism to meet the resale requirement. A declaration of restrictive covenant will be filed along with a Note and Mortgage.

Affordability – During the affordability period, the County through its subcontractor would help market the home through the affordable housing network which includes pre-purchase counseling agencies and organizations dedicated to promoting affordable housing. The County, through its subcontractor will also make available down payment and/or closing cost assistance to new, eligible, homebuyers of HOME assisted house to assist with affordability.

Resale and Recapture provisions – homebuyers who receive down payment assistance and/or closing cost assistance and purchase a rehabilitated home funded through the acquisition rehabilitation program would be subject to the terms of 24 CFR Part 92, specifically if the recapture requirement is triggered by a sale, voluntary or involuntary of the Property, and there are no net proceeds or the net proceeds are insufficient to repay the HOME investment due. The County may recapture an amount less than or equal to the net proceeds. The net proceeds are defined as the sale price minus loan repayment and any closing costs (other than HOME funds).

## Attachments

## Citizen Participation Comments

### Citizen Participation Comments – 2019 Annual Action Plan

#### Citizen Participation

Commenter #1

Thank you for advocating on our behalf. We appreciate the support you give us and are grateful that you see the value in the purchase of equipment that will help employ many people who are blind or visually impaired or have other disabilities and are low to moderate income persons. The funds we receive from CDBG make a difference in the lives of many people we employ and serve and the County has been a great partner.

### Citizen Participation Comments – 2019 Annual Action Plan – Substantial Amendment for CDBG-CV and ESG-CV

Monroe County amended its Citizen Participation Plan, utilized the CARES Act Provision for a waiver to expedite procedures to amend its Substantial Amendment to the 2019 Annual Action Plan. Through a written correspondence to HUD, Monroe County distributed via email the notice of funding availability in-lieu of in-person public hearings to our municipal partners, contracted agencies, interested parties, and other service providers prior to receiving proposals. The notice was also posted on the County's website.

The Substantial Amendment to the 2019 Annual Action Plan with funding decisions was posted on the County's website at <https://www2.monroecounty.gov/planning-community.php>. The Amendment was posted for 5 days beginning on May 6, 2020 – May 11, 2020.

#### Citizen Participation

Inquiry #1

I notice your name is attached to the supplemental plan for Monroe County. I have some questions about the funding allocations listed and I'm not sure if I need to make an official public comment – I just have some questions about how this funding works, and whether or not Rochester Regional Health and its affiliates may be eligible for consideration. Please send me an email, or call my cell below.

Response #1

Thank you for your email and interest in Monroe County's CDBG CARES Act funding. It was great speaking with you. As we discussed, I am looping you in with the City of Rochester since your proposed project is located within city limits and the City of Rochester receives their own HUD allocations.

Commenter #1 Thank you for your tremendous support!

Commenter #2 Thank you so much! This is such great news – you made our day!



MONROE COUNTY  
DEPARTMENT OF HUMAN  
SERVICES



**Cheryl Dinolfo**  
*County Executive*

HOUSING / HOMELESS  
SERVICES  
ANNUAL REPORT  
2018

Issued: May 15, 2019

TEMPORARY HOUSING ASSISTANCE/ HOMELESS SERVICES – 2018

Increased focus on emergency housing applicants, recipients, shelter providers and local district practices on the State level, continued in 2018, resulting in new policies and procedures being implemented, by both Social Service Statute and Regulations. NYS OTDA continued its expansion of local certification requirements for facilities under OTDA Certification thresholds. Use of an online Shelter Management System, submission of Operational Budgets (in addition to requests to approve Operational Plans, Safety and Security Plans) were required by NYS OTDA's Office of Shelter Oversight and Compliance in 2017. These continuously evolving requirements require increased coordination, cooperation and communication between Monroe County Department of Human Services (MCDHS) and community providers. We continue to work together with the homeless provider community to serve Monroe County residents in the most efficient and effective ways, while also complying with all applicable rules and regulations from NYS and the Office of Temporary and Disability Assistance (OTDA).

Temporary Housing Assistance Placements

In 2018, the Monroe County Department of Human Services (MCDHS) made 11,186<sup>1</sup> temporary housing assistance (THA) placements for individuals and families. This represents a 19% increase from the 9,424 temporary housing placements made in 2017. This increase may be, in part, the result of the implementation and clarification of New York State Social Services Regulation 304.18 <https://otda.ny.gov/legal/Emergency-Measures-Homeless-Inclement-Weather.pdf> (Code Blue) which requires placement in emergency shelter of otherwise ineligible individuals when the temperatures fall below 32 degrees Fahrenheit with wind-chill.

Year	Families	Individuals	Total	Change from Previous Year	% change	Total Cost	Average cost per placement
2014	2674	5811	8485	-372	-9%	\$4,948,029	\$583
2015	2318	5137	7455	-1030	-12%	\$4,631,902	\$621
2016	2424	5391	7815	+360	4%	\$4,743,783	\$607
2017	2984	6440	9424	+1,609	21%	\$4,635,699	\$492
2018	3293	7893	11,186	+1,762	19%	\$5,293,887	\$473

The 2018 temporary housing assistance placement numbers do not include Monroe County residents that were placed in domestic violence shelters outside of Monroe County. In 2018, an additional \$483,170 was issued for out-of-county placements.

<sup>1</sup> The number of temporary housing placements made is not unduplicated, and does not include canceled placements or certain no show placements.

### Temporary Housing Assistance – Unduplicated Placement Information

Unduplicated placement information tells a story that needs further consideration. While placements increased in 2018 by almost 2,000, the actual individuals entering shelters only increased by 240. In 2017, 2,988 unduplicated singles and 1,299 unduplicated families comprised the 9,424 placements made. In 2018, 3,227 unduplicated singles and 1,292 unduplicated families comprised the 11,186 placements made.

Of note, 445 individuals and/or families accounted for the 965 placements made under the 304.18 Regulations (Code Blue) and 589 individuals and/or families in receipt of Social Security income accounted for 1152 of the total placements.

Placements into THA (especially into hotels which lack case management support) with unresolved outcomes, cause individuals to return to the shelter system for multiple placements within the year. In 2018, approximately 230 individuals were responsible for almost 2,000 more placements.

Year	Total Family Placements	Unduplicated Families	% Change	Total Individual Placements	Unduplicated Individuals	% Change
2014	2674	1625	N/A	5811	3336	N/A
2015	2318	1366	-16%	5137	2973	-11%
2016	2424	1269	-7%	5391	2963	-.3%
2017	2984	1299	2%	6440	2988	.8%
2018	3293	1292	-.5%	7893	3227	8%

### Leading Causes for Temporary Housing Assistance Placements in 2018

The primary cause of homelessness in 2018 continued to be eviction by the primary tenant. Individuals and families residing in the homes of relatives or friends are often asked to leave due to overcrowded conditions, substance use, domestic disputes, family breakups and strained relationships. This placement reason represented 57% of the total temporary housing assistance placements made in 2018.

The second leading cause of homelessness in 2018 was a result of being released from an institution without a plan for permanent housing. Institutions include hospitals, substance use treatment programs, Monroe County Jail and other correctional facilities. This placement reason represented 12% of THA placements made in 2018.



Temporary Housing Assistance Placement Reasons 2014-2019

Cause of Homelessness	2014	2015	2016	2017	2018
Eviction by Primary Tenant	64%	65%	69%	60%	57%
Release from Institution	13%	12%	11%	11%	12%
Eviction by Landlord	8%	7%	7%	8%	8%
Arrived from out of county	6%	6%	5%	5%	5%
Domestic Violence	7%	7%	6%	5%	5%
Street Homeless*				3%	3%
SS Law 304.1* (Code Blue)				3%	9%
Fire	1%	1%	1%	1%	1%
All other causes	1%	2%	1%	4%	n/a

\*added as new reasons in 2017

Temporary Housing Assistance Placements – Youth

In 2018, 443 youths (ages 16-21, unduplicated) were placed in temporary housing. As some youths experienced multiple bouts of homelessness, the total number of youth placements into temporary housing for 2018 was 1047. Of the total youth placements made in 2018, 49% were placed in the adult shelter system, 45% were placed in the youth shelter system and 6% were placed in hotels.<sup>2</sup>

Temporary Housing Assistance Placements – Youth (ages 16-21) 2014-2018

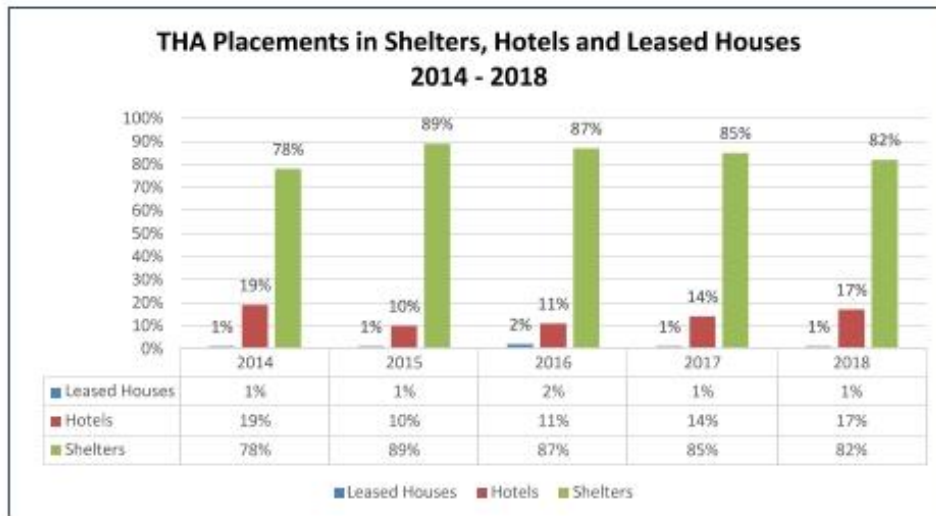
Year	Youths Placed	Change from Previous Year	% change	Total Placements	Change from Previous Year	% change
2014	523	-107	-8%	934	-82	-9%
2015	465	-58	-11%	858	-76	-8%
2016	479	+14	+3%	845	-13	-2%
2017	450	-29	-6%	966	121	14%
2018	443	-7	-1.5%	1047	81	8%

<sup>2</sup> These percentages are based on the unduplicated numbers.

Temporary Housing Assistance Placements – Shelters, Hotels and Leased Houses

Shelters and leased houses provide case management services that are not provided at a hotel. When making a placement, shelters are always utilized first, and a hotel placement is only made when a shelter bed or leased house is unavailable. In some situations, hotel placements are utilized when a shelter option is not appropriate based on the household circumstances. In 2018, MCDHS entered into a contract with MC Collaborative to provide case management services to households placed in a hotel setting due to lack of space or household circumstances. A case manager will complete the VISPDAT and place individuals on the Prioritization List while the household waits for a placement in a shelter or leased house, avoiding a delay in needed services and minimizing the time spent in temporary housing. Case management services will be provided for the duration of the hotel placement.

In 2018, 82% of the temporary housing assistance placements were made at homeless shelters, while hotel placements accounted for 17% of the total temporary housing assistance placement and leased houses 1%. MCDHS has actively worked with THA providers to reduce the number of placements made in hotels. It is important to note that 34% of temporary housing placements made in 2006 were made in hotels. In 2018, MCDHS continued to hold hotel placements to some of the lowest levels seen since that time. In 2018 the increase in the number of placements made at hotels is solely due to the increase in After Hours placements late enough to ensure a hotel placement by individuals trying to circumvent the traditional shelter system.



Average Length of Stay

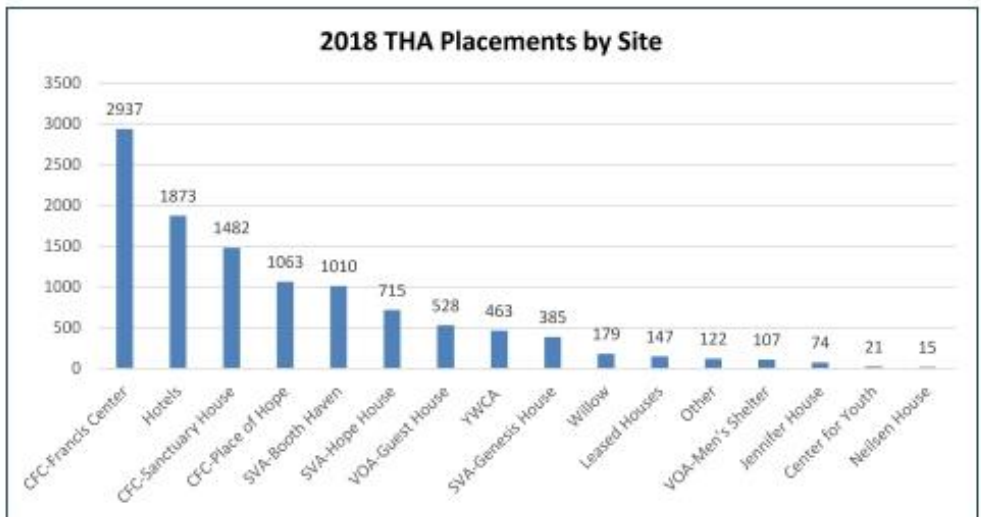
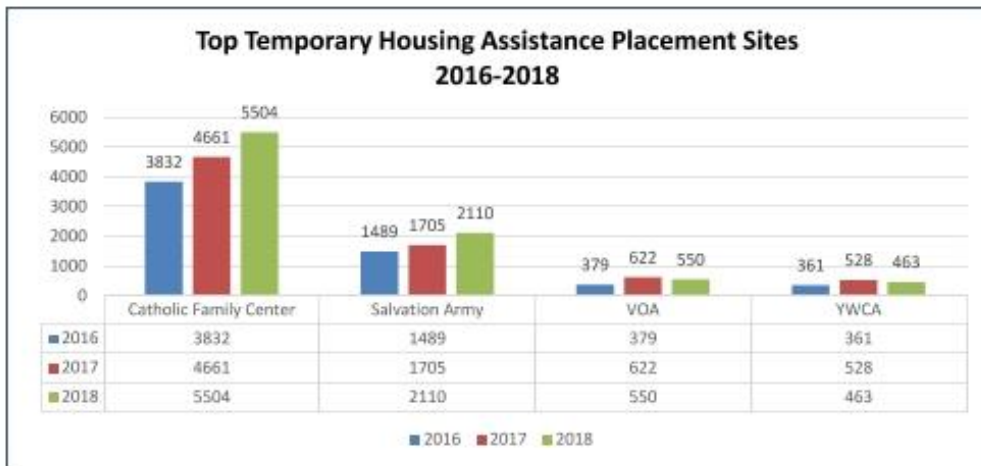
The goal of MCDHS is to assist homeless residents in securing appropriate permanent housing as quickly as possible. In 2018 MCDHS continued the practice of having MCDHS staff liaisons assigned to each temporary housing facility. This allows MCDHS staff and temporary housing/shelter staff to collectively assess and address the needs of each household, determine barriers to accessing stable, permanent housing and developing independent living plans tailored to each household's circumstance. MCDHS THA reimbursed lengths of stay across facility types either remained the same or decreased slightly in 2018.

	Families			Individuals		
	2017	2018	Change	2017	2018	Change
Hotels	3	2	-1	3	3	N/A
Shelters	15	15	N/A	11	11	N/A
Leased Houses	24	22	-2	-	-	N/A

Contracted Temporary Housing Assistance Beds and Placements

During 2018, MCDHS contracted with various community agencies for approximately 388 temporary housing assistance beds for homeless individuals and families. These are approximate numbers as rooms can be reconfigured based on need, and some of the shelter beds are "moved" between various programs when necessary. When the shelters are unable to accommodate a placement, MCDHS utilizes various hotels and motels across Monroe County.

Willow Domestic Violence Center	37 beds	Individuals with children
Catholic Family Center – Francis Center	38 beds	Single men
Catholic Family Center – Sanctuary House	46 beds	Women – single and with children (17 rooms)
Catholic Family Center – Place of Hope	43 beds	Families, couples and singles (18 rooms)
Center for Youth	13 beds	Youth (16-20) male or female
<b>Salvation Army Men's Shelter</b> - Booth Haven	29 beds	Single men
Salvation Army Youth Shelter – Genesis House	14 beds	Youth (16-21) male or female
<b>Salvation Army Women's Shelter</b> – Hope House	19 beds	Single women
<b>Tempo Development (Temple B'rith Kodesh)</b>	58 beds	Families (11 units)
VOC Richards House	6 beds	Single men (Veterans)
Volunteers of America – Guest House	42 beds	Families, couples and singles (12 rooms)
Volunteers of America - <b>Men's Shelter</b>	3 beds	Single men
YWCA	40 beds	Families, single men or women w/ children (20 rooms)



### Temporary Housing Assistance Placement No –Shows

When a family or individual is placed by the Housing Unit they are instructed to report to the shelter by 5:30 PM. If they have not arrived by 6:00 PM, their placement is canceled and their bed(s) are once again made available for other homeless individuals and families. When the placement is made during non-business hours (through the After-Hours process), the placement is paid for by MCDHS even if the client fails to show for the placement. In 2018, a total of 921 no show placements were paid by MCDHS.

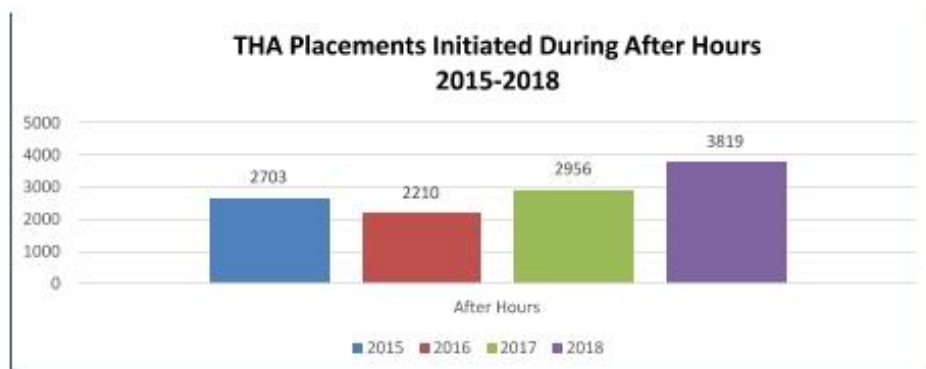
During 2018, an additional 412 (119 Family and 298 Individual placements) no show placements were released and MCDHS did not pay for those placements.

### After-Hours Placements

In 2017, 2,956 placements were made during non-business hours through the After-Hours process, which was a 34% increase from 2016. In 2018, 3,819 After-Hours placements were made – an increase of 863, or another 29% from 2017. The 2018 increase can be attributed in part to the implementation and clarification of New York State Social Services Regulation 304.18. This increased volume has resulted in difficulties accessing the system during an emergency and will necessitate changes in 2019. MCDHS continues to explore solutions for individuals facing an emergency resulting in the need for THA placement during non-business hours.

Of note, 61% of individuals placed through After-Hours fail to appear the next business day for continued placement.

Historical evidence suggests that experienced callers sometimes seek placement through After-Hours when they are aware they would not otherwise be eligible for THA, by providing inaccurate information to seek placement at hotels, particularly on holidays and weekends. Since 2015, improvements to the After-Hours process has helped to better discern Temporary Housing eligibility. In 2017, 170 of these placements (6%) were made for callers in which erroneous identity information was provided. In 2018, the number of placements with erroneous information was 207 or 5%.



### Tenant Accountability Training (TAT)

In September 2009, MCDHS, the City of Rochester and the Housing Council collaborated to offer TAT. The goal of TAT is to assist recipients of Temporary Assistance (TA) in achieving their highest level of self-sufficiency. The training focuses on the value of being a good tenant and neighbor, along with the costs to the individual, their children and the community of a transient lifestyle. The client's attendance is considered a continuing eligibility requirement for TA, and failure to attend would be cause to discontinue the client's TA grant. Circumstances that warrant a referral to TAT include: Two moves within the last 12 months, a former landlord has filed a claim on a Landlord Tenant Security Agreement for \$500 or greater, two emergency housing placements within a 12-month period, the head of household is under the age of 21, administrative discretion, a TA household that contains a child under the age of 6 and is residing in the areas that have been identified by the City of Rochester as posing the highest risk of exposure to lead poisoning, or a request from a city, town or Housing Council inspector, the Health Department, a MCDHS case worker or investigator.

In December 2010, the curriculum for half of the training sessions was revised to include a segment on Healthy Homes, specifically geared toward to lead poisoning. The goal of this portion of the training is to increase the tenant's knowledge and awareness of potential lead hazards. The participants are also given instructions, resource materials, and contact information to use in the event that they identify a potential lead hazard in their home. In August of 2011, this was expanded and now all TAT trainings include a segment on Healthy Homes and specifically lead poisoning. In July of 2013, educational information was added to the training regarding the identification, prevention and treatment of bedbugs.

Surveys of participants consistently find that over 96% of those who respond felt that as a result of the presentation they were better able to identify lead hazards in their home. During 2018, 399 TA recipients attended TAT. Since its resurrection in September 2009 through December 31, 2018 there have been 10,001 participants in TAT.

### Monroe County Department of Human Services Housing Unit

The Monroe County Department of Human Services Housing Unit was established to serve the emergency needs of the homeless and the housing needs of the low-income residents in our community. The following services are provided by the Housing Unit and other units within MCDHS:

- Housing Unit staff work with shelter providers to assist residents in securing financial assistance, locating housing and providing relocation services such as moving, utility turn-on and acquiring essential furniture and appliances.
- The Housing Unit provides the homeless with housing assistance and linkages to other County staff, including: Adult Protective, Child Protective, Financial Assistance, Mental Health, Youth Bureau, Probation, as well as community service providers.
- The Housing Unit has a Senior Examiner designated as a single point of entry for any youth between the ages of 16 to 18 that are homeless and/or applying for cash assistance as the head of household. MCDHS staff conducts investigations to locate responsible adults, or, in

the event no adult is available, work with community providers to locate appropriate permanent housing and link the youths to needed services.

- MCDHS operates an after-hours placement line: 442-1742. After hours telephone coverage is available during non-business hours to provide emergency assistance to individuals facing emergencies during non-business hours.
- The Housing Unit is the central point of entry for MCDHS placement into temporary housing. Staff coordinate the placement of the homeless into available beds. A daily census is taken at the shelters and hotels to maximize use of available beds and identify and address any client-specific barriers to relocation into permanent housing.
- Screening of all homeless individuals and families who are not receiving Temporary Assistance to determine eligibility for temporary housing assistance. When appropriate, expedited Supplemental Nutrition Assistance Program (SNAP) benefits, personal needs allowances and assistance with first month's rent are issued.
- The Housing Unit operates a Landlord "Hotline": 753-6034. Questions and concerns are resolved quickly, and in many instances have prevented evictions. Staff work directly with landlords to resolve agency/landlord disputes. In 2018 1,586 calls from landlords and property managers were received.
- The Housing Unit conducts yearly inspections of the shelters and semi-annual hotel inspections to ensure that safe and sanitary housing is being provided to homeless residents.
- The Housing Unit operates the Rent Withholding Program which works to ensure that buildings with open health and safety violations occupied by Temporary Assistance recipients are brought up to code compliance. When necessary, rental payments are withheld until major violations are corrected.
- MCDHS issues a Landlord Tenant Security Agreement (LTSA) in lieu of a cash security deposit. The LTSA secures the landlord against tenant-caused damages and unpaid rent up to a maximum amount equal to two months of the household's Temporary Assistance shelter allowance. The Emergency Housing Unit is responsible for processing claims made on Landlord Tenant Security Agreements. In 2018, there were 99 claims filed on Landlord Tenant Security Agreements. There has been a steady decline in the number of LTSA claims that are being filed annually. MCDHS does not maintain statistics on the number of Landlord-Tenant Security Agreements that are being issued each year, but there has been a decline in the number of inspections that are being done related to the LTSA process. While there may be several factors that are causing this, it is felt that more landlords are requiring cash security deposits. The Community Homeless Coordinator regularly meets with landlords and property managers to review the LTSA process and encourage more landlords to accept the LTSA to increase the number of property options available to DHS clients.
- Provide emergency payments to financially eligible home-owners which include payments to prevent tax or mortgage foreclosures or help with necessary repairs to maintain current occupied housing.
- MCDHS, in partnership with the Rochester Housing Authority (RHA), operates a Shelter

Plus Care grant. The Shelter Plus Care program is funded through Housing and Urban Development (HUD) and provides rent subsidies to low-income households. This program helps house homeless individuals and families in which the head-of-household suffers from mental illness, chronic substance abuse and/or other disabilities. Rochester Housing Authority manages the rental subsidies and MCDHS Emergency Housing Unit staff assists in determining preliminary eligibility. Ongoing case management services are provided by various agencies in the community. Currently, 75 households in Monroe County are receiving rent subsidies through this grant.

Monroe County Department of Human Services staff are also active participants in the following organizations:

- **Homeless Services Network:** This organization facilitates networking, coordinating, training, consulting and fundraising among individuals who work for, or with agencies who provide high-quality, accessible and effective health, social, housing and other services to individuals who are homeless. Members represent approximately 60 community agencies, including County and City departments. In 2015, the Homeless Services Network became the official stakeholder body of the Rochester and Monroe County Homeless Continuum of Care.
- **Homeless Continuum of Care Team:** This team, comprised of staff from Monroe County, the City of Rochester, and the Homeless Service Providers' Network, facilitates and evaluates the implementation of the local Homeless Continuum of Care Plan. Each year, this group is responsible to coordinate the community's submission for the HUD Super Notification of Funding Availability (NOFA). This includes collecting community data, facilitating a community priority exercise, ranking proposals to meet community needs and writing the Rochester/Monroe County application for HUD funds.
- **"Rapid Re-Housing Services" Program:** This team functions as a collaboration of community partners. Some of the key elements of this collaboration include:
  - A staff person that will work in conjunction with MCDHS staff in developing a pool of potential landlords that will have housing readily available for our homeless clients. As part of this process, they will identify and address any issues that landlords may have to renting to our clients. (i.e. Landlords who are reluctant to accept a Landlord Tenant Security Agreement.)
  - A staff person who will work with MCDHS and shelter staff in locating appropriate housing for families that have completed the Vulnerability Index - Service Prioritization Decision Assistance Tool (VI-SPDAT), score in the Rapid Re-Housing (RRH) intervention spectrum and have been prioritized through the Coordinated Entry Community Prioritization List.
- **Coordinated Entry:**

The vision for Coordinated Entry is to:



1. Ensure that everyone who needs emergency shelter is able to access and receive services.
  2. Reduce episodes of homelessness and the number of bed placements through coordinated diversion strategies and tactics.
  3. Utilize MCDHS as the primary entry point into the homeless services system. Eliminating "side doors" ensures equitable access to necessary services.
  4. Emergency shelters and outreach programs will use the common assessment tool (VI-SPDAT) that is supported in our community.
  5. Decrease the length of homeless episodes by moving households to permanent housing as quickly as possible.
  6. Permanent Supportive Housing and Rapid Re-Housing programs are expected to fill beds/vacancies from a single prioritization list that is accessible in the Homeless Management Information System (HMIS).
- Chronically Homeless Workgroup: The MCDHS Community Homeless Coordinator co-chairs this group and it became a committee of the Continuum of Care with the charge of addressing the needs of all the chronically homeless in Monroe County. Along with members of the CoC, the Monroe County Department of Human Services, PCHO, Rapid Rehousing, the Veterans Administration, Rochester Regional Health System, faith based providers, community volunteers and community shelter and homeless services providers, this group continues to work with other community partners to identify this group of individuals, their specific needs and remove any barriers they face. The group regularly goes out where the chronically homeless members of our community are, to offer options, case management, apartment searching and other services.

In 2018 the CH Workgroup accomplished the following:

- Point in Time (PIT) Training and Implementation – January of 2018
- Added Coordinated entry to the meeting for ongoing oversight.
- Added Department of Transportation to the group
- Met with Rochester Transit Services to form relationship
- Created cross-agency work group for Cadillac Hotel closure; assisting 24 of 25 households with locating alternative, less expensive, housing options
- Assisted with cross-agency work group to address South Ave Encampment and worked with Mayor's Office and Spectrum to advise on Peace Village
- Continued focus on Department of Transportation locations and response to homelessness.
- Successfully advocated for special review of street homeless to access housing on the waitlist

## Resale and Recapture Policy

**First Time Homebuyer Assistance Subsidy Recapture** - Monroe County, through the HOME program, provides conditional grants up to \$8,000 to income eligible applicants to reduce the cost of purchasing eligible single-family properties. Subsidy is estimated on household size, income, and closing costs. Homebuyers with Area Median Income (AMI) of 30%-70% are eligible for 100% of down payment and closing cost assistance, plus 3% of the sale price. Homebuyers with AMI of 71-80% are eligible for closing cost assistance only. Monroe County will comply with HOME regulations (24 CFR Part 92.254(a)(5) regarding recapture of HOME subsidies. At the time a FTHB applicant enters into a purchase agreement for an eligible property (the "Property"), they accept the amount, terms and conditions of the grant by execution of a Buyer's Agreement. At the time of closing for each homebuyer and upon completion of work (for Home Improvement Program projects – see below) and prior to payment of grant funds for homeowners, a note and mortgage (the "Note and Mortgage") is executed and recorded, securing the total grant funds awarded ("Affordable Housing Subsidy") for the project. This obligates the homeowner to recapture provisions if they fail to reside in the Property for the requisite affordability period. The recapture provisions in the Note and the Mortgage specify that if the Net Proceeds (defined as the sale price minus the cost of (1.) repaying the outstanding mortgage(s) encumbering the Property; (2.) the reasonable and customary closing costs; (3.) reimbursement of the homeowner's original down payment; and (4.) verifiable capital improvements made by the homeowner to the Property are insufficient to repay the full amount of the Affordable Housing Subsidy, the homeowner will repay only a pro-rated portion of the Affordable Housing Subsidy, but never more than the Net Proceeds available from the sale. This HUD approved practice will remain in effect for all future homeownership activities under the HOME program. Language from the Note and Mortgage is as follows:

1. The HOMEBUYER shall execute a Note and Mortgage securing for the COUNTY the total amount of the Affordable Housing Subsidy. The term of the Note and Mortgage shall be five (5) years from the date of this Note and Mortgage, which time shall be known as the "Affordable Housing Period".
2. The HOMEBUYER is not required to pay interest on the Affordable Housing Subsidy and is not required to repay any part of the principal during the Affordable Housing Period as long as he/she owns and resides at the Property. For purposes of this Note and Mortgage, residing shall mean that the HOMEBUYER must reside at the Property for any nine consecutive months in each year of the Affordable Housing Period and it must be his/her principal residence. Should the HOMEBUYER retain ownership and residency for the full five (5) year Affordable Housing Period, he/she shall be provided with a recordable Satisfaction of Mortgage and owe nothing to the COUNTY.

If the HOMEBUYER either sells the Property or gives up residence at the Property during the five (5) year affordable housing period, he/she shall repay to the COUNTY the full amount of

the Affordable Housing Subsidy. He/She shall make this repayment to the COUNTY within ninety (90) days of his/her sale of the Property or termination of residency at the Property.

However, if the Property is sold and the sales price minus the Net Proceeds is insufficient to repay the full amount of the Affordable Housing Subsidy, then the HOMEBUYER shall repay to the COUNTY only a pro-rated portion of the Affordable Housing Subsidy. (The HOMEBUYER's investment is defined as original down payment, principal paid on the first mortgage(s), and verifiable capital improvements, if any.) In such a case, the HOMEBUYER shall repay to the COUNTY the full amount of the Affordable Housing Subsidy less 20% for each full year the HOMEBUYER has owned and resided at the Property, but no more than the net proceeds. In any event, the HOMEBUYER must repay to the COUNTY any amount recovered from the sale of the Property in excess of his/her investment, but no more than the amount of the affordable housing subsidy.

However, if the Property is sold and the total sales price minus the cost of: (1.) repaying the outstanding mortgage(s) encumbering the Property; (2.) the reasonable and customary closing costs; (3.) reimbursement of the homeowner's original down payment; and (4.) verifiable capital improvements made by the homeowner to the Property (the "Net Proceeds"), is not enough to pay the affordable housing subsidy, then the HOMEBUYER shall repay to the COUNTY only a pro-rated portion of the affordable housing subsidy. In such a case, the HOMEBUYER shall repay to the COUNTY the full amount of the affordable housing subsidy less 20% for each full year the HOMEBUYER has owned and resided at the Property, but no more than the net proceeds.

**Home Improvement Program Subsidy Recapture** - Monroe County provides conditional grants and/or loans to eligible Home Improvement Program (HIP) participants for eligible rehabilitation activities. Monroe County will comply with HOME regulations (24 CFR Part 92.254(a)(5) regarding recapture of HOME subsidies. Participants execute a HIP agreement upon determination of eligibility and selection of a rehabilitation contractor, prior to the commencement of work. Language for Home Improvement Program Note and Mortgage (the "Note and Mortgage") are as follows:

This Note and Mortgage shall immediately become due and payable, without notice or demand, and the OWNER shall immediately pay to the COUNTY the total amount secured by this Note and Mortgage if any one or more of the following events occurs during the affordable housing period:

- a. the Property is rented, title is transferred voluntarily or by operation of law, or in case of bankruptcy, foreclosure, etc., or if the OWNER otherwise fails to occupy the PROPERTY as his/her Principal Residence. ("Principal Residence" shall mean that the OWNER must reside at the Property for any consecutive nine months in each year of the Affordable Housing Period and it must be his/her principal residence);

- b. the OWNER fails to make payments on the Loan as prescribed by Manufacturers and Traders Trust Company (the "Bank"); (if applicable)
- c. the OWNER fails to maintain as current all Property taxes;
- d. the OWNER fails to keep the Property, including all improvements, insured against loss by fire and other risks as required by Lender(s) or as required by the COUNTY;
- e. the OWNER fails to keep the Property in reasonably good repair.

**Community Housing Development Organization (CHDO) Acquisition Rehab and Affordable new Construction (Make Monroe Home) Program Subsidy for Owner Occupants – Resale** (new program) Monroe County will provide the CHDO Home Investment Partnership program funds to acquire property in accordance with 24 CFR Part 92. At the time a FTHB applicant enters into a purchase agreement for an eligible property (the "Property"), they accept the amount, terms, and conditions of Buyer's Agreement. Home buyers will execute a Note and Mortgage with a Declaration of Restrictive Covenant. Language for the Declaration of Restrictive Covenant will be as follows:

Title of the PROPERTY is conveyed subject to the following restrictions: The Property was acquired with the assistance of funds from Monroe County Home Investment Partnership Funds provided under 24 CFR Part 92. As a condition of the subsidy, the Property must be the Owner's Principal Residence ("Principal Residence" shall mean that the Owner must reside at the Property for any consecutive nine months in each year of the Affordable Housing Period and it must be his/her principal residence) it as a for ten (10) or fifteen (15) years dependent on the Home Investment from the date of this deed. If the Affordable Housing Period has not expired and the Owner sells, rented, title is transferred voluntarily, or in case of bankruptcy, foreclosure, etc., or if the OWNER otherwise fails to occupy the Property as his/her Principal Residence; The Owner agrees to sell the Property to a low-income family as defined in the Regulations that will use the Property as its Principal Residence for the duration of the ten (10) or fifteen (15) year period. Documentation must be provided to Monroe County verifying the prospective buyer's income eligibility. The price at resale must (1) ensure that the Property will remain affordable to a reasonable range of low-income homebuyers, and (2) provide the Owner a fair return on investment and any capital improvement).

These affordability and residency restrictions shall terminate upon foreclosure or transfer in lieu of foreclosure. The County may use purchase options, rights of first refusal, or other preemptive rights to purchase the housing before foreclosure or deed in lieu of foreclosure to preserve affordability. Nonetheless, these affordability restrictions shall be revived according to the original terms if, during the original Affordable Housing Period, the Owner of record before the foreclosure, or deed in lieu of foreclosure, or any

entity that includes the Owner or those with whom the Owner has or had family or business ties, obtains an ownership interest in the Property.

Grantee SF-424's and Certification(s)

OMB Number: 4340-0041  
Expiration Date: 12/31/2019

Application for Federal Assistance SF-424		
* 1 Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		* 2 Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision
* 3 Date Received: 06/14/2015		* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
4 Applicant Identifier: <input type="text"/>		5a. Federal Entity Identifier: <input type="text"/>
5b. Federal Award Identifier: <input type="text"/>		State Use Only: 6 Date Received by State: <input type="text"/>
7. State Application Identifier: <input type="text"/>		8. APPLICANT INFORMATION:
* a. Legal Name: Monroe County		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 16-002563		* c. Organizational OUNS: 0796782400000
d. Address:		
* Street 1:	50 W. Main Street	
* Street 2:	1100 City Place	
* City:	Rockwell	
* County/Parish:	Monroe	
* State:	LA - New York	
* Province:		
* Country:	USA: UNITED STATES	
* Zip / Postal Code:	70411-1293	
e. Organizational Unit:		
Department Name:	Planning and Development	
Division Name:	Community Development	
f. Name and contact information of person to be contacted on matters involving this application:		
* Prefix:	* First Name:	Quinn
* Middle Name:		
* Last Name:	Quinn	
* Suffix:		
* Title:	Community Development Manager	
Organizational Affiliation:		
Monroe County Department of Planning and Development		
* Telephone Number:	504-753-2000	* Fax Number: 504-753-2000
* Email:	cquinn@monroecounty.gov	

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**  
  
**Type of Applicant 2: Select Applicant Type:**  
  
**Type of Applicant 3: Select Applicant Type:**  
  
**\* Other (specify):**

**\* 10. Name of Federal Agency:**

**11. Catalog of Federal Domestic Assistance Number:**  
  
**CFDA Title:**

**\* 12. Funding Opportunity Number:**  
  
**\* Title:**

**13. Competition Identification Number:**  
  
**Title:**

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

**\* 15. Descriptive Title of Applicant's Project:**

Attach supporting documents as specified in agency instructions.

**Application for Federal Assistance SF-424**

16. Congressional Districts DC:  
 \* a. Applicant: KY 25      \* b. Program/Project: KY 25

Attach an additional list of Program/Project Congressional Details if needed:

17. Proposed Project:  
 \* a. Start Date: 08/01/2019      \* b. End Date: 07/31/2020

18. Estimated Funding (\$):

* a. Federal	1,877,555.00
* b. Applicant	
* c. State	
* d. Local	
* e. Other	
* f. Program Income	12,000.00
* g. TOTAL	1,849,555.00

\* 19. Is Application Subject to Review By § 106 Under Executive Order 12372 Process?  
 a. This application was made available to the State under the Executive Order 12372 Process for review on \_\_\_\_\_  
 b. Program is subject to E.O. 12372 but has not been selected by the State for review.  
 c. Program is not covered by E.O. 12372.

\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in Attachment.)  
 Yes       No  
 If "Yes", provide explanation and attach:

21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims, may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)  
 I AGREE  
 \*\* The list of certifications and assurances, or an alternate site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: [redacted]      \* First Name: County      \* Last Name: [redacted]  
 Middle Name: [redacted]  
 Suffix: [redacted]  
 Title: County Executive  
 Telephone Number: 505-753-1000      Fax Number: 505-753-2023  
 Email: countyexecutive@monocounty.gov  
 Signature of Authorized Representative: [redacted]      \* Date Signed: 06/13/2019





ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0008  
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (03-38-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

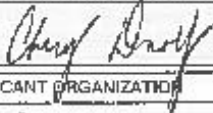
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §54001 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1881-1883, and 1885-1886), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 424D (Rev. 7-87)  
Prescribed by GMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) installation of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of wetland facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11980; (d) evaluation of flood hazards in floodplains in accordance with EO 11986; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1965, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in ensuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-7 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	County Executive
APPLICANT ORGANIZATION	DATE SUBMITTED
Marion County	06/13/2019

SF-424D (Rev. 7-97) Back



Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, attach appropriate letter(s). <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: 04/11/2014	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
8. APPLICANT INFORMATION:		
* a. Legal Name: Monroe County		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 16-6002503	* c. Organizational DUNS: 019578215000	
d. Address:		
* Street: 500 W. Main Street	<input type="text"/>	
Street2: 8100 City Place	<input type="text"/>	
* City: Rochester	<input type="text"/>	
County/Parish: Monroe	<input type="text"/>	
* State: NY: New York	<input type="text"/>	
Province: <input type="text"/>	<input type="text"/>	
* Country: USA: UNITED STATES	<input type="text"/>	
* ZIP/Postal Code: 14614-1207	<input type="text"/>	
e. Organizational Unit:		
Department Name: Economic Development	Division Name: Community Development	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text"/>	* First Name: Cheryl	
Middle Name: <input type="text"/>	<input type="text"/>	
* Last Name: Douch	<input type="text"/>	
Suffix: <input type="text"/>	<input type="text"/>	
Title: Community Development Manager		
Organizational Affiliation: Monroe County Department of Planning and Development		
* Telephone Number: 565-753-2000	* Fax Number: 565-753-2028	
* Email: egardner@monroecounty.gov		

**Application for Federal Assistance SF-424**

\* 9. Type of Applicant 1: Select Applicant Type:

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

---

\* 10. Name of Federal Agency:

---

11. Catalog of Federal Domestic Assistance Number:

CFDA Title:

---

\* 12. Funding Opportunity Number:

\* Title:

---

13. Competition Identification Number:

Title:

---

14. Areas Affected by Project (Cities, Counties, States, etc.):

---

\* 15. Descriptive Title of Applicant's Project:

---

Attach supporting documents as specified in agency instructions.

Application for Federal Assistance SF-424

16. Congressional Districts Of:

\* a. Applicant:  \* b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

\* a. Start Date:  \* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="1,059,489.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value="86,134.00"/>
* g. TOTAL	<input type="text" value="1,146,622.00"/>

\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

Yes  No

(If "Yes", provide explanation and attach

21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)

\*\* I AGREE

\*\* The list of certifications and assurances, or an internal site where you may obtain the list, is contained in the announcement or agency specific instructions.

Authorized Representative

Prefix:  \* First Name:

Middle Name:

Last Name:

Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:  \* Date Signed:



ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0008  
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

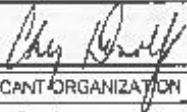
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4729-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-618), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 d-3 and 290 c-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 4240 (Rev. 7-97)  
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) installation of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11614; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11980; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 170(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1966 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	County Executive
APPLICANT ORGANIZATION	DATE SUBMITTED
Monroe County	06/11/2019


SF-424D (Rev. 7-97) Back



Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate category: <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: 06/14/2019	* 4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
8. APPLICANT INFORMATION:		
* a. Legal Name: Monroe County		
* b. Employer/Traveller Identification Number (EIN/TIN): 06-6002563	* c. Organizational DUNS: 0795782490000	
d. Address:		
* Street: 50 W. Main Street	<input type="text"/>	
* Street2: 8100 City Place	<input type="text"/>	
* City: Rochester	<input type="text"/>	
* County/Parish: Monroe	<input type="text"/>	
* State: <input type="text"/>	NY, New York	
* Province: <input type="text"/>	<input type="text"/>	
* Country: <input type="text"/>	USA; UNITED STATES	
* Zip + Postal Code: 14614-1253	<input type="text"/>	
e. Organizational Unit:		
Department Name: Planning and Development	Division Name: Community Development	
f. Name and contact information of person to be contacted on matters involving this application:		
* Name: <input type="text"/>	* First Name: Chant	
* Middle Name: <input type="text"/>	<input type="text"/>	
* Last Name: Quach	<input type="text"/>	
* Suffix: <input type="text"/>	<input type="text"/>	
* Title: Community Development Manager		
Organizational Affiliation: Monroe County Department of Planning and Development		
* Telephone Number: 585-753-2030	* Fax Number: 585-753-2028	
* Email: cquach@monroecounty.gov		



Application for Federal Assistance SF-424	
<p>* 9. Type of Applicant 1: Select Applicant Type:</p> <input type="text" value="6: County Government"/> <p>Type of Applicant 2: Select Applicant Type:</p> <input type="text"/> <p>Type of Applicant 3: Select Applicant Type:</p> <input type="text"/> <p>* Other (optional):</p> <input type="text"/>	
<p>* 10. Name of Federal Agency:</p> <input type="text" value="U.S. Department of Housing and Urban Development"/>	
<p>11. Catalog of Federal Domestic Assistance Number:</p> <input type="text" value="14-275"/> <p>CFDA Title:</p> <input type="text"/>	
<p>* 12. Funding Opportunity Number:</p> <input type="text" value="N/A"/> <p>* Title:</p> <input type="text" value="N/A"/>	
<p>13. Competition Identification Number:</p> <input type="text"/> <p>Title:</p> <input type="text"/>	
<p>14. Areas Affected by Project (Cities, Counties, States, etc.):</p> <input type="text"/> <p style="text-align: right;"> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachments"/> </p>	
<p>* 15. Descriptive Title of Applicant's Project:</p> <input type="text" value="Emergency Solutions Grants"/>	
<p>Attach supporting documents as specified in agency instructions.</p> <p style="text-align: right;"> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </p>	

Application for Federal Assistance SF-424	
16. Congressional Districts OR:	
* a. Applicant: 017 25	* b. Program/Project: 017 25
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachments"/>	
17. Proposed Project:	
* a. Start Date: 08/03/2015	* b. End Date: 07/31/2020
18. Estimated Funding (\$):	
* a. Federal	153,145.00
* b. Applicant	
* c. State	
* d. Local	
* e. Other	
* f. Program Income	
* g. TOTAL	153,145.00
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on: <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach: <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachments"/>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil or administrative penalties. (U.S. Code, Title 28, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: (153)	* First Name: Cheryl
Middle Name:	
* Last Name: Ginnifre	
Suffix:	
* Title: County Executive	
* Telephone Number: 585-753-1900	Fax Number: 585-753-2028
* Email: courtneyevan@washingtoncountycnv.gov	
* Signature of Authorized Representative: 	* Date Signed: 06/12/2019



**CERTIFICATIONS**

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** --The jurisdiction will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

**Anti-Lobbying** --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.

*Cheryl Arnold*  
Signature of Authorized Official

06/13/2019  
Date



Monroe County Executive  
Title

### Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

**Following a Plan** -- It is following a current consolidated plan that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) \_\_\_\_\_ [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

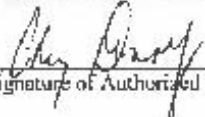
**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

**Compliance with Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

**Compliance with Laws** -- It will comply with applicable laws.

  
\_\_\_\_\_  
Signature of Authorized Official

June 13, 2019  
Date



Monroe County Executive  
Title

**APPENDIX TO CERTIFICATIONS**

**INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:**

**Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**Housing Opportunities for Persons With AIDS Certifications**

The HOPWA grantee certifies that:

**Activities** -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

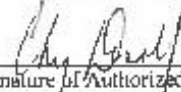
1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility.
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title

**Discharge Policy** – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

  
\_\_\_\_\_  
Signature of Authorized Official

June 13, 2019  
Date

Monroe County Executive  
Title





## Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

**Major rehabilitation/conversion/renovation** – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

**Matching Funds** – The recipient will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

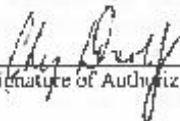
**Specific HOME Certifications**

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** – If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

**Eligible Activities and Costs** -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

**Subsidy layering** – Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

  
\_\_\_\_\_  
Signature of Authorized Official

June 13, 2019  
Date

Monroe County Executive  
Title



**OPTIONAL Community Development Block Grant Certification**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.204(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision select appropriate category: <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: 05/ /2020	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
<b>B. APPLICANT INFORMATION:</b>		
* a. Legal Name: Monroe County		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 16-002553	* c. Organization's DUNS: 0756792420000	
d. Address:		
* Street1: 50 W. Main Street	<input type="text"/>	
Street2: 1150 City Place	<input type="text"/>	
* City: Hopewell	<input type="text"/>	
County/Parish: Monroe	<input type="text"/>	
* State: LA	NY: New York	
Province: <input type="text"/>	<input type="text"/>	
* Country: USA: UNITED STATES	<input type="text"/>	
* Zip/Postal Code: 70514-1293	<input type="text"/>	
e. Organizational Unit:		
Department Name: Planning and Development	Division Name: Community Development	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text"/>	* First Name: Shawn	
Middle Name: <input type="text"/>	<input type="text"/>	
* Last Name: Duch	<input type="text"/>	
Suffix: <input type="text"/>	<input type="text"/>	
Title: Community Development Manager		
Organizational Affiliation: Monroe County Department of Planning and Development		
* Telephone Number: 504-753-2030	Fax Number: 504-753-2028	
* Email: c.duch@monroecounty.gov		

<b>Application for Federal Assistance SF-424</b>		
* 8. Type of Applicant 1: Select Applicant Type:		
<input type="text" value="U: County Government"/>		
Type of Applicant 2: Select Applicant Type:		
<input type="text"/>		
Type of Applicant 3: Select Applicant Type:		
<input type="text"/>		
* Other (Specify):		
<input type="text"/>		
* 10. Name of Federal Agency:		
<input type="text" value="U.S. Department of Housing and Urban Development"/>		
11. Catalog of Federal Domestic Assistance Number:		
<input type="text" value="14-239"/>		
CFDA Title:		
<input type="text"/>		
* 12. Funding Opportunity Number:		
<input type="text" value="N/A"/>		
* Title:		
<input type="text" value="N/A"/>		
13. Competition Identification Number:		
<input type="text"/>		
Title:		
<input type="text"/>		
14. Areas Affected by Project (Cities, Counties, States, etc.):		
<input type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>
		<input type="button" value="View Attachment"/>
* 15. Descriptive Title of Applicant's Project:		
<input type="text" value="Community Development Block Grant-CDBG Act 2020 (CDBG-CY)"/>		
Attach supporting documents as specified in agency instructions.		
<input type="button" value="Add Attachments"/>	<input type="button" value="Delete Attachments"/>	<input type="button" value="View Attachments"/>

**Application for Federal Assistance SF-424**

16. Congressional District Of:

\* a. Applicant: NY 25      \* b. Program/Project: NY 25

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

\* a. Start Date:       \* b. End Date:

18. Estimated Funding (\$):

* a. Federal	1,095,243.00
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	1,095,243.00

\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

\* 20. Is this Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

Yes       No

If "Yes", provide explanation and attach

21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements therein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms. If I accept an award, I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1961)

\*\* I AGREE

\* The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement or agency specific instructions.


Authorized Representative:

Prefix: Hon.      \* First Name: Adm.  
 Middle Name:   
 \* Last Name: Belle  
 Suffix:

\* Title: County Executive

\* Telephone Number: 585-753-1000      Fax Number: 585-733-2023

\* Email: countyexecutive@monroeconomy.gov

\* Authorized Representative:  \* Date Signed: 5/8/2016



ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0008  
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

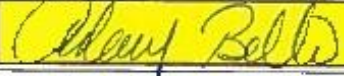
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§472B-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§8001 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Previous Edition Usable

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Standard Form 4240 (Rev. 7-81)  
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7326) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-323); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11503 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Empty Executive
APPLICANT ORGANIZATION	DATE SUBMITTED
Kane County	5/8/2020

SF-424D (Rev. 7-97) Back





Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text" value="5 / /2020"/>	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
<b>B. APPLICANT INFORMATION:</b>		
* a. Legal Name: <input type="text" value="Monroe County"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="26-6022583"/>	* c. Organizational OMB#: <input type="text" value="075622490050"/>	
d. Address:		
* Street1: <input type="text" value="50 W. Main Street"/>	Street2: <input type="text" value="1150 City Place"/>	
* City: <input type="text" value="Rochester"/>	County/Parish: <input type="text" value="Monroe"/>	
* State: <input type="text" value="NY: New York"/>	Province: <input type="text"/>	
* Country: <input type="text" value="USA: UNITED STATES"/>	* Zip / Postal Code: <input type="text" value="14614-1292"/>	
e. Organizational Unit:		
Department Name: <input type="text" value="Planning and Development"/>	Division Name: <input type="text" value="Community Development"/>	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text" value="Ms."/>	First Name: <input type="text" value="Shawn"/>	
Middle Name: <input type="text"/>	* Last Name: <input type="text" value="Boach"/>	
Suffix: <input type="text"/>	Title: <input type="text" value="Community Development Manager"/>	
Organizational Address: <input type="text" value="Monroe County Department of Planning and Development"/>		
* Telephone Number: <input type="text" value="585-153-2000"/>	Fax Number: <input type="text" value="585-153-2028"/>	
* Email: <input type="text" value="SBoach@monroecounty.gov"/>		

Application for Federal Assistance SF-424	
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="S: County Government"/>	
<b>Type of Applicant 2: Select Applicant Type:</b> <input type="text"/>	
<b>Type of Applicant 3: Select Applicant Type:</b> <input type="text"/>	
<b>* Other (specify):</b> <input type="text"/>	
<b>* 10. Name of Federal Agency:</b> <input type="text" value="U.S. Department of Housing and Urban Development"/>	
<b>11. Display of Federal Domestic Assistance Number:</b> <input type="text" value="14-235"/>	
<b>CFDA Title:</b> <input type="text"/>	
<b>* 12. Funding Opportunity Number:</b> <input type="text" value="N/A"/>	
<b>* Title:</b> <input type="text" value="N/A"/>	
<b>13. Competition Identification Number:</b> <input type="text"/>	
<b>Title:</b> <input type="text"/>	
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text"/> <div style="display: flex; justify-content: space-around; margin-top: 5px;"> <span>Add Attachment</span> <span>Delete Attachment</span> <span>View Attachment</span> </div>	
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="Emergency Solutions Grant CARES Act 2020 (ESG-CO)"/>	
<b>Attach supporting documents as specified in agency instructions.</b> <div style="display: flex; justify-content: space-around; margin-top: 5px;"> <span>Add Attachments</span> <span>Delete Attachments</span> <span>View Attachments</span> </div>	

**Application for Federal Assistance SF-424**

16. Congressional Districts Of:

\* a. Applicant:  \* b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

\* a. Start Date:  \* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="\$55,766.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value=""/>
* g. TOTAL	<input type="text" value="\$55,766.00"/>

\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

Yes  No

If "Yes", provide explanation and attach

21. "By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1601)

I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Print:  \* First Name:  \* Last Name:

Title:

\* Telephone Number:  \* Fax Number:

\* Email:

Signature of Authorized Representative:  \* Date Signed:



**CERTIFICATIONS**

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** --The jurisdiction will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

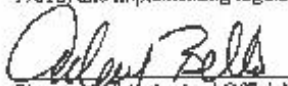
**Anti-Lobbying** --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701g) and implementing regulations at 24 CFR Part 135.

  
Signature of Authorized Official

5/19/2020  
Date

MONROE COUNTY EXECUTIVE  
Title



## Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

**Following a Plan** -- It is following a current consolidated plan that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

**1. Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

**2. Overall Benefit.** The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2018-2019 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

**3. Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

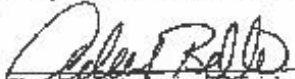
**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

**Compliance with Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

**Compliance with Laws** -- It will comply with applicable laws.

  
Signature of Authorized Official

5/19/2020  
Date

MONROE COUNTY EXECUTIVE  
Title



**OPTIONAL Community Development Block Grant Certification**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title

**Specific HOME Certifications**

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** – If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

**Eligible Activities and Costs** – It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

**Subsidy layering** – Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

\_\_\_\_\_  
N/A  
Signature of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title



## Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

**Major rehabilitation/conversion/renovation** – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

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**Matching Funds** – The recipient will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

**Discharge Policy** – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

  
Signature of Authorized Official

5/19/2020  
Date

MONROE COUNTY EXECUTIVE  
Title



**APPENDIX TO CERTIFICATIONS**

**INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:**

**Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

## INTERIM ESG-CV Certifications (NON-STATE)

The Emergency Solutions Grants Program Recipient certifies that:

**Major rehabilitation/conversion** – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**EXCEPTION:** In accordance with the CARES Act, the certifications in this paragraph do not apply with respect to CARES Act funding that is used to provide temporary emergency shelters (through leasing of existing property, temporary structures, or other means) to prevent, prepare for, and respond to coronavirus.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

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~~**Matching Funds** – The jurisdiction will obtain matching amounts required under 24 CFR 576.204.~~

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the written authorization of the person responsible for the operation of that shelter.

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Signature/Authorized Official

5/19/2020  
Date

County Executive  
Title



Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input type="checkbox"/> New <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text" value="As Increase Award"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text" value="07/2020"/>	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input style="background-color: yellow;" type="text"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
<b>B. APPLICANT INFORMATION:</b>		
* a. Legal Name: <input type="text" value="Knox County"/>		
* c. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="46-0002553"/>	* e. Organizational DUNS: <input type="text" value="0795752490000"/>	
d. Address:		
* Street: <input type="text" value="50 W. Main Street"/>	<input type="text"/>	
* Street2: <input type="text" value="1150 City Place"/>	<input type="text"/>	
* City: <input type="text" value="Eastchester"/>	<input type="text"/>	
* County/Parish: <input type="text" value="Savage"/>	<input type="text"/>	
* State: <input type="text" value="NY"/>	<input type="text" value="New York"/>	
* Province: <input type="text"/>	<input type="text"/>	
* Country: <input type="text" value="USA"/>	<input type="text" value="UNITED STATES"/>	
* Zip/Postal Code: <input type="text" value="14610-1293"/>	<input type="text"/>	
e. Organizational Unit:		
Department Name: <input type="text" value="Planning and Development"/>	Division Name: <input type="text" value="Community Development"/>	
f. Name and contact information of person to be contacted re matters involving this application:		
Prefix: <input type="text" value="Ms."/>	* First Name: <input type="text" value="Gusach"/>	
Middle Name: <input type="text"/>	<input type="text"/>	
* Last Name: <input type="text" value="Gusach"/>	<input type="text"/>	
Suffix: <input type="text"/>	<input type="text"/>	
Title: <input type="text" value="Community Development Manager"/>		
Organizational Affiliation: <input type="text" value="Knox County Department of Planning and Development"/>		
* Telephone Number: <input type="text" value="605-753-2600"/>	Fax Number: <input type="text" value="605-753-2008"/>	
* Email: <input type="text" value="cgusach@knoxcounty.gov"/>		

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personnel or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4901 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1942 (42 U.S.C. §§280 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Previous Edition Usable

Authorized for Local Reproduction

Standard Form 424D (Rev. 7-97)  
Prescribed by OMB Circular A-102

### **INTERIM ESG-CV Certifications (NON-STATE)**

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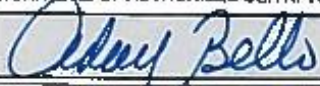
  
Signature/Authorized Official

10/23/2020  
Date

Monroe County Executive  
Title



11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) abolition of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (Identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Monroe County Executive
APPLICANT ORGANIZATION Monroe County	DATE SUBMITTED 10/27/2020

SF-424D (Rev. 7-97) Back



Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type:	<input type="text" value="3: County Government"/>
Type of Applicant 2: Select Applicant Type:	<input type="text"/>
Type of Applicant 3: Select Applicant Type:	<input type="text"/>
* Other (specify):	<input type="text"/>
* 10. Name of Federal Agency:	<input type="text" value="U.S. Department of Housing and Urban Development"/>
11. Catalog of Federal Domestic Assistance Number:	<input type="text" value="14-219"/>
CFDA Title:	<input type="text"/>
* 12. Funding Opportunity Number:	<input type="text" value="N/A"/>
* Title:	<input type="text" value="N/A"/>
13. Competition Identification Number:	<input type="text"/>
Title:	<input type="text"/>
14. Areas Affected by Project (Cities, Counties, States, etc.):	<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
* 15. Descriptive Title of Applicant's Project:	<input type="text" value="Emergency Solutions Grant (ESG) Act 2008 (ESG-081)"/> <input type="text" value="Emergency Solutions Grant (ESG) Act 2008 (ESG-082)"/>
Attach supporting documents as specified in agency instructions.	<input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>

**Application for Federal Assistance SF-424**

16. Congressional Districts Of:

\* a. Applicant  \* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

\* a. Start Date:  \* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="1,491,303.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value=""/>
* g. TOTAL	<input type="text" value="1,491,303.00"/>

\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

Yes  No

If "Yes", provide explanation and attach:

21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement of agency specific instructions.

Authorized Representative:

Prefix:  \* First Name:

Middle Name:

\* Last Name:

Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:  \* Date Signed:

