

2016-2017

CONSOLIDATED ANNUAL PERFORMANCE AND  
EVALUATION REPORT (CAPER)  
FOR HOUSING & COMMUNITY DEVELOPMENT IN  
SUBURBAN MONROE COUNTY



**Affordable Rental Housing Projects**



**Home Improvement Program Project - Before and After**

**October 27, 2017**



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## **CR-05 - Goals and Outcomes**

### **Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)**

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

Monroe County Community Development utilized CDBG and HOME funds for a number of activities, targeted at providing more affordable housing options for suburban low- to moderate-income families, seniors, residents at or below the poverty level, and persons with special needs. Monroe County continued existing programs that improve access to and the quality of public facilities, the Home Improvement Program (HIP), home ownership assistance program, the Housing Council's housing stability programs, and the development of affordable rental housing. In addition, Monroe County provided assistance to a local business for the creation of 100 jobs within 3 years (2015-2017), 51 percent (51%) were targeted to individuals with low to moderate income.

Through the county administered Home Improvement Program, 60 total projects (CDBG: 51; HOME: 9) were completed, totaling \$929,148.79 (CDBG: \$754,970.79; HOME: \$174,178), with an average cost of \$15,486 per project. Projects were completed in Gates, Chili, Henrietta, Ogden, Parma, Penfield, East Rochester, Webster and the Villages of Hilton and Webster, with HOME funded projects in Greece and Irondequoit. These projects preserved existing housing, maintained property value, and helped stabilize the community.

The homeownership program, administered by the Housing Council at PathStone, provides various comprehensive services to prospective homeowners who wish to purchase their first home. CDBG funds were used to conduct eligibility screening and provide pre- and post-purchase counseling, homebuyer education, credit/debt/budget counseling, home repair and maintenance education, and refinancing education. During the 2016-17 program year, 19 first-time homebuyers received direct subsidies for closing cost assistance totaling \$64,010 (average \$3,369 per homebuyer). In addition, the Housing Council provided foreclosure prevention activities to help prevent mortgage foreclosure and mitigate pending foreclosures.

Public facilities and improvements projects totaled \$396,361.34 throughout Monroe County. Projects were completed in the Villages of Brockport (2015-\$40,000), Churchville (2015-\$8,313), Hilton (2014-\$20,850), Pittsford (2014-\$13,260), Webster (2016-\$64,305), and in the towns of Brighton (2014-\$40,000), Hamlin (2013-\$18,659.91), Henrietta (2015-\$32,000), Ogden (2015-\$19,000), Parma (2015-\$11,463.13), Penfield (2014-\$34,750), Perinton (2014-\$27,800; 2015-\$32,000), Rush (2015-\$4,610.30; 2016-\$5,350) and Sweden (2015-\$24,000).

Monroe County provided HOME funds for the construction of 3 affordable housing projects to improve the availability and quality of affordable rental housing in the town of Gates, Henrietta and Sweden (Brockport). Funds were provided for the construction of Crerand Commons in the town of Gates (\$200,000). Crerand Commons is a 33 unit (10 HOME) townhouse development, a mix of 17 3-bedroom and 16 2-bedroom rented to families below 50% AMI. Five of the units are set-aside for homeless and victims of domestic violence. Frances Apartments (\$200,000) in the town of Sweden is a mixed-income project consisting of 56-unit (one-, two-, and three-bedroom) (11 HOME) workforce apartments for small families, including units set-aside for individuals with intellectual and developmental disabilities (construction completed August 2017). HOME funds were provided for Heritage Gardens (\$300,000) for the construction of an 83 unit (one- and two-bedroom) (11 HOME) apartment facility for individuals with intellectual and developmental disabilities and small families (under construction). Two projects that were funded in the 2015-16 program year, Hope Gardens in Rochester and Cobblestone Place in the town of Webster have been completed.

**Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)**

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

| Goal                                     | Category           | Source / Amount | Indicator                | Unit of Measure        | Expected – Strategic Plan | Actual – Strategic Plan | Percent Complete | Expected – Program Year | Actual – Program Year | Percent Complete |
|--|--------------------|-----------------|--------------------------|------------------------|---------------------------|-------------------------|------------------|-------------------------|-----------------------|------------------|
| Develop Affordable Housing Opportunities | Affordable Housing | HOME: \$        | Rental units constructed | Household Housing Unit | 120                       | 32                      | 26.67%           | 110                     | 89                    | 80.91%           |
| Develop Affordable Housing Opportunities | Affordable Housing | HOME: \$        | Homeowner Housing Added  | Household Housing Unit | 0                         | 0                       |                  | 0                       | 0                     |                  |

|  |  |                    |   |                     |    |       |         |       |       |         |
|--|--|--------------------|---|---------------------|----|-------|---------|-------|-------|---------|
| Develop Affordable Housing Opportunities           | Affordable Housing   | HOME: \$           | Direct Financial Assistance to Homebuyers   | Households Assisted | 40 | 19    | 47.50%  | 20    | 19    | 95.00%  |
| Improve access to and quality of public facilities | Public Facilities  | CDBG: \$           | Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit | Persons Assisted    | 0  | 13297 |         | 19775 | 13297 | 67.24%  |
| Improve access to and quality of public facilities | Public Facilities  | CDBG: \$           | Other   | Other               | 1  | 1     | 100.00% |       |       |         |
| Provide Economic Development and Job Training      | Non-Homeless Special Needs Non-Housing Community Development | CDBG: \$           | Jobs created/retained   | Jobs                | 40 |       | %       | 10    | 45    | 450.00% |
| Provide Economic Development and Job Training      | Non-Homeless Special Needs Non-Housing Community Development | CDBG: \$           | Businesses assisted   | Businesses Assisted | 1  | 0     | 0.00%   | 2     | 0     | 0.00%   |
| Provide essential public services                  | Homeless Non-Homeless Special Needs                          | CDBG: \$ / ESG: \$ | Public service activities other than Low/Moderate Income Housing Benefit                    | Persons Assisted    | 50 | 94    | 188.00% | 4531  | 1866  | 41.18%  |

|  |   |                     |   |                        |     |     |         |    |     |         |
|--|---|---------------------|---|------------------------|-----|-----|---------|----|-----|---------|
| Provide essential public services            | Homeless Non-Homeless Special Needs                         | CDBG: \$ / ESG: \$  | Public service activities for Low/Moderate Income Housing Benefit | Households Assisted    | 50  | 360 | 720.00% | 94 | 302 | 321.28% |
| Provide essential public services            | Homeless Non-Homeless Special Needs                         | CDBG: \$ / ESG: \$  | Direct Financial Assistance to Homebuyers                         | Households Assisted    | 0   |     |         | 0  | 0   |         |
| Provide essential public services            | Homeless Non-Homeless Special Needs                         | CDBG: \$ / ESG: \$  | Tenant-based rental assistance / Rapid Rehousing                  | Households Assisted    | 150 | 0   | 0.00%   | 30 | 16  | 53.33%  |
| Provide essential public services            | Homeless Non-Homeless Special Needs                         | CDBG: \$ / ESG: \$  | Homelessness Prevention   | Persons Assisted       | 150 | 0   | 0.00%   | 30 | 82  | 273.33% |
| Provide essential public services            | Homeless Non-Homeless Special Needs                         | CDBG: \$ / ESG: \$  | Jobs created/retained   | Jobs                   | 0   | 0   |         | 79 | 15  | 18.99%  |
| Provide planning and administration services | Non-Housing Community Development Planning / Administration | CDBG: \$ / HOME: \$ | Homeowner Housing Rehabilitated                                   | Household Housing Unit | 0   |     |         | 0  | 0   |         |
| Provide planning and administration services | Non-Housing Community Development Planning / Administration | CDBG: \$ / HOME: \$ | Other   | Other                  | 1   | 1   | 100.00% | 1  | 1   | 100.00% |

|  |                    |                     |                                 |                        |     |    |        |    |    |        |
|--|--------------------|---------------------|---------------------------------|------------------------|-----|----|--------|----|----|--------|
| Repair and conserve existing housing stock | Affordable Housing | CDBG: \$ / HOME: \$ | Homeowner Housing Rehabilitated | Household Housing Unit | 200 | 60 | 30.00% | 65 | 60 | 92.31% |
|--|--------------------|---------------------|---------------------------------|------------------------|-----|----|--------|----|----|--------|

**Table 1 - Accomplishments – Program Year & Strategic Plan to Date**

**Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.**

Monroe County’s 2016-17 Community Development Block Grant (CDBG) and Home Investment Partnership Program (HOME) funds were directed toward accomplishing the following primary program goals which directly address the priorities and objectives identified in the Strategic and Annual Action Plan. Goal Outcome Indicators were not established in the Strategic Plan in the Public Facilities Category, therefore our annual outcomes exceed our goals.

Activities accomplished during the program year include improving access to and the quality of public facilities; replacing deteriorated infrastructure with safe utilities; repairing and conserving existing housing stock; providing essential public services, particularly those that promote homeownership, fair housing, and housing stability; developing affordable rental and homeownership opportunities for all low- to moderate-income residents; and providing job training and economic development opportunities for low- to moderate-income persons and persons with special needs.

## CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

|   | CDBG         | HOME      | ESG        |
|---|--------------|-----------|------------|
| White                                     | 2,415        | 74        | 269        |
| Black or African American                 | 385          | 9         | 463        |
| Asian                                     | 118          | 0         | 2          |
| American Indian or American Native        | 4            | 0         | 3          |
| Native Hawaiian or Other Pacific Islander | 0            | 0         | 3          |
| <b>Total</b>                              | <b>2,922</b> | <b>83</b> | <b>740</b> |
| Hispanic                                  | 27           | 6         | 0          |
| Not Hispanic                              | 2,895        | 77        | 740        |

Table 2 – Table of assistance to racial and ethnic populations by source of funds

## Narrative

## CR-15 - Resources and Investments 91.520(a)

### Identify the resources made available

| Source of Funds | Source | Resources Made Available | Amount Expended During Program Year |
|-----------------|--------|--------------------------|-------------------------------------|
| CDBG            | CDBG   | 1,651,379                | 1,890,301                           |
| HOME            | HOME   | 849,244                  | 725,331                             |
| HOPWA           | HOPWA  |                          |                                     |
| ESG             | ESG    | 149,730                  | 82,702                              |
| Other           | Other  |                          |                                     |

Table 3 - Resources Made Available

### Narrative

Monroe County's 2016 Community Development allocation was \$2,650,353 for the CDBG, HOME, and ESG Programs. The total program income received for CDBG and HOME programs for the year was \$473,677.43. The above amounts expended include resources from prior years for both CDBG and HOME.

### Identify the geographic distribution and location of investments

| Target Area                             | Planned Percentage of Allocation | Actual Percentage of Allocation | Narrative Description |
|---|----------------------------------|---------------------------------|-----------------------|
| Brighton                                |                                  | 4                               |                       |
| Brockport                               |                                  | 3                               |                       |
| Churchville                             |                                  | 1                               |                       |
| East Rochester                          |                                  | 1                               |                       |
| Fairport                                |                                  | 0                               |                       |
| Gates                                   |                                  | 22                              |                       |
| Henrietta                               |                                  | 19                              |                       |
| Hilton                                  |                                  | 2                               |                       |
| Monroe County Service Area block groups | 100                              |                                 |                       |
| Ogden                                   |                                  | 2                               |                       |
| Parma                                   |                                  | 3                               |                       |
| Penfield                                |                                  | 5                               |                       |
| Perinton                                |                                  | 3                               |                       |



|             |  |    |                           |
|-------------|--|----|---------------------------|
| Pittsford   |  | 1  | includes town and village |
| Rush        |  | 1  |                           |
| Scottsville |  | 0  |                           |
| Sweden      |  | 12 |                           |
| Webster     |  | 5  | includes town and village |

**Table 4 – Identify the geographic distribution and location of investments**

## Narrative

The above chart does not correctly reflect all of the towns and villages in Monroe County's Urban County Consortium due to incorrect set-up at the time of the Strategic Plan and Annual Action Plan submission.

Chili was 3 percent; Clarkson was 1 percent; Greece (HOME) was 5 percent; Hamlin was 3 percent; Irondequiot (HOME) was 3 percent; Riga was 1 percent of the actual percentage of allocation, which was not included in the Strategic Plan. The actual percentage of allocations include projects funded in previous program years but completed in the current program year. These numbers also included the towns of Greece and Irondequiot, which are part of the County's HOME consortium.

## **Leveraging**

**Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.**

The Department of Planning and Development makes maximum use of its financing tools and continues to develop programs that pool Community Development funds with other departmental resources for initiatives that serve low- and moderate-income persons in Monroe County. The Economic Development Grant and Loan Fund, paired with County of Monroe Industrial Development Agency (rebranded this year to Imagine Monroe powered by COMIDA) and Monroe County Industrial Development Corporation (MCIDC) financing, provides funding for business start-ups, expansions, and relocations from other areas.

The HOME Program attracts substantial private and other public dollars to its funded projects. The sources of these leveraged funds (other than match funds) are many and include investor equity; tax credit syndications; homebuyer down payments; private rental and home ownership loans; and other state, federal, and local housing and community development programs and foundations.

Monroe County carried forward \$1,297,802 in HOME match from the 2015 Federal fiscal year. During the 2016 program year, Monroe County disbursed \$623,174 in HOME funds. During this period, Monroe County earned \$131,600 in new match which, combined with the amount remaining from 2015, will allow the county to carry forward \$1,191,673 in match for the 2017 program year.

Match contributions for both HOME and ESG were matched from non-federal resources. Monroe County maintains records demonstrating compliance with HOME match requirements, including a running log and project records documenting the type and amount of match contributions by project. The HOME Program attracts substantial private and other public dollars into its funded projects. Match contributions from ESG were a one-to-one (1:1) cash and/or in-kind match. Cash match were from fundraising and donations and landlord tenant agreements through the Monroe County Department of Human Services for security deposit and 1st month's rent. In addition, there were in-kind match for staff time to follow-up with recipients that have come through the ESG Coordinated Access Street Outreach program.

| <b>Fiscal Year Summary – HOME Match</b>  |           |
|--|-----------|
| 1. Excess match from prior Federal fiscal year                                 | 1,297,802 |
| 2. Match contributed during current Federal fiscal year                        | 131,600   |
| 3. Total match available for current Federal fiscal year (Line 1 plus Line 2)  | 1,429,402 |
| 4. Match liability for current Federal fiscal year                             | 237,729   |
| 5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4) | 1,191,673 |

**Table 5 – Fiscal Year Summary - HOME Match Report**

| Match Contribution for the Federal Fiscal Year |                      |                            |                               |                              |                         |   |                |             |
|--|----------------------|----------------------------|-------------------------------|------------------------------|-------------------------|---|----------------|-------------|
| Project No. or Other ID                        | Date of Contribution | Cash (non-Federal sources) | Foregone Taxes, Fees, Charges | Appraised Land/Real Property | Required Infrastructure | Site Preparation, Construction Materials, Donated labor | Bond Financing | Total Match |
| 3992   | 01/13/2017           | 8,000                      | 0                             | 0                            | 0                       | 0   | 0              | 8,000       |
| 3993   | 01/04/2017           | 8,000                      | 0                             | 0                            | 0                       | 0   | 0              | 8,000       |
| 3994   | 01/13/2017           | 8,000                      | 0                             | 0                            | 0                       | 0   | 0              | 8,000       |
| 3996   | 01/13/2017           | 8,000                      | 0                             | 0                            | 0                       | 0   | 0              | 8,000       |
| 3997   | 01/13/2017           | 8,000                      | 0                             | 0                            | 0                       | 0   | 0              | 8,000       |
| 4006   | 01/19/2017           | 8,000                      | 0                             | 0                            | 0                       | 0   | 0              | 8,000       |
| 4007   | 01/19/2017           | 8,000                      | 0                             | 0                            | 0                       | 0   | 0              | 8,000       |
| 4040   | 04/28/2017           | 8,000                      | 0                             | 0                            | 0                       | 0   | 0              | 8,000       |
| 4041   | 04/28/2017           | 8,000                      | 0                             | 0                            | 0                       | 0   | 0              | 8,000       |
| 4043   | 05/11/2017           | 59,600                     | 0                             | 0                            | 0                       | 0   | 0              | 59,600      |

Table 6 – Match Contribution for the Federal Fiscal Year

## HOME MBE/WBE report

| Program Income – Enter the program amounts for the reporting period |   |   |                                |  |
|---|---|---|--------------------------------|--|
| Balance on hand at beginning of reporting period<br>\$              | Amount received during reporting period<br>\$ | Total amount expended during reporting period<br>\$ | Amount expended for TBRA<br>\$ | Balance on hand at end of reporting period<br>\$ |
| 300   | 122,367                                       | 52,733  | 0                              | 69,634   |

Table 7 – Program Income

| Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period |            |                                   |                           |                    |          |                    |
|--|------------|-----------------------------------|---------------------------|--------------------|----------|--------------------|
|  | Total      | Minority Business Enterprises     |                           |                    |          | White Non-Hispanic |
|  |            | Alaskan Native or American Indian | Asian or Pacific Islander | Black Non-Hispanic | Hispanic |                    |
| Contracts  |            |                                   |                           |                    |          |                    |
| Dollar Amount  | 13,934,176 | 6,967,088                         | 0                         | 0                  | 33,050   | 6,934,038          |
| Number   | 6          | 3                                 | 0                         | 0                  | 2        | 1                  |
| Sub-Contracts  |            |                                   |                           |                    |          |                    |
| Number   | 46         | 23                                | 0                         | 1                  | 0        | 22                 |
| Dollar Amount  | 10,365,918 | 5,182,959                         | 0                         | 453,025            | 0        | 4,729,934          |
|  | Total      | Women Business Enterprises        | Male                      |                    |          |                    |
| Contracts  |            |                                   |                           |                    |          |                    |
| Dollar Amount  | 6,967,088  | 8,900                             | 6,958,188                 |                    |          |                    |
| Number   | 3          | 1                                 | 2                         |                    |          |                    |
| Sub-Contracts  |            |                                   |                           |                    |          |                    |
| Number   | 23         | 1                                 | 22                        |                    |          |                    |
| Dollar Amount  | 5,182,959  | 207,224                           | 4,975,735                 |                    |          |                    |

**Table 8 - Minority Business and Women Business Enterprises**

| <b>Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted</b> |              |  |                                  |                           |                 |                           |
|--|--------------|--|----------------------------------|---------------------------|-----------------|---------------------------|
|  | <b>Total</b> | <b>Minority Property Owners</b>          |                                  |                           |                 | <b>White Non-Hispanic</b> |
|  |              | <b>Alaskan Native or American Indian</b> | <b>Asian or Pacific Islander</b> | <b>Black Non-Hispanic</b> | <b>Hispanic</b> |                           |
| Number   | 0            | 0  | 0                                | 0                         | 0               | 0                         |
| Dollar Amount  | 0            | 0  | 0                                | 0                         | 0               | 0                         |

**Table 9 – Minority Owners of Rental Property**

| <b>Relocation and Real Property Acquisition</b> – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition |       |                                   |                           |                    |          |                    |
|--|-------|-----------------------------------|---------------------------|--------------------|----------|--------------------|
| Parcels Acquired   |       | 0                                 |                           | 0                  |          |                    |
| Businesses Displaced   |       | 0                                 |                           | 0                  |          |                    |
| Nonprofit Organizations Displaced  |       | 0                                 |                           | 0                  |          |                    |
| Households Temporarily Relocated, not Displaced  |       | 0                                 |                           | 0                  |          |                    |
| Households Displaced   | Total | Minority Property Enterprises     |                           |                    |          | White Non-Hispanic |
|  |       | Alaskan Native or American Indian | Asian or Pacific Islander | Black Non-Hispanic | Hispanic |                    |
| Number   | 0     | 0                                 | 0                         | 0                  | 0        | 0                  |
| Cost   | 0     | 0                                 | 0                         | 0                  | 0        | 0                  |

**Table 10 – Relocation and Real Property Acquisition**

## CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

|  | One-Year Goal | Actual     |
|--|---------------|------------|
| Number of Homeless households to be provided affordable housing units      | 0             | 0          |
| Number of Non-Homeless households to be provided affordable housing units  | 70            | 100        |
| Number of Special-Needs households to be provided affordable housing units | 11            | 11         |
| <b>Total</b>   | <b>81</b>     | <b>111</b> |

Table 11 – Number of Households

|  | One-Year Goal | Actual    |
|--|---------------|-----------|
| Number of households supported through Rental Assistance             | 0             | 0         |
| Number of households supported through The Production of New Units   | 21            | 32        |
| Number of households supported through Rehab of Existing Units       | 60            | 60        |
| Number of households supported through Acquisition of Existing Units | 0             | 0         |
| <b>Total</b>   | <b>81</b>     | <b>92</b> |

Table 12 – Number of Households Supported

**Discuss the difference between goals and outcomes and problems encountered in meeting these goals.**

Monroe County affordable housing projects rely on other funding sources for development. Increased outcomes were attributed to the timing of leveraged funds becoming available, improved efficiency, and coordination with other municipalities, partners, developers, and service providers.

The number of actual new units produced were funded utilizing both current and prior year funds. Heritage Gardens is currently underway.

**Discuss how these outcomes will impact future annual action plans.**

The timing of the availability of funds may show an increase in the households served based on project completion and the units made available. Monroe County Community Development (CD) will continue to identify opportunities to increase coordination and efficiencies for more timely project completion. CD staff are evaluating our HOME projects and looking into ways to use our HOME funds to support projects that will allow us to expend our HOME funds in a more timely manner.

**Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.**

| <b>Number of Households Served</b> | <b>CDBG Actual</b> | <b>HOME Actual</b> |
|------------------------------------|--------------------|--------------------|
| Extremely Low-income               | 6                  | 2                  |
| Low-income                         | 31                 | 14                 |
| Moderate-income                    | 11                 | 12                 |
| <b>Total</b>                       | <b>48</b>          | <b>28</b>          |

**Table 13 – Number of Households Served**

**Narrative Information**

The outcomes above reflect Home Improvement Projects and First Time Homebuyer activities for the 2016-2017 program year.



## **CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)**

**Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:**

### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

During the 2016-17 program year, Monroe County continued funding the Housing Council at PathStone for foreclosure prevention services, tenant accountability training, and eviction prevention. This included counseling, education, and renegotiating with lenders and other parties for appropriate resolutions. The Emergency Solutions Grants (ESG) focused on rapid re-housing as well as a community-wide coordinated access outreach program and homelessness prevention. Assistance was provided under the Rapid Rehousing Partnership Program (RRH) to a total of 149 households, composed of 446 persons. Activities included short - and medium - term rental assistance, rental arrears, and security deposits. Non-financial assistance included housing relocation or stabilization services such as housing search, mediation or outreach to property owners, and time-limited housing stability case management services to maintain households in stable permanent housing.

The Coordinated Entry project assisted homeless persons, particularly those with no means to pay, to obtain emergency shelter placement. Community outreach programs are connected to the Coordinated Access system, which includes the community's 2-1-1 system, and Monroe County Department of Human Services, allowing for 24/7 services, assessment of homeless situation/need, diversion, or assistance with placement. 124 persons were served through Coordinated Entry.

Monroe County provides Emergency Solutions Grant (ESG) funding to HOPE Ministries for homelessness prevention for rental arrears, utility payment assistance and security deposit assistance. 82 households, composed of 199 persons received homeless prevention assistance.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

Monroe County and the City of Rochester continued our collaboration efforts to fund the community-wide Coordinated Entry system and the Rapid Rehousing Partnership to maximize community resources to move homeless households from shelter/temporary housing to permanent housing as quickly as possible. These programs coordinated and collaborated with various area service providers, including the Monroe County Department of Human Services (DHS), to better serve those in the emergency shelters; provide workshops for landlords and potential landlords to educate them on DHS processes and utilizing the landlord-tenant agreement in lieu of cash security deposits; and continually work to increase the supply of safe, affordable housing units for homeless and other special needs populations. Coordinated entry is utilizing the VSPDAT, a vulnerability assessment tool to assess an

individual's needs and insure that homeless households with the highest needs are prioritized in our community's permanent supportive housing programs. Insuring that the housing and service needs of homeless households are addressed will lead to increased housing stability and decrease the number of repeat episodes of homelessness.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

The increased collaboration and coordination between systems, i.e. - homeless, health care, behavioral health, etc., has improved efficiency in serving those being discharged from mental health facilities, corrections programs, victims of domestic violence, veterans, and the chronically homeless. Health home care coordinators, re-entry task force case managers and other community based organizations work together to ensure that discharge plans include a housing plan to prevent persons from exiting institutions to homelessness. On occasions when persons are discharged to the homeless system, the systems are working together to ensure that households are transitioned to permanent housing as quickly as possible.

The Monroe County Department of Human Services (MCDHS) Diversion Unit continues to assist those at risk of homelessness with payment for such costs as mortgage payments, auto repairs, and tools/uniforms for jobs. Returns to homelessness have decreased with less stringent compliance demands and automatic lease renewals.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

Monroe County continued funding Coordinated Entry which is being fully implemented throughout the CoC geographic area. ESG funded emergency shelters and street outreach programs administer the VSPDAT, a vulnerability assessment tool, to all participants. Coordinated Entry will use VSPDAT scores and other criteria to compile a prioritization list by name that will ensure that the chronically homeless and other homeless persons with the highest needs are prioritized for PSH and RRH programs. The CoC is encouraging all permanent housing programs to utilize Housing First and harm reduction principles that reduce barriers to accessing permanent housing and provide the appropriate level of services to meet the needs of high need individuals. Written standards have been developed and accepted by all

providers that include performance measures that seek to reduce the length of homeless episodes, to be more efficient in housing search and placement activities, make linkages to mainstream resources for income supports, health insurance, housing subsidies, etc.

## **CR-30 - Public Housing 91.220(h); 91.320(j)**

### **Actions taken to address the needs of public housing**

Coordination between the Rochester Housing Authority (RHA), the Fairport Urban Renewal Agency (FURA), private and governmental, health and mental health service agencies is enhanced through the county's and city's support of RHA and FURA social services programs.

RHA and FURA offer programs to lessen the impediments for low-income families to move to higher-income areas within Monroe County, such as Project-Based Vouchers, security deposit assistance, higher payment standards for low-poverty areas, assistance in finding suitable housing, and landlord outreach.

RHA plans to preserve and increase the inventory of RHA-owned or controlled properties and grow their financial assets. Their objective is to transform public housing properties, which have been identified through the HUD Rental Assistance Demonstration (RAD) program and other means as practical, by developing RHA owned properties into mixed-income and multi-family housing and to develop resources to improve the quality of existing housing stock. RHA plans on accomplishing these goals using property needs assessments, resident input, finalizing and submitting financial information to HUD and identifying and prioritizing additional qualifying properties for transition through RAD. The RHA has converted 3 properties, through the RAD program, to Project Based Voucher units, owned and managed by RHA affiliate, Rochester Housing Charities. RHA is evaluating other properties for redevelopment. They are incorporating stakeholder input into strategies and actions to determine feasibility and priorities based on this input.

RHA's Resident Advisory Board along with RHA staff meet and have developed a comprehensive 5-year plan for capital needs to improve and address the needs of their properties and the needs of the residents. They continue to meet on a regular basis to update and prioritize those needs.

Although the Fairport Urban Renewal Agency (FURA) is not a public housing unit, FURA provides Section 8 vouchers to various affordable housing projects funded by Monroe County HOME funds. There continues to be a significant need in FURA's program service area for housing. Long waiting lists and stringent eligibility requirements enforced by the larger apartment complex were a significant impediment to achieving housing in the area. Other factors, including high rents and a steady decline in the pool of landlords accepting Section 8, were also significant barriers for tenants seeking permanent housing.

### **Actions taken to encourage public housing residents to become more involved in management and participate in homeownership**

RHA's Resident Services Department has partnered with Consumer Credit Counseling Service of Rochester to provide credit and budget counseling and money management for residents to equip them

with skills in budgeting and repairing damaged credit.

Financial Literacy counseling is provided to families to teach, inspire, and empower residents in their financial decisions in life. RHA's collaboration with NeighborWorks, the Housing Council at PathStone, and Regional Center for Independent Living provides residents with homebuyer counseling so they gain the tools and resources to make informed home buying decisions.

Resident Services staff provides families with on-going case management to help them achieve their goals of self-sufficiency. Barriers to the achievement of those goals are identified and addressed through referrals to various community agencies that can help the family to improve or maintain their independence.

RHA has encouraged and supported residents in organizing and participating in resident councils. There are currently 10 sites that have active resident councils and one jurisdiction-wide resident council that represents all residents. The council presidents are members of the RHA Resident Advisory Board and work with management in developing and revising the 5-year agency plan, annual operating budgets, capital fund budgets, and occupancy policies. On September 12, 2000, HUD published the final rule for the Homeownership Voucher Program adding the Homeownership option to the existing tenant-based voucher assistance program. The Homeownership option allows public housing authorities to subsidize the family's mortgage instead of rent. The maximum length of assistance is 15 years if the initial mortgage of the home has a term of 20 years or longer. For a mortgage term of fewer than 20 years, the maximum term of assistance is 10 years. There is no maximum assistance term for disabled and elderly families provided they maintain program eligibility.

Sometime after September of 2000, the Rochester Housing Authority (RHA) Board of Commissioners implemented the homeownership option at the RHA, originally approving 50 homeownership vouchers. In 2006 and 2012, the Board approved an increase in the total number of vouchers to 100 and 110, respectively.

RHA's first homeowner closed on their home in 2001. To date, the Homeownership Program has assisted a total of 141 families.

RHA continues to develop and promote its Section 3 program in collaboration with Monroe County and the City of Rochester.

### **Actions taken to provide assistance to troubled PHAs**

The Rochester Housing Authority is not a troubled public housing authority.

## **CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)**

**Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)**

Unjustified barriers to affordable housing are discriminatory to the extent that they prevent qualifying minorities from participating in more economically, socially, and racially diverse communities. Monroe County Planning and Development Department conducted Land Use Decision Making training session this year focused on transportation and how access to transportation impacts where low-moderate income individuals live and work. We review housing proposals and local law revisions and rezonings that are referred to our office through local municipalities.

### **Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)**

The greatest obstacle to meeting underserved needs is limited funding for CDBG, HOME and ESG programs. The demand for resources is ever-increasing, particularly in the area of community services. In the 2016-17 program year, CDBG funding was provided to support Lifespan's Safety and Security for Seniors Program and Volunteers of America's Working Wardrobe Program. The demand for these services continues to grow, however, our CDBG funding and the public services cap limits our ability to provide additional funds to meet the demands.

Monroe County also provided ESG funds to HOPE Ministry for Homelessness Prevention direct financial assistance services and the Rapid Rehousing Partnership Program, a collaborative project administered by Coordinated Care Services, Inc. (CCSI), which provides rapid re-housing assistance. In addition, Monroe County funded a community-wide Coordinated Access Outreach program in collaboration with the City of Rochester and the Rochester/Monroe County Homeless Continuum of Care.

### **Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)**

In 2016, the Community Development (CD) division continued to enforce actions specific to its housing programs in lead-based paint hazard identification, disclosure, and reduction. Lead-based paint hazard reduction activities continue to make up a significant proportion of the repairs undertaken in the Home Improvement Program (HIP). Proway Management, a Rochester-based lead paint testing firm, is contracted to provide risk assessment and clearance inspection services for the Home Improvement Program. Monroe County Lead program continues to refer individuals to the HIP program when home owners outside of the city are looking for housing improvement assistance. In 2016, Proway conducted 33 risk assessment/clearance inspections services for our HIP program.

In 2015, the Monroe County Department of Public Health (MCDOPH) Lead Poisoning Prevention Program received a 5-year grant from NYSDOH for \$1,957,455. This program provides medical case management, educational and environmental intervention for children with elevated blood lead levels (EBLLs) of  $\geq 15$  ug/dl and their families. Services in response to reports of elevated blood lead levels in children are mandated by NYS Public Health Law 1370-1376A and Part 67 of the NYS Sanitary Code.

Lead Program Public Health Sanitarians, who are also LBP Risk Assessors or Inspectors conduct environmental inspections of properties to identify lead based paint hazards in homes where children with elevated lead levels reside or spend considerable time. When LBP hazards are found, a Notice and Demand is issued and all identified hazards must be remediated using lead-safe work practices and be conducted by EPA Certified Renovators. The properties must achieve lead dust clearance in accordance with EPA standards. Public Health Sanitarians conduct all clearance inspections. A Lead Program Nurse ensures that children receive follow-up testing and care from their pediatric provider. Both sanitarians and nurses provide lead poisoning prevention education to parents and guardians. Sanitarians also work closely with property owners, managers, and workers to help ensure all work is conducted in a safe manner.

Childhood Lead Poisoning Primary Prevention Program received an additional 5-year Lead Poisoning Primary Prevention Grant from the NYSDOH in 2015 for \$4,475,210. Approximately 300 properties annually that house young children, pregnant women, DHS clients, and refugees will be made lead safe. Residents and owners of these properties will be educated in lead poisoning prevention and other environmental health hazards in their homes including asthma triggers, mold, pest infestation, fire safety and carbon monoxide poisoning and injury prevention. A MCDOPH Lead Program Public Health Sanitarian (Lead Risk Assessor) will visit these homes. He/she will conduct a lead inspection and provide a healthy home inspection and education. Properties found to have conditions conducive to lead poisoning will be designated as an Area of High Risk under public health law and have a Notice and Demand issued to the property owner. The program continues to expand the City of Rochester existing Certificate of Occupancy activities and enhance efforts by performing additional lead visual inspections (2800) and lead dust wipe test sampling (1278). In 2014, the Lead Poisoning Prevention Program received a 3 year \$3,000,000 Lead Hazard Control Grant with \$270,000 of supplemental Healthy Home and Lead Hazard funds from HUD.

### **Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)**

Strategies implemented in 2016 to mitigate the number of people living below the poverty level in Monroe County included the continuation of existing programs that promote a stable living environment and reduce dependency and poverty primarily caused by unemployment, underemployment, housing conditions, and cost burden. Programs that address a stable living environment include the Home Improvement Program, the Home Ownership Program, Expanding Housing Opportunities, Foreclosure Prevention and HECM Counseling. In addition, many of the public facilities projects promote a stable living environment by reducing the cost burden placed on municipalities to make these improvements thereby reducing the costs passed on to local taxpayers.

HESG funds were allocated to 2 agencies, administering 3 programs to provide critical services to homeless and at-risk individuals and families. Collectively, these programs helped prevent homelessness, financial hardship, institutionalization, and poverty. Monroe County assisted economic development project that resulting in the creation of 100 jobs during the 3 years of the loan agreement, 51 percent of which were targeted at low- to moderate-income individuals. This project satisfied their job creation requirement this year with the creation of 45 jobs.

Monroe County Department of Human Services is the primary provider of public assistance benefits for poverty-level persons and, therefore, has the greatest capacity to reduce the number of poverty-level families. Housing efforts, particularly emergency housing, are coordinated with the Rochester/Monroe County Homeless Continuum of Care (CoC) and other community-wide affordable housing agencies.

The Rochester/Monroe Anti-Poverty Initiative has focused on establishing an adult mentor/navigator role that helps working-poor individuals to overcome barriers and acquire the resources, knowledge, and skills necessary to attain and retain a living wage job and break the cycle of poverty; designing a comprehensive, integrated system of social supports in conjunction with the IBM Smarter Cities Challenge planning effort; ensuring support for the youngest members of our community that provides both high-quality, affordable, accessible and flexible childcare needed for parents to engage in community, economic mobility and wellness-related activities; and in-home parent training.

The Monroe County Department of Human Services recently funded a new program with Rochester Rehabilitation Center that assists families with transitioning from reliance on public benefits to employment. The program will provide linkages to community based services such as child care, transportation assistance, etc. that will increase employment stability.

### **Actions taken to develop institutional structure. 91.220(k); 91.320(j)**

Community Development (CD) continues to work closely with all applicable public, private, and government entities within Monroe County's organizational structure to further enhance collaboration, communication, and improve program delivery.

During the 2016-17 program year, CD staff continues to actively participate in the CoC. Staff participate on the Administrative Board CoC Subcommittees: Budget Adhoc/Finance Subcommittee, the Monitoring Subcommittee, and attend the Chronically Homeless Workgroup and the Homeless Services Network meetings, which are part of the CoC Steering Committee.

Monroe County continues to strengthen and expand existing public and private sector coordination, enhance collaboration and communication, improve program delivery, and identify and build innovative partnerships throughout the local community.



**Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)**

Monroe County works within Monroe County's organizational structure, the City of Rochester, Rochester Housing Authority, local and state service providers, and community organizations to coordinate programs and services while seeking new avenues of inter-governmental, public and private partnership opportunities to improve efficiency and enhance the delivery of services. The county's Council of Governments has proven to be an innovative mechanism for new collaboration among the towns and villages.

**Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)**

*The Analysis of Impediments to Fair Housing Choice in Monroe County, New York 2005* (AI) identified a complex series of issues frequently interacting with one another to limit housing choice for protected class members. *The Analysis of Impediments to Fair Housing Choice in Monroe County, NY 2016*, was submitted to HUD in 2016. After evaluating progress under the Fair Housing Action Plan Phase I, it was decided to continue to address the priorities identified in Phase I. Fair housing actions frequently take time to accomplish and cross over program years and funding cycles.

The Fair Housing Action Plan was designed to eliminate barriers to fair housing choice by establishing 4 basic strategies within each priority in order to address these issues. These priorities include: provide fair housing education and outreach; increase the supply of assisted housing; promote sustainable minority home ownership; and expand appropriate housing opportunities for minorities, seniors, disabled and homeless populations.

Monroe County continues to present their annual land use decision making training classes. These classes address issues that planning and zoning board members may face, including applications concerning locating affordable housing.

Due to unforeseen circumstances, the county contracted Fair Housing consultant resigned mid-year and was unable to continue her contract. We will be seeking proposals for another consultant to carry out the County's Fair Housing activities.

HomesteadNet, owned by the Greater Rochester Association of Realtors (GRAR), is the primary internet source for detailed information on properties listed for sale in the greater Rochester and Finger Lakes region. GRAR continues to take steps to advertise HomesteadNet broadly and has added the ability for home buyers to get contact information for individual realtors based on language, thus enhancing housing choice for buyers with limited English proficiency. GRAR is committed to inclusive advertising practices and uses diverse human models to reflect the diversity of this community.

The Fair Housing Action Plan is also designed to increase and sustain first-time home buyers in the private market, paying particular attention to increasing minority home ownership. The Home Ownership Assistance Program, administered by the Housing Council at PathStone provided counseling, education, referral, and financial assistance to low-income persons in Monroe County trying to buy a home. In the past year, Monroe County provided home ownership education to 360 qualifying first-time home buyers through this program with 19 homebuyers receiving closing cost assistance.

## **CR-40 - Monitoring 91.220 and 91.230**

**Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements**

Monroe County Community Development (CD) performs on-site monitoring visits on an annual basis for all CDBG, HOME, and ESG funded programs. CD monitoring strategy for the CDBG, HOME and ESG programs consists of the use of standard Monroe County financial practices together with the standards, systems and procedures in accordance with applicable HUD rules and regulations. These serve as a guide to successfully measuring fiscal, regulatory and programmatic performance, and compliance of all activities identified in the Consolidated Plan. The policies are effective in establishing project eligibility compliance with primary and national objectives as well as compliance with other areas of grant administration. These policies also apply to the activities of the HOME Program to provide the most effective methods of reviewing, evaluating, and monitoring the performance of all sub-grantees and project elements.

CD staff conduct on site monitoring mid-year for all public service and ESG funded projects, reviewing client files, randomly selected to ensure that all participants meet the income and program guidelines. Public works and infrastructure projects are monitored to ensure project progress and final completion, including on-site employee interviews during construction. Staff work with sub-recipients and sub-grantees on reporting fiscal and programmatic data simultaneously to achieve timely reimbursements. Claim vouchers for public service and ESG funded projects are submitted quarterly with progress reports and statistical data to obtain payment of project costs or services performed. Materials which must accompany the vouchers are specific to each project, but generally include invoices for project materials purchased, personnel records for labor involved, a detailed scope of services performed, and other official data needed to evidence project costs. Narrative documentation, which must accompany each voucher, includes program status reports, a description of services performed, and a breakdown of project beneficiaries by income, age, residence in our program area, ethnic background, and other appropriate data.

Affordable housing contractors are strongly encouraged to solicit the participation of qualified and NYS certified small and minority- and women-owned business enterprises (M/WBE) and Section 3 hires for all federally financed and assisted construction projects administered by Monroe County. CD staff attend construction draw/progress meetings and work with contractors to include M/WBE and Section 3 hires in projects and provide information on source material containing M/WBE firms and Section 3 new hires.

For the 2016-17 program year, 17 affordable rental housing projects were monitored and inspected. Staff reviewed tenant files for compliance, income documentation, recertification, and inspected 20 percent (20%) of the HOME units for housing quality standards. New projects were not monitored this year.

At the close of the program year, 16 CDBG public works and facility improvement projects were completed. Utility infrastructure and neighborhood improvements continue to be a high priority for our CDBG member communities due to the age and deterioration of infrastructure in suburban Monroe County.

#### **Citizen Participation Plan 91.105(d); 91.115(d)**

##### **Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.**

Monroe County residents, steering committee members, and interested parties have an opportunity to comment on the Consolidated Annual Performance and Evaluation Report (CAPER) during the 15-day comment period, Oct. 6 – Oct. 25, 2017. Notices were published in The Daily Record and the Messenger Post newspapers. In addition, the draft CAPER was posted on the Community Development page of the Monroe County website <http://www2.monroecounty.gov/planning-community.php>.

No comments were received.

**CR-45 - CDBG 91.520(c)**

**Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.**

Monroe County does not anticipate changes to its comprehensive 5-year Strategic Plan and/or the Annual Action Plan for the 2015-2019 program year.

**Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?**

No

**[BEDI grantees] Describe accomplishments and program outcomes during the last year.**

## **CR-50 - HOME 91.520(d)**

### **Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations**

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

Staff monitored and inspected 17 affordable housing projects as part Monroe County's affordable housing portfolio. 13 projects are remaining to be monitored and inspected prior to the end of the calendar year. There were no substantial problems. New projects were not monitored or inspected.

### **Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)**

Monroe County implements its HOME Program consistent with all statutory requirements pertaining to affirmative marketing, discrimination and equal opportunity which are contained in CFR Part 92 of the HOME Program regulations. County funded affordable development projects require an affirmative marketing plan. All existing affordable rental housing projects market vacant units to appropriate public housing agencies and to the Housing Council. They also advertise using alternate outlets which include contacts with community organizations, churches, fair housing groups, and housing and family counseling agencies.

General contractors associated with the development of affordable rental housing handle outreach to minority- and women-owned businesses as subcontractors. The results of these efforts are reflected in the Contractor and Subcontractor Activity Report (HUD 2516 form) submitted annually.

### **Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics**

Monroe County receipted \$122,367 in HOME program income during the 2016-17 program year. These funds were spent on 4 total projects. There were 3 Home Improvement projects (HIP) and 1 First Time Homebuyer (FTHB) direct subsidy. The homebuyers and homeowners had varying incomes, ethnicities, and family composition size of which 3 households were between 31-60% AMI, 1 household was 61-80% AMI; all 4 households were white.

**Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)**

Monroe County provided HOME funding for the development of affordable housing and Home Improvement projects to maintain property value and stabilize the community. In addition, HOME funds were provided to income eligible first-time homebuyers to assist in closing costs. Community Development staff are evaluating our HOME program and looking into new programs that will allow us to commit and expend our HOME funds in a more timely manner including partnerships with private agencies and organizations.

**CR-60 - ESG 91.520(g) (ESG Recipients only)**

**ESG Supplement to the CAPER in *e-snaps***

**For Paperwork Reduction Act**

**1. Recipient Information—All Recipients Complete**

**Basic Grant Information**

|  |               |
|--|---------------|
| <b>Recipient Name</b>  | MONROE COUNTY |
| <b>Organizational DUNS Number</b>  | 079678249     |
| <b>EIN/TIN Number</b>  | 166002563     |
| <b>Identify the Field Office</b>   | BUFFALO       |
| <b>Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance</b> |               |

**ESG Contact Name**

|                    |                               |
|--------------------|-------------------------------|
| <b>Prefix</b>      | Ms                            |
| <b>First Name</b>  | Chanh                         |
| <b>Middle Name</b> | 0                             |
| <b>Last Name</b>   | Quach                         |
| <b>Suffix</b>      | 0                             |
| <b>Title</b>       | Community Development Manager |

**ESG Contact Address**

|                  |                                  |
|------------------|----------------------------------|
| Street Address 1 | 8100 CityPlace, 50 West Main St. |
| Street Address 2 | 0                                |
| City             | Rochester                        |
| State            | NY                               |
| ZIP Code         | -                                |
| Phone Number     | 5857532021                       |
| Extension        | 0                                |
| Fax Number       | 0                                |
| Email Address    | cquach@monroecounty.gov          |

**ESG Secondary Contact**

Prefix  
First Name  
Last Name  
Suffix  
Title  
Phone Number  
Extension  
Email Address

**2. Reporting Period—All Recipients Complete**

|                         |            |
|-------------------------|------------|
| Program Year Start Date | 08/01/2016 |
| Program Year End Date   | 07/31/2017 |

**3a. Subrecipient Form – Complete one form for each subrecipient**

Subrecipient or Contractor Name  
City  
State  
Zip Code  
DUNS Number  
Is subrecipient a victim services provider  
Subrecipient Organization Type  
ESG Subgrant or Contract Award Amount



## CR-65 - Persons Assisted

### 4. Persons Served

#### 4a. Complete for Homelessness Prevention Activities

| Number of Persons in Households | Total    |
|---------------------------------|----------|
| Adults                          | 0        |
| Children                        | 0        |
| Don't Know/Refused/Other        | 0        |
| Missing Information             | 0        |
| <b>Total</b>                    | <b>0</b> |

Table 16 – Household Information for Homeless Prevention Activities

#### 4b. Complete for Rapid Re-Housing Activities

| Number of Persons in Households | Total    |
|---------------------------------|----------|
| Adults                          | 0        |
| Children                        | 0        |
| Don't Know/Refused/Other        | 0        |
| Missing Information             | 0        |
| <b>Total</b>                    | <b>0</b> |

Table 17 – Household Information for Rapid Re-Housing Activities

#### 4c. Complete for Shelter

| Number of Persons in Households | Total    |
|---------------------------------|----------|
| Adults                          | 0        |
| Children                        | 0        |
| Don't Know/Refused/Other        | 0        |
| Missing Information             | 0        |
| <b>Total</b>                    | <b>0</b> |

Table 18 – Shelter Information



#### 4d. Street Outreach

| Number of Persons in Households | Total    |
|---------------------------------|----------|
| Adults                          | 0        |
| Children                        | 0        |
| Don't Know/Refused/Other        | 0        |
| Missing Information             | 0        |
| <b>Total</b>                    | <b>0</b> |

Table 19 – Household Information for Street Outreach

#### 4e. Totals for all Persons Served with ESG

| Number of Persons in Households | Total    |
|---------------------------------|----------|
| Adults                          | 0        |
| Children                        | 0        |
| Don't Know/Refused/Other        | 0        |
| Missing Information             | 0        |
| <b>Total</b>                    | <b>0</b> |

Table 20 – Household Information for Persons Served with ESG

#### 5. Gender—Complete for All Activities

|                          | Total    |
|--------------------------|----------|
| Male                     | 0        |
| Female                   | 0        |
| Transgender              | 0        |
| Don't Know/Refused/Other | 0        |
| Missing Information      | 0        |
| <b>Total</b>             | <b>0</b> |

Table 21 – Gender Information

## 6. Age—Complete for All Activities

|                          | Total    |
|--------------------------|----------|
| Under 18                 | 0        |
| 18-24                    | 0        |
| 25 and over              | 0        |
| Don't Know/Refused/Other | 0        |
| Missing Information      | 0        |
| <b>Total</b>             | <b>0</b> |

Table 22 – Age Information

## 7. Special Populations Served—Complete for All Activities

| Number of Persons in Households   |       |                                   |                            |  |
|-----------------------------------|-------|-----------------------------------|----------------------------|--|
| Subpopulation                     | Total | Total Persons Served – Prevention | Total Persons Served – RRH | Total Persons Served in Emergency Shelters |
| Veterans                          | 0     | 0                                 | 0                          | 0  |
| Victims of Domestic Violence      | 0     | 0                                 | 0                          | 0  |
| Elderly                           | 0     | 0                                 | 0                          | 0  |
| HIV/AIDS                          | 0     | 0                                 | 0                          | 0  |
| Chronically Homeless              | 0     | 0                                 | 0                          | 0  |
| <b>Persons with Disabilities:</b> |       |                                   |                            |  |
| Severely Mentally Ill             | 0     | 0                                 | 0                          | 0  |
| Chronic Substance Abuse           | 0     | 0                                 | 0                          | 0  |
| Other Disability                  | 0     | 0                                 | 0                          | 0  |
| Total (Unduplicated if possible)  | 0     | 0                                 | 0                          | 0  |

Table 23 – Special Population Served

## CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

### 10. Shelter Utilization

|                                      |       |
|--------------------------------------|-------|
| Number of New Units - Rehabbed       | 0     |
| Number of New Units - Conversion     | 0     |
| Total Number of bed-nights available | 0     |
| Total Number of bed-nights provided  | 0     |
| Capacity Utilization                 | 0.00% |

Table 24 – Shelter Capacity

### 11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

Homeless projects that receive CoC and/or ESG funds are required to enter data into the Homeless Management Information System (HMIS), which collects demographic information and performance measure data. In addition, programs have agreed to written standards for each component of housing in the homeless system, i.e. - emergency shelter, rapid rehousing, etc which have established performance measures. This data is utilized to produce both program level and system wide reporting. Performance measures include: exits to and retention in permanent housing, access to mainstream resources including income supports and non-cash benefits, length of time homeless, length of time to access housing, and repeat episodes of homelessness. Data is also used to look at trends; i.e. - overall numbers in our area of homeless increasing/decreasing/stable; are number of unsheltered homeless increasing/decreasing/stable, are we on track of reaching the goal of ending homelessness in our community.

## CR-75 – Expenditures

### 11. Expenditures

#### 11a. ESG Expenditures for Homelessness Prevention

|   | Dollar Amount of Expenditures in Program Year |               |               |
|---|---|---------------|---------------|
|   | 2014  | 2015          | 2016          |
| Expenditures for Rental Assistance  | 11,695  | 15,270        | 14,728        |
| Expenditures for Housing Relocation and Stabilization Services - Financial Assistance | 0   | 0             | 0             |
| Expenditures for Housing Relocation & Stabilization Services - Services               | 0   | 0             | 600           |
| Expenditures for Homeless Prevention under Emergency Shelter Grants Program           | 0   | 0             | 0             |
| <b>Subtotal Homelessness Prevention</b>   | <b>11,695</b>                                 | <b>15,270</b> | <b>15,328</b> |

Table 25 – ESG Expenditures for Homelessness Prevention

#### 11b. ESG Expenditures for Rapid Re-Housing

|   | Dollar Amount of Expenditures in Program Year |               |               |
|---|---|---------------|---------------|
|   | 2014  | 2015          | 2016          |
| Expenditures for Rental Assistance  | 0   | 0             | 0             |
| Expenditures for Housing Relocation and Stabilization Services - Financial Assistance | 11,894  | 11,141        | 3,579         |
| Expenditures for Housing Relocation & Stabilization Services - Services               | 82,929  | 44,094        | 26,578        |
| Expenditures for Homeless Assistance under Emergency Shelter Grants Program           | 0   | 0             | 0             |
| <b>Subtotal Rapid Re-Housing</b>  | <b>94,823</b>                                 | <b>55,235</b> | <b>30,157</b> |

Table 26 – ESG Expenditures for Rapid Re-Housing

#### 11c. ESG Expenditures for Emergency Shelter

|                    | Dollar Amount of Expenditures in Program Year |      |      |
|--------------------|---|------|------|
|                    | 2014  | 2015 | 2016 |
| Essential Services | 0   | 0    | 0    |
| Operations         | 0   | 0    | 0    |
| Renovation         | 0   | 0    | 0    |

|                 |          |          |          |
|-----------------|----------|----------|----------|
| Major Rehab     | 0        | 0        | 0        |
| Conversion      | 0        | 0        | 0        |
| <b>Subtotal</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Table 27 – ESG Expenditures for Emergency Shelter**

#### 11d. Other Grant Expenditures

|                 | Dollar Amount of Expenditures in Program Year |       |        |
|-----------------|---|-------|--------|
|                 | 2014  | 2015  | 2016   |
| Street Outreach | 0   | 0     | 31,312 |
| HMIS            | 0   | 0     | 0      |
| Administration  | 35,878  | 7,335 | 5,905  |

**Table 28 - Other Grant Expenditures**

#### 11e. Total ESG Grant Funds

| Total ESG Funds Expended | 2014    | 2015   | 2016   |
|--------------------------|---------|--------|--------|
|                          | 142,396 | 77,840 | 82,702 |

**Table 29 - Total ESG Funds Expended**

#### 11f. Match Source

|                         | 2014 | 2015   | 2016   |
|-------------------------|------|--------|--------|
| Other Non-ESG HUD Funds | 0    | 71,000 | 0      |
| Other Federal Funds     | 0    | 0      | 0      |
| State Government        | 0    | 55,000 | 56,633 |
| Local Government        | 0    | 0      | 0      |
| Private Funds           | 0    | 0      | 18,175 |

|                           |                |                |                |
|---------------------------|----------------|----------------|----------------|
| Other                     | 415,600        | 20,000         | 63,692         |
| Fees                      | 0              | 0              | 0              |
| Program Income            | 0              | 0              | 0              |
| <b>Total Match Amount</b> | <b>415,600</b> | <b>146,000</b> | <b>138,500</b> |

**Table 30 - Other Funds Expended on Eligible ESG Activities**

**11g. Total**

| <b>Total Amount of Funds<br/>Expended on ESG<br/>Activities</b> | <b>2014</b> | <b>2015</b> | <b>2016</b> |
|---|-------------|-------------|-------------|
|   | 557,996     | 223,840     | 221,202     |

**Table 31 - Total Amount of Funds Expended on ESG Activities**



**Attachment**

**ESG Sage report**



## HUD ESG CAPER 2017

Grant: ESG: Monroe County - NY - 2016 Report Type: CAPER

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## Q01a. Contact Information

First name: Chanh  
 Middle name:  
 Last name: Quach  
 Suffix:  
 Title: Community Development Manager  
 Street Address 1: 50 West Main St Suite 5100  
 Street Address 2:  
 City: Rochester  
 State: New York  
 ZIP Code: 14614  
 E-mail Address: cquach@monroecounty.gov  
 Phone Number: (565)753-2021  
 Extension:  
 Fax Number:

## Q01b. Grant Information

As of 10/20/2017

## ESG information from DIS

| FISCAL YEAR | GRANT NUMBER | CURRENT AUTHORIZED AMOUNT | TOTAL DRAWN  | BALANCE     | OBLIGATION DATE | EXPENDITURE DEADLINE |
|-------------|--------------|---------------------------|--------------|-------------|-----------------|----------------------|
| 2010        | E18UC360002  | \$149,730.00              | \$125,023.84 | \$24,706.16 | 8/22/2016       | 8/22/2018            |
| 2015        | E19UC360002  | \$147,425.00              | \$147,425.00 | \$0         | 8/20/2015       | 8/20/2017            |
| 2014        | E14UC360012  | \$135,147.00              | \$135,146.00 | \$1.00      | 8/15/2014       | 8/15/2016            |
| 2013        | E13UC360012  | \$115,856.00              | \$115,856.00 | \$0         | 8/20/2013       | 8/20/2015            |
| 2012        | E12UC360012  | \$150,402.00              | \$150,402.00 | \$0         | 8/23/2012       | 8/23/2014            |
| 2011        | E11UC360012  | \$131,877.00              | \$131,676.95 | \$1.05      | 7/27/2012       | 7/27/2014            |
| Total:      |              | \$830,837.00              | \$805,328.79 | \$24,708.21 |                 |                      |

CAPER reporting includes funds used from fiscal year:

Project types carried out during the program year:

Enter the number of each type of projects funded through ESG during this program year.

Street Outreach: 1  
 Emergency Shelter: 0  
 Transitional Housing (grandfathered under ES): 0  
 Day Shelter (funded under ES): 0  
 Rapid Re-Housing: 1  
 Homelessness Prevention: 1

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## Q01c: Additional Information

## HMIS

## Comparable Database

|  |     |
|--|-----|
| Are 100% of the project(s) funded through ESG, which are allowed to use HMIS, entering data into HMIS?                                     | Yes |
| Have all of the projects entered data into Sage via a CSV - CAPER Report upload?   | Yes |
| Are 100% of the project(s) funded through ESG, which are allowed to use a comparable database, entering data into the comparable database? | Yes |
| Have all of the projects entered data into Sage via a CSV - CAPER Report upload?   | Yes |

## Q04a: Project Identifiers in HMIS

|   |  |
|---|--|
| Organization Name   | HOPE Ministry                            |
| Organization ID   | 327                                      |
| Project Name  | HOPE Ministry                            |
| Project ID  | 327                                      |
| HMIS Project Type   | 12                                       |
| Method of Tracking ES   |  |
| Is the Services Only (HMIS Project Type 6) affiliated with a residential project? |  |
| Identify the Project ID's of the Housing Projects this Project is Affiliated with |  |
| CSV Exception?  | No                                       |
| Uploaded via emailed hyperlink?   | Yes                                      |
| Email unique ID record link   | eQENLYxK(C)                              |
| Project name (user-specified)   | Hope Ministry                            |
| Project type (user-specified)   | Homelessness Prevention                  |
| Organization Name   | Coordinated Access                       |
| Organization ID   | 387                                      |
| Project Name  | Coordinated Access                       |
| Project ID  | 387                                      |
| HMIS Project Type   | 4  |
| Method of Tracking ES   |  |
| Is the Services Only (HMIS Project Type 6) affiliated with a residential project? |  |
| Identify the Project ID's of the Housing Projects this Project is Affiliated with |  |
| CSV Exception?  | No                                       |
| Uploaded via emailed hyperlink?   | Yes                                      |
| Email unique ID record link   | POEpOvvVp2q                              |
| Project name (user-specified)   | Coordinated Access                       |
| Project type (user-specified)   | Street Outreach                          |
| Organization Name   | CCSI - Rapid Rehousing Partnership - ESG |
| Organization ID   | 351                                      |
| Project Name  | CCSI - Rapid Rehousing Partnership - ESG |
| Project ID  | 351                                      |
| HMIS Project Type   | 13                                       |
| Method of Tracking ES   |  |
| Is the Services Only (HMIS Project Type 6) affiliated with a residential project? |  |
| Identify the Project ID's of the Housing Projects this Project is Affiliated with |  |
| CSV Exception?  | No                                       |
| Uploaded via emailed hyperlink?   | Yes                                      |
| Email unique ID record link   | kpR5X4B07G                               |
| Project name (user-specified)   | Rapid Rehousing                          |
| Project type (user-specified)   | PH - Rapid Re-Housing                    |

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## Q05a: Report Validations Table

|   |     |
|---|-----|
| Total Number of Persons Served  | 762 |
| Number of Adults (Age 18 or Over)                                     | 418 |
| Number of Children (Under Age 18)                                     | 368 |
| Number of Persons with Unknown Age                                    | 0   |
| Number of Leavers   | 462 |
| Number of Adult Leavers   | 288 |
| Number of Adult and Head of Household Leavers                         | 291 |
| Number of Stayers   | 320 |
| Number of Adult Stayers   | 130 |
| Number of Veterans  | 18  |
| Number of Chronically Homeless Persons                                | 31  |
| Number of Youth Under Age 25  | 60  |
| Number of Parenting Youth Under Age 25 with Children                  | 25  |
| Number of Adult Heads of Household                                    | 350 |
| Number of Child and Unknown-Age Heads of Household                    | 5   |
| Heads of Households and Adult Stayers in the Project 365 Days or More | 11  |

## Q05a: Data Quality: Personally Identifying Information (PII)

| Data Element           | Client Doesn't Know/Refused | Information Missing | Data Issues | % of Error Rate |
|------------------------|-----------------------------|---------------------|-------------|-----------------|
| Name                   | 0                           | 0                   | 0           | 0.00            |
| Social Security Number | 146                         | 0                   | 44          | 0.81            |
| Date of Birth          | 0                           | 0                   | 0           | 0.00            |
| Race                   | 0                           | 0                   | 0           | 0.00            |
| Ethnicity              | 0                           | 0                   | 0           | 0.00            |
| Gender                 | 0                           | 0                   | 0           | 0.00            |
| Overall Score          |                             |                     |             | 0.81            |

## Q05b: Data Quality: Universal Data Elements

|                                   | Error Count | % of Error Rate |
|-----------------------------------|-------------|-----------------|
| Veteran Status                    | 0           | 0.00 %          |
| Project Entry Date                | 0           | 0.00 %          |
| Relationship to Head of Household | 8           | 1.02 %          |
| Client Location                   | 0           | 0.00 %          |
| Disabling Condition               | 7           | 0.90 %          |

## Q06c: Data Quality: Income and Housing Data Quality

|   | Error Count | % of Error Rate |
|---|-------------|-----------------|
| Destination                             | 2           | 0.43 %          |
| Income and Sources at Entry             | 85          | 27.54 %         |
| Income and Sources at Annual Assessment | 0           | 0.00 %          |
| Income and Sources at Exit              | 81          | 27.84 %         |

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## Q06d: Data Quality: Chronic Homelessness

|                         | Count of Total Records | Missing Time in Institution | Missing Time in Housing | Approximate Date Started DK/R/missing | Number of Times DK/R/missing | Number of Months DK/R/missing | % of Recs Unable to Calculate |
|-------------------------|------------------------|-----------------------------|-------------------------|---------------------------------------|------------------------------|-------------------------------|-------------------------------|
| ES, SH, Street Outreach | 129                    | 0                           | 0                       | 36                                    | 36                           | 35                            | 0.29                          |
| TH                      | 0                      | 0                           | 0                       | 0                                     | 0                            | 0                             | —                             |
| PH (All)                | 178                    | 0                           | 0                       | 1                                     | 2                            | 2                             | 0.02                          |
| Total                   | 305                    | 0                           | 0                       | 0                                     | 0                            | 0                             | 0.13                          |

## Q06e: Data Quality: Timeliness

|           | Number of Project Entry Records | Number of Project Exit Records |
|-----------|---------------------------------|--------------------------------|
| 0 days    | 77                              | 85                             |
| 1-3 Days  | 38                              | 108                            |
| 4-6 Days  | 78                              | 36                             |
| 7-10 Days | 82                              | 33                             |
| 11+ Days  | 507                             | 180                            |

## Q08f: Data Quality: Inactive Records: Street Outreach &amp; Emergency Shelter

|  | # of Records | # of Inactive Records | % of Inactive Records |
|--|--------------|-----------------------|-----------------------|
| Contact (Adults and Heads of Household in Street Outreach or ES - NBN) | 3            | 3                     | 100.00 %              |
| Bed Night (All Clients in ES - NBN)                                    | 0            | 0                     | —                     |

## Q07a: Number of Persons Served

|                                    | Total | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|------------------------------------|-------|------------------|--------------------------|--------------------|------------------------|
| Adults                             | 416   | 211              | 205                      | 0                  | 0                      |
| Children                           | 388   | 0                | 381                      | 5                  | 0                      |
| Client Doesn't Know/Client Refused | 0     | 0                | 0                        | 0                  | 0                      |
| Data Not Collected                 | 0     | 0                | 0                        | 0                  | 0                      |
| Total                              | 162   | 211              | 586                      | 5                  | 0                      |

## Q08a: Households Served

|                  | Total | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|------------------|-------|------------------|--------------------------|--------------------|------------------------|
| Total Households | 355   | 166              | 164                      | 5                  | 0                      |

## Q08b: Point-in-Time Count of Households on the Last Wednesday

|         | Total | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|---------|-------|------------------|--------------------------|--------------------|------------------------|
| January | 88    | 30               | 88                       | 0                  | 0                      |
| April   | 83    | 23               | 60                       | 0                  | 0                      |
| July    | 112   | 34               | 78                       | 0                  | 0                      |
| October | 85    | 25               | 60                       | 0                  | 0                      |

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## Q69a: Number of Persons Contacted

|                         | All Persons Contacted | First contact – NOT staying on the Streets, ES, or SH | First contact – WAS staying on Streets, ES, or SH | First contact – Worker unable determine |
|-------------------------|-----------------------|---|---|---|
| Once                    | 0                     | 0   | 0   | 0                                       |
| 2-5 Times               | 0                     | 0   | 0   | 0                                       |
| 6-9 Times               | 0                     | 0   | 0   | 0                                       |
| 10+ Times               | 0                     | 0   | 0   | 0                                       |
| Total Persons Contacted | 0                     | 0   | 0   | 0                                       |

## Q69b: Number of Persons Engaged

|                       | All Persons Contacted | First contact – NOT staying on the Streets, ES, or SH | First contact – WAS staying on Streets, ES, or SH | First contact – Worker unable determine |
|-----------------------|-----------------------|---|---|---|
| Once                  | 0                     | 0   | 0   | 0                                       |
| 2-5 Contacts          | 0                     | 0   | 0   | 0                                       |
| 6-9 Contacts          | 0                     | 0   | 0   | 0                                       |
| 10+ Contacts          | 0                     | 0   | 0   | 0                                       |
| Total Persons Engaged | 0                     | 0   | 0   | 0                                       |

## Q11a: Gender of Adults

|   | Total | Without Children | With Children and Adults | Unknown Household Type |
|---|-------|------------------|--------------------------|------------------------|
| Male  | 155   | 120              | 35                       | 0                      |
| Female  | 261   | 01               | 170                      | 0                      |
| Transgender Male to Female                      | 0     | 0                | 0                        | 0                      |
| Transgender Female to Male                      | 0     | 0                | 0                        | 0                      |
| Doesn't Identify as Male, Female or Transgender | 0     | 0                | 0                        | 0                      |
| Client Doesn't Know/Client Refused              | 0     | 0                | 0                        | 0                      |
| Data Not Collected                              | 0     | 0                | 0                        | 0                      |
| Subtotal  | 416   | 211              | 205                      | 0                      |

## Q11b: Gender of Children

|   | Total | With Children and Adults | With Only Children | Unknown Household Type |
|---|-------|--------------------------|--------------------|------------------------|
| Male  | 191   | 188                      | 3                  | 0                      |
| Female  | 175   | 173                      | 2                  | 0                      |
| Transgender Male to Female                      | 0     | 0                        | 0                  | 0                      |
| Transgender Female to Male                      | 0     | 0                        | 0                  | 0                      |
| Doesn't Identify as Male, Female or Transgender | 0     | 0                        | 0                  | 0                      |
| Client Doesn't Know/Client Refused              | 0     | 0                        | 0                  | 0                      |
| Data Not Collected                              | 0     | 0                        | 0                  | 0                      |
| Subtotal  | 366   | 361                      | 5                  | 0                      |

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Q10c: Gender of Persons Missing Age Information

|   | Total | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|---|-------|------------------|--------------------------|--------------------|------------------------|
| Male  | 0     | 0                | 0                        | 0                  | 0                      |
| Female  | 0     | 0                | 0                        | 0                  | 0                      |
| Transgender Male to Female                      | 0     | 0                | 0                        | 0                  | 0                      |
| Transgender Female to Male                      | 0     | 0                | 0                        | 0                  | 0                      |
| Doesn't Identify as Male, Female or Transgender | 0     | 0                | 0                        | 0                  | 0                      |
| Client Doesn't Know/Client Refused              | 0     | 0                | 0                        | 0                  | 0                      |
| Data Not Collected                              | 0     | 0                | 0                        | 0                  | 0                      |
| Subtotal  | 0     | 0                | 0                        | 0                  | 0                      |

Q10d: Gender by Age Ranges

|   | Total | Under Age 18 | Age 18-24 | Age 25-64 | Age 65 and over | Client Doesn't Know/Client Refused | Data Not Collected |
|---|-------|--------------|-----------|-----------|-----------------|------------------------------------|--------------------|
| Male  | 348   | 191          | 27        | 118       | 10              | 0                                  | 0                  |
| Female  | 436   | 175          | 51        | 204       | 6               | 0                                  | 0                  |
| Trans Female (MTF or Male to Female)                        | 0     | 0            | 0         | 0         | 0               | 0                                  | 0                  |
| Trans Male (FTM or Female to Male)                          | 0     | 0            | 0         | 0         | 0               | 0                                  | 0                  |
| Gender Non-Conforming (i.e. not exclusively male or female) | 0     | 0            | 0         | 0         | 0               | 0                                  | 0                  |
| Client Doesn't Know/Client Refused                          | 0     | 0            | 0         | 0         | 0               | 0                                  | 0                  |
| Data Not Collected  | 0     | 0            | 0         | 0         | 0               | 0                                  | 0                  |
| Subtotal  | 782   | 366          | 78        | 322       | 16              | 0                                  | 0                  |

Q11: Age

|                                    | Total | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|------------------------------------|-------|------------------|--------------------------|--------------------|------------------------|
| Under 5                            | 115   | 0                | 115                      | 0                  | 0                      |
| 5 - 12                             | 170   | 0                | 170                      | 0                  | 0                      |
| 13 - 17                            | 81    | 0                | 76                       | 5                  | 0                      |
| 18 - 24                            | 78    | 33               | 45                       | 0                  | 0                      |
| 25 - 34                            | 115   | 36               | 79                       | 0                  | 0                      |
| 35 - 44                            | 85    | 24               | 61                       | 0                  | 0                      |
| 45 - 54                            | 79    | 60               | 19                       | 0                  | 0                      |
| 55 - 64                            | 43    | 42               | 1                        | 0                  | 0                      |
| 65+                                | 18    | 16               | 0                        | 0                  | 0                      |
| Client Doesn't Know/Client Refused | 0     | 0                | 0                        | 0                  | 0                      |
| Data Not Collected                 | 0     | 0                | 0                        | 0                  | 0                      |
| Total                              | 782   | 211              | 566                      | 5                  | 0                      |

Q12a: Race

|   | Total | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|---|-------|------------------|--------------------------|--------------------|------------------------|
| White                                     | 275   | 100              | 174                      | 2                  | 0                      |
| Black or African American                 | 467   | 100              | 364                      | 3                  | 0                      |
| Asian                                     | 4     | 3                | 1                        | 0                  | 0                      |
| American Indian or Alaska Native          | 3     | 2                | 1                        | 0                  | 0                      |
| Native Hawaiian or Other Pacific Islander | 3     | 2                | 1                        | 0                  | 0                      |
| Multiple Races                            | 25    | 4                | 25                       | 0                  | 0                      |
| Client Doesn't Know/Client Refused        | 0     | 0                | 0                        | 0                  | 0                      |
| Data Not Collected                        | 0     | 0                | 0                        | 0                  | 0                      |
| Total                                     | 782   | 211              | 566                      | 5                  | 0                      |

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## Q12b: Ethnicity

|                                    | Total | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|------------------------------------|-------|------------------|--------------------------|--------------------|------------------------|
| Non-Hispanic/Mon-Latin             | 839   | 172              | 462                      | 5                  | 0                      |
| Hispanic/Latino                    | 143   | 33               | 104                      | 0                  | 0                      |
| Client Doesn't Know/Client Refused | 0     | 0                | 0                        | 0                  | 0                      |
| Data Not Collected                 | 0     | 0                | 0                        | 0                  | 0                      |
| Total                              | 782   | 211              | 566                      | 5                  | 0                      |

## Q13a1: Physical and Mental Health Conditions at Entry

|                             | Total Persons | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|-----------------------------|---------------|------------------|--------------------------|--------------------|------------------------|
| Mental Health Problem       | 57            | 42               | 25                       | 0                  | 0                      |
| Alcohol Abuse               | 1             | 0                | 1                        | 0                  | 0                      |
| Drug Abuse                  | 16            | 14               | 2                        | 0                  | 0                      |
| Both Alcohol and Drug Abuse | 11            | 10               | 1                        | 0                  | 0                      |
| Chronic Health Condition    | 42            | 23               | 19                       | 0                  | 0                      |
| HIV/AIDS                    | 1             | 1                | 0                        | 0                  | 0                      |
| Developmental Disability    | 12            | 2                | 10                       | 0                  | 0                      |
| Physical Disability         | 35            | 21               | 14                       | 0                  | 0                      |

## Q13b1: Physical and Mental Health Conditions at Exit

|                             | Total Persons | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|-----------------------------|---------------|------------------|--------------------------|--------------------|------------------------|
| Mental Health Problem       | 41            | 35               | 6                        | 0                  | 0                      |
| Alcohol Abuse               | 1             | 1                | 0                        | 0                  | 0                      |
| Drug Abuse                  | 12            | 11               | 1                        | 0                  | 0                      |
| Both Alcohol and Drug Abuse | 10            | 10               | 0                        | 0                  | 0                      |
| Chronic Health Condition    | 17            | 11               | 6                        | 0                  | 0                      |
| HIV/AIDS                    | 1             | 1                | 0                        | 0                  | 0                      |
| Developmental Disability    | 0             | 0                | 0                        | 0                  | 0                      |
| Physical Disability         | 22            | 15               | 7                        | 0                  | 0                      |

## Q13c1: Physical and Mental Health Conditions for Stayers

|                             | Total Persons | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|-----------------------------|---------------|------------------|--------------------------|--------------------|------------------------|
| Mental Health Problem       | 30            | 11               | 19                       | 0                  | 0                      |
| Alcohol Abuse               | 1             | 0                | 1                        | 0                  | 0                      |
| Drug Abuse                  | 4             | 3                | 1                        | 0                  | 0                      |
| Both Alcohol and Drug Abuse | 2             | 1                | 1                        | 0                  | 0                      |
| Chronic Health Condition    | 25            | 12               | 13                       | 0                  | 0                      |
| HIV/AIDS                    | 0             | 0                | 0                        | 0                  | 0                      |
| Developmental Disability    | 12            | 2                | 10                       | 0                  | 0                      |
| Physical Disability         | 17            | 10               | 7                        | 0                  | 0                      |

## Q14a: Domestic Violence History

|                                    | Total | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|------------------------------------|-------|------------------|--------------------------|--------------------|------------------------|
| Yes                                | 85    | 27               | 58                       | 0                  | 0                      |
| No                                 | 247   | 100              | 147                      | 0                  | 0                      |
| Client Doesn't Know/Client Refused | 0     | 0                | 0                        | 0                  | 0                      |
| Data Not Collected                 | 88    | 64               | 0                        | 5                  | 0                      |
| Total                              | 421   | 211              | 205                      | 5                  | 0                      |

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## Q14b: Persons Fleeing Domestic Violence

|                                    | Total | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|------------------------------------|-------|------------------|--------------------------|--------------------|------------------------|
| Yes                                | 34    | 10               | 24                       | 0                  | 0                      |
| No                                 | 53    | 18               | 34                       | 0                  | 0                      |
| Client Doesn't Know/Client Refused | 0     | 0                | 0                        | 0                  | 0                      |
| Data Not Collected                 | 1     | 1                | 0                        | 0                  | 0                      |
| Total                              | 88    | 27               | 58                       | 0                  | 0                      |

## Q15: Living Situation

|   | Total | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|---|-------|------------------|--------------------------|--------------------|------------------------|
| <b>Homeless Situations</b>  |       |                  |                          |                    |                        |
| Emergency shelter, including hotel or motel paid for with emergency shelter voucher | 232   | 87               | 132                      | 3                  | 0                      |
| Transitional housing for homeless persons (including homeless youth)                | 0     | 0                | 0                        | 0                  | 0                      |
| Place not meant for habitation  | 3     | 2                | 1                        | 0                  | 0                      |
| Safe Haven  | 3     | 2                | 1                        | 0                  | 0                      |
| Intentional Housing   | 0     | 0                | 0                        | 0                  | 0                      |
| Subtotal  | 238   | 101              | 134                      | 3                  | 0                      |
| <b>Institutional Settings</b>   |       |                  |                          |                    |                        |
| Psychiatric hospital or other psychiatric facility                                  | 0     | 0                | 0                        | 0                  | 0                      |
| Substance abuse treatment facility or detox center                                  | 0     | 0                | 0                        | 0                  | 0                      |
| Hospital or other residential non-psychiatric medical facility                      | 2     | 2                | 0                        | 0                  | 0                      |
| Jail, prison or juvenile detention facility   | 0     | 0                | 0                        | 0                  | 0                      |
| Foster care home or foster care group home  | 0     | 0                | 0                        | 0                  | 0                      |
| Long-term care facility or nursing home   | 1     | 1                | 0                        | 0                  | 0                      |
| Residential project or halfway house with no homeless criteria                      | 0     | 0                | 0                        | 0                  | 0                      |
| Subtotal  | 11    | 11               | 0                        | 0                  | 0                      |
| <b>Other Locations</b>  |       |                  |                          |                    |                        |
| Permanent housing (other than RRH) for formerly homeless persons                    | 0     | 0                | 0                        | 0                  | 0                      |
| Owned by client, no ongoing housing subsidy   | 0     | 0                | 0                        | 0                  | 0                      |
| Owned by client, with ongoing housing subsidy                                       | 2     | 1                | 1                        | 0                  | 0                      |
| Rental by client, no ongoing housing subsidy  | 57    | 26               | 31                       | 0                  | 0                      |
| Rental by client, with VASH subsidy   | 1     | 0                | 1                        | 0                  | 0                      |
| Rental by client with GPD TIP subsidy   | 0     | 0                | 0                        | 0                  | 0                      |
| Rental by client, with other housing subsidy (including RRH)                        | 31    | 17               | 14                       | 0                  | 0                      |
| Hotel or motel paid for without emergency shelter voucher                           | 0     | 0                | 0                        | 0                  | 0                      |
| Staying or living in a friend's room, apartment or house                            | 15    | 14               | 1                        | 0                  | 0                      |
| Staying or living in a family member's room, apartment or house                     | 56    | 34               | 23                       | 2                  | 0                      |
| Client Doesn't Know/Client Refused  | 1     | 1                | 0                        | 0                  | 0                      |
| Data Not Collected  | 5     | 5                | 0                        | 0                  | 0                      |
| Subtotal  | 172   | 89               | 71                       | 2                  | 0                      |
| Total   | 421   | 211              | 205                      | 5                  | 0                      |

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## Q20a: Type of Non-Cash Benefit Sources

|   | Benefit at Entry | Benefit at Latest Annual Assessment for Stayers | Benefit at Exit for Leavers |
|---|------------------|---|-----------------------------|
| Supplemental Nutritional Assistance Program | 201              | 10  | 116                         |
| WIC   | 6                | 0   | 8                           |
| TANF Child Care Services                    | 1                | 0   | 1                           |
| TANF Transportation Services                | 1                | 0   | 1                           |
| Other TANF-Funded Services                  | 2                | 0   | 2                           |
| Other Source                                | 31               | 1   | 31                          |

## Q21: Health Insurance

|   | At Entry | At Annual Assessment for Stayers | At Exit for Leavers |
|---|----------|----------------------------------|---------------------|
| Medicaid  | 560      | 55                               | 316                 |
| Medicare  | 3        | 0                                | 10                  |
| State Children's Health Insurance Program                       | 8        | 0                                | 8                   |
| VA Medical Services   | 3        | 0                                | 3                   |
| Employer Provided Health Insurance                              | 16       | 0                                | 15                  |
| Health Insurance Through COBRA                                  | 0        | 0                                | 0                   |
| Private Pay Health Insurance                                    | 20       | 6                                | 20                  |
| State Health Insurance for Adults                               | 2        | 0                                | 1                   |
| Indian Health Services Program                                  | 0        | 0                                | 0                   |
| Other   | 2        | 0                                | 2                   |
| No Health Insurance   | 73       | 6                                | 36                  |
| Client Doesn't Know/Client Refused                              | 0        | 0                                | 0                   |
| Data Not Collected  | 66       | 0                                | 78                  |
| Number of Stayers Not Yet Required to Have an Annual Assessment | 0        | 288                              | 0                   |
| 1 Source of Health Insurance                                    | 604      | 31                               | 338                 |
| More than 1 Source of Health Insurance                          | 21       | 0                                | 19                  |

## Q22a2: Length of Participation - ESG Projects

|                                | Total | Leavers | Stayers |
|--------------------------------|-------|---------|---------|
| 0 to 7 days                    | 201   | 185     | 6       |
| 8 to 14 days                   | 28    | 18      | 11      |
| 15 to 21 days                  | 33    | 27      | 6       |
| 22 to 30 days                  | 25    | 18      | 6       |
| 31 to 60 days                  | 67    | 32      | 35      |
| 61 to 90 days                  | 45    | 16      | 30      |
| 91 to 180 days                 | 76    | 29      | 48      |
| 181 to 365 days                | 198   | 55      | 143     |
| 366 to 730 days (1-2 Yrs)      | 168   | 72      | 34      |
| 731 to 1,095 days (2-3 Yrs)    | 0     | 0       | 0       |
| 1,096 to 1,460 days (3-4 Yrs)  | 0     | 0       | 0       |
| 1,461 to 1,825 days (4-5 Yrs)  | 0     | 0       | 0       |
| More than 1,825 days (> 5 Yrs) | 0     | 0       | 0       |
| Data Not Collected             | 0     | 0       | 0       |
| Total                          | 782   | 482     | 320     |

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Q21c: RRH Length of Time between Project Start Date and Housing Move-in Date

|                           | Total | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|---------------------------|-------|------------------|--------------------------|--------------------|------------------------|
| 7 days or less            | 30    | 5                | 25                       | 0                  | 0                      |
| 8 to 14 days              | 78    | 7                | 71                       | 0                  | 0                      |
| 15 to 21 days             | 94    | 5                | 89                       | 0                  | 0                      |
| 22 to 30 days             | 101   | 0                | 92                       | 0                  | 0                      |
| 31 to 60 days             | 108   | 10               | 98                       | 0                  | 0                      |
| 61 to 180 days            | 29    | 3                | 26                       | 0                  | 0                      |
| 181 to 365 days           | 0     | 0                | 0                        | 0                  | 0                      |
| 366 to 730 days (1-2 Yrs) | 0     | 0                | 0                        | 0                  | 0                      |
| Data Not Collected        | 14    | 4                | 10                       | 0                  | 0                      |
| Total                     | 452   | 43               | 409                      | 0                  | 0                      |

Q21d: Length of Participation by Household Type

|                                | Total | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|--------------------------------|-------|------------------|--------------------------|--------------------|------------------------|
| 7 days or less                 | 201   | 99               | 144                      | 2                  | 0                      |
| 8 to 14 days                   | 29    | 18               | 13                       | 0                  | 0                      |
| 15 to 21 days                  | 33    | 27               | 6                        | 0                  | 0                      |
| 22 to 30 days                  | 25    | 18               | 8                        | 0                  | 0                      |
| 31 to 60 days                  | 97    | 24               | 40                       | 3                  | 0                      |
| 61 to 90 days                  | 45    | 17               | 28                       | 0                  | 0                      |
| 91 to 180 days                 | 76    | 26               | 52                       | 0                  | 0                      |
| 181 to 365 days                | 196   | 16               | 182                      | 0                  | 0                      |
| 366 to 730 days (1-2 Yrs)      | 106   | 11               | 95                       | 0                  | 0                      |
| 731 to 1,095 days (2-3 Yrs)    | 0     | 0                | 0                        | 0                  | 0                      |
| 1,096 to 1,460 days (3-4 Yrs)  | 0     | 0                | 0                        | 0                  | 0                      |
| 1,461 to 1,825 days (4-5 Yrs)  | 0     | 0                | 0                        | 0                  | 0                      |
| More than 1,826 days (> 5 Yrs) | 0     | 0                | 0                        | 0                  | 0                      |
| Data Not Collected             | 0     | 0                | 0                        | 0                  | 0                      |
| Total                          | 782   | 211              | 569                      | 5                  | 0                      |

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## Q23a: Exit Destination – More Than 90 Days

|   | Total          | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|---|----------------|------------------|--------------------------|--------------------|------------------------|
| <b>Permanent Destinations</b>   |                |                  |                          |                    |                        |
| Moved from one HOPWA funded project to HOPWA PH   | 0              | 0                | 0                        | 0                  | 0                      |
| Owned by client, no ongoing housing subsidy   | 0              | 0                | 0                        | 0                  | 0                      |
| Owned by client, with ongoing housing subsidy   | 0              | 0                | 0                        | 0                  | 0                      |
| Rental by client, no ongoing housing subsidy  | 75             | 8                | 89                       | 0                  | 0                      |
| Rental by client, with VASH housing subsidy   | 0              | 0                | 0                        | 0                  | 0                      |
| Rental by client, with GPD T/P housing subsidy  | 0              | 0                | 0                        | 0                  | 0                      |
| Rental by client, with other ongoing housing subsidy  | 0              | 0                | 0                        | 0                  | 0                      |
| Permanent housing (other than RPH) for formerly homeless persons  | 2              | 2                | 0                        | 0                  | 0                      |
| Staying or living with family, permanent tenure   | 6              | 0                | 6                        | 0                  | 0                      |
| Staying or living with friends, permanent tenure  | 0              | 0                | 0                        | 0                  | 0                      |
| Rental by client with RPH or equivalent subsidy   | 0              | 0                | 0                        | 0                  | 0                      |
| <b>Subtotal</b>   | <b>0</b>       | <b>0</b>         | <b>0</b>                 | <b>0</b>           | <b>0</b>               |
| <b>Temporary Destinations</b>   |                |                  |                          |                    |                        |
| Emergency shelter, including hotel or motel paid for with emergency shelter voucher   | 1              | 1                | 0                        | 0                  | 0                      |
| Moved from one HOPWA funded project to HOPWA TH   | 0              | 0                | 0                        | 0                  | 0                      |
| Transitional housing for homeless persons (including homeless youth)  | 0              | 0                | 0                        | 0                  | 0                      |
| Staying or living with family, temporary tenure (e.g. room, apartment or house)   | 6              | 0                | 6                        | 0                  | 0                      |
| Staying or living with friends, temporary tenure (e.g. room, apartment or house)  | 0              | 0                | 0                        | 0                  | 0                      |
| Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside) | 0              | 0                | 0                        | 0                  | 0                      |
| Safe Haven  | 0              | 0                | 0                        | 0                  | 0                      |
| Hotel or motel paid for without emergency shelter voucher   | 0              | 0                | 0                        | 0                  | 0                      |
| <b>Subtotal</b>   | <b>0</b>       | <b>0</b>         | <b>0</b>                 | <b>0</b>           | <b>0</b>               |
| <b>Institutional Settings</b>   |                |                  |                          |                    |                        |
| Foster care home or group foster care home  | 0              | 0                | 0                        | 0                  | 0                      |
| Psychiatric hospital or other psychiatric facility  | 0              | 0                | 0                        | 0                  | 0                      |
| Substance abuse treatment facility or detox center  | 0              | 0                | 0                        | 0                  | 0                      |
| Hospital or other residential non-psychiatric medical facility  | 0              | 0                | 0                        | 0                  | 0                      |
| Jail, prison, or juvenile detention facility  | 0              | 0                | 0                        | 0                  | 0                      |
| Long-term care facility or nursing home   | 0              | 0                | 0                        | 0                  | 0                      |
| <b>Subtotal</b>   | <b>0</b>       | <b>0</b>         | <b>0</b>                 | <b>0</b>           | <b>0</b>               |
| <b>Other Destinations</b>   |                |                  |                          |                    |                        |
| Residential project or halfway house with no homeless criteria  | 0              | 0                | 0                        | 0                  | 0                      |
| Deceased  | 0              | 0                | 0                        | 0                  | 0                      |
| Other   | 0              | 0                | 0                        | 0                  | 0                      |
| Client Doesn't Know/Client Refused  | 0              | 0                | 0                        | 0                  | 0                      |
| Data Not Collected (no exit interview completed)  | 33             | 3                | 30                       | 0                  | 0                      |
| <b>Subtotal</b>   | <b>33</b>      | <b>3</b>         | <b>30</b>                | <b>0</b>           | <b>0</b>               |
| <b>Total</b>  | <b>134</b>     | <b>12</b>        | <b>122</b>               | <b>0</b>           | <b>0</b>               |
| Total persons exiting to positive housing destinations  | 92             | 8                | 84                       | 0                  | 0                      |
| Total persons whose destinations excluded them from the calculation   | 0              | 0                | 0                        | 0                  | 0                      |
| <b>Percentage</b>   | <b>69.00 %</b> | <b>66.67 %</b>   | <b>68.85 %</b>           | <b>-</b>           | <b>-</b>               |

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## Q23b: Exit Destination – 90 Days or Less

|   | Total     | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|---|-----------|------------------|--------------------------|--------------------|------------------------|
| <b>Permanent Destinations</b>   |           |                  |                          |                    |                        |
| Moved from one HOPWA funded project to HOPWA PH   | 0         | 0                | 0                        | 0                  | 0                      |
| Owned by client, no ongoing housing subsidy   | 0         | 0                | 0                        | 0                  | 0                      |
| Owned by client, with ongoing housing subsidy   | 1         | 1                | 0                        | 0                  | 0                      |
| Rental by client, no ongoing housing subsidy  | 3         | 0                | 3                        | 0                  | 0                      |
| Rental by client, with VASH housing subsidy   | 0         | 0                | 0                        | 0                  | 0                      |
| Rental by client, with GPD TIP housing subsidy  | 0         | 0                | 0                        | 0                  | 0                      |
| Rental by client, with other ongoing housing subsidy  | 1         | 1                | 0                        | 0                  | 0                      |
| Permanent housing (other than RRH) for formerly homeless persons  | 0         | 0                | 0                        | 0                  | 0                      |
| Staying or living with family, permanent tenure   | 0         | 0                | 0                        | 0                  | 0                      |
| Staying or living with friends, permanent tenure  | 0         | 0                | 0                        | 0                  | 0                      |
| Rental by client, with RRH or equivalent subsidy  | 0         | 0                | 0                        | 0                  | 0                      |
| <b>Subtotal</b>   | <b>0</b>  | <b>0</b>         | <b>0</b>                 | <b>0</b>           | <b>0</b>               |
| <b>Temporary Destinations</b>   |           |                  |                          |                    |                        |
| Emergency shelter, including hotel or motel paid for with emergency shelter voucher   | 0         | 0                | 0                        | 0                  | 0                      |
| Moved from one HOPWA funded project to HOPWA TH   | 0         | 0                | 0                        | 0                  | 0                      |
| Transitional housing for homeless persons (including homeless youth)  | 0         | 0                | 0                        | 0                  | 0                      |
| Staying or living with family, temporary tenure (e.g. room, apartment or house)   | 0         | 0                | 0                        | 0                  | 0                      |
| Staying or living with friends, temporary tenure (e.g. room, apartment or house)  | 0         | 0                | 0                        | 0                  | 0                      |
| Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside) | 0         | 0                | 0                        | 0                  | 0                      |
| Safe Haven  | 0         | 0                | 0                        | 0                  | 0                      |
| Hotel, or motel paid for without emergency shelter voucher  | 0         | 0                | 0                        | 0                  | 0                      |
| <b>Subtotal</b>   | <b>0</b>  | <b>0</b>         | <b>0</b>                 | <b>0</b>           | <b>0</b>               |
| <b>Institutional Settings</b>   |           |                  |                          |                    |                        |
| Foster care home or group foster care home  | 0         | 0                | 0                        | 0                  | 0                      |
| Psychiatric hospital or other psychiatric facility  | 0         | 0                | 0                        | 0                  | 0                      |
| Substance abuse treatment facility or detox center  | 0         | 0                | 0                        | 0                  | 0                      |
| Hospital or other residential non-psychiatric medical facility  | 0         | 0                | 0                        | 0                  | 0                      |
| Jail, prison, or juvenile detention facility  | 0         | 0                | 0                        | 0                  | 0                      |
| Long-term care facility or nursing home   | 0         | 0                | 0                        | 0                  | 0                      |
| <b>Subtotal</b>   | <b>0</b>  | <b>0</b>         | <b>0</b>                 | <b>0</b>           | <b>0</b>               |
| <b>Other Destinations</b>   |           |                  |                          |                    |                        |
| Residential project or halfway house with no homeless criteria  | 0         | 0                | 0                        | 0                  | 0                      |
| Deceased  | 2         | 0                | 2                        | 0                  | 0                      |
| Other   | 0         | 0                | 0                        | 0                  | 0                      |
| Client Doesn't Know/Client Refused  | 0         | 0                | 0                        | 0                  | 0                      |
| Data Not Collected (no exit interview completed)  | 5         | 0                | 5                        | 0                  | 0                      |
| <b>Subtotal</b>   | <b>7</b>  | <b>0</b>         | <b>7</b>                 | <b>0</b>           | <b>0</b>               |
| <b>Total</b>  | <b>12</b> | <b>2</b>         | <b>10</b>                | <b>0</b>           | <b>0</b>               |
| Total persons exiting to positive housing destinations  | 5         | 2                | 3                        | 0                  | 0                      |
| Total persons whose destinations excluded them from the calculation   | 2         | 0                | 2                        | 0                  | 0                      |
| Percentage  | 50.00 %   | 100.00 %         | 37.50 %                  | -                  | -                      |

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## Q23c: Exit Destination – All persons

|   | Total          | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|---|----------------|------------------|--------------------------|--------------------|------------------------|
| <b>Permanent Destinations</b>   |                |                  |                          |                    |                        |
| Moved from one HOPWA funded project to HOPWA PH   | 0              | 0                | 0                        | 0                  | 0                      |
| Owned by client, no ongoing housing subsidy   | 0              | 0                | 0                        | 0                  | 0                      |
| Owned by client, with ongoing housing subsidy   | 2              | 2                | 0                        | 0                  | 0                      |
| Rental by client, no ongoing housing subsidy  | 184            | 48               | 105                      | 1                  | 0                      |
| Rental by client, with VASH housing subsidy   | 0              | 0                | 0                        | 0                  | 0                      |
| Rental by client, with GPO TIP housing subsidy  | 0              | 0                | 0                        | 0                  | 0                      |
| Rental by client, with other ongoing housing subsidy  | 73             | 23               | 50                       | 0                  | 0                      |
| Permanent housing (other than RPH) for formerly homeless persons  | 2              | 2                | 0                        | 0                  | 0                      |
| Staying or living with family, permanent tenure   | 1              | 1                | 0                        | 0                  | 0                      |
| Staying or living with friends, permanent tenure  | 1              | 1                | 0                        | 0                  | 0                      |
| Rental by client, with RPH or equivalent subsidy  | 0              | 0                | 0                        | 0                  | 0                      |
| <b>Subtotal</b>   | <b>0</b>       | <b>0</b>         | <b>0</b>                 | <b>0</b>           | <b>0</b>               |
| <b>Temporary Destinations</b>   |                |                  |                          |                    |                        |
| Emergency shelter, including hotel or motel paid for with emergency shelter voucher   | 32             | 31               | 0                        | 1                  | 0                      |
| Moved from one HOPWA funded project to HOPWA TH   | 0              | 0                | 0                        | 0                  | 0                      |
| Transitional housing for homeless persons (including homeless youth)  | 0              | 0                | 0                        | 0                  | 0                      |
| Staying or living with family, temporary tenure (e.g. room, apartment or house)   | 3              | 2                | 0                        | 1                  | 0                      |
| Staying or living with friends, temporary tenure (e.g. room, apartment or house)  | 3              | 2                | 0                        | 1                  | 0                      |
| Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside) | 1              | 1                | 0                        | 0                  | 0                      |
| Safe Haven  | 0              | 0                | 0                        | 0                  | 0                      |
| Hotel or motel paid for without emergency shelter voucher   | 0              | 0                | 0                        | 0                  | 0                      |
| <b>Subtotal</b>   | <b>0</b>       | <b>0</b>         | <b>0</b>                 | <b>0</b>           | <b>0</b>               |
| <b>Institutional Settings</b>   |                |                  |                          |                    |                        |
| Foster care home or group foster care home  | 0              | 0                | 0                        | 0                  | 0                      |
| Psychiatric hospital or other psychiatric facility  | 0              | 0                | 0                        | 0                  | 0                      |
| Substance abuse treatment facility or detox center  | 0              | 0                | 0                        | 0                  | 0                      |
| Hospital or other residential non-psychiatric medical facility  | 3              | 3                | 0                        | 0                  | 0                      |
| Jail, prison, or juvenile detention facility  | 4              | 4                | 0                        | 0                  | 0                      |
| Long-term care facility or nursing home   | 0              | 0                | 0                        | 0                  | 0                      |
| <b>Subtotal</b>   | <b>0</b>       | <b>0</b>         | <b>0</b>                 | <b>0</b>           | <b>0</b>               |
| <b>Other Destinations</b>   |                |                  |                          |                    |                        |
| Residential project or halfway house with no homeless criteria  | 0              | 0                | 0                        | 0                  | 0                      |
| Deceased  | 0              | 0                | 0                        | 0                  | 0                      |
| Other   | 4              | 4                | 0                        | 0                  | 0                      |
| Client (Case) Not Known/Client Refused  | 2              | 2                | 0                        | 0                  | 0                      |
| Data Not Collected (no exit interview completed)  | 22             | 21               | 0                        | 1                  | 0                      |
| <b>Subtotal</b>   | <b>26</b>      | <b>27</b>        | <b>0</b>                 | <b>1</b>           | <b>0</b>               |
| <b>Total</b>  | <b>318</b>     | <b>156</b>       | <b>155</b>               | <b>5</b>           | <b>0</b>               |
| Total persons exiting to positive housing destinations  | 32             | 76               | 3                        | 4                  | 0                      |
| Total persons whose destinations excluded them from the calculation   | 3              | 3                | 0                        | 0                  | 0                      |
| <b>Percentage</b>   | <b>28.20 %</b> | <b>49.02 %</b>   | <b>1.94 %</b>            | <b>80.00 %</b>     | <b>--</b>              |

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## Q24: Homelessness Prevention Housing Assessment at Exit

|   | Total | Without Children | With Children and Adults | With Only Children | Unknown House Type |
|---|-------|------------------|--------------------------|--------------------|--------------------|
| Able to maintain the housing they had at project start--Without a subsidy                                     | 17    | 3                | 14                       | 0                  | 0                  |
| Able to maintain the housing they had at project start--With the subsidy they had at project start            | 5     | 0                | 5                        | 0                  | 0                  |
| Able to maintain the housing they had at project start--With an on-going subsidy acquired since project start | 0     | 0                | 0                        | 0                  | 0                  |
| Able to maintain the housing they had at project start--Only with financial assistance other than a subsidy   | 0     | 0                | 0                        | 0                  | 0                  |
| Moved to new housing unit--With on-going subsidy  | 0     | 0                | 0                        | 0                  | 0                  |
| Moved to new housing unit--Without an on-going subsidy  | 0     | 0                | 0                        | 0                  | 0                  |
| Moved in with family/friends on a temporary basis   | 0     | 0                | 0                        | 0                  | 0                  |
| Moved in with family/friends on a permanent basis   | 0     | 0                | 0                        | 0                  | 0                  |
| Moved to a transitional or temporary housing facility or program  | 0     | 0                | 0                        | 0                  | 0                  |
| Client became homeless--moving to a shelter or other place unfit for human habitation                         | 0     | 0                | 0                        | 0                  | 0                  |
| Client went to jail/prison  | 0     | 0                | 0                        | 0                  | 0                  |
| Client died   | 0     | 0                | 0                        | 0                  | 0                  |
| Client doesn't know/Client refused  | 0     | 0                | 0                        | 0                  | 0                  |
| Data not collected (no exit interview completed)  | 170   | 43               | 133                      | 0                  | 0                  |
| Total   | 198   | 46               | 152                      | 0                  | 0                  |

## Q25a: Number of Veterans

|                                    | Total | Without Children | With Children and Adults | Unknown Household Type |
|------------------------------------|-------|------------------|--------------------------|------------------------|
| Chronically Homeless Veteran       | 2     | 2                | 0                        | 0                      |
| Non-Chronically Homeless Veteran   | 16    | 13               | 3                        | 0                      |
| Not a Veteran                      | 398   | 198              | 202                      | 0                      |
| Client Doesn't Know/Client Refused | 0     | 0                | 0                        | 0                      |
| Data Not Collected                 | 0     | 0                | 0                        | 0                      |
| Total                              | 416   | 213              | 205                      | 0                      |

## Q25b: Number of Chronically Homeless Persons by Household

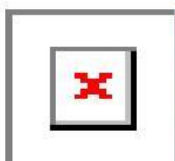
|                                    | Total | Without Children | With Children and Adults | With Only Children | Unknown Household Type |
|------------------------------------|-------|------------------|--------------------------|--------------------|------------------------|
| Chronically Homeless               | 31    | 22               | 9                        | 0                  | 0                      |
| Not Chronically Homeless           | 708   | 150              | 554                      | 4                  | 0                      |
| Client Doesn't Know/Client Refused | 4     | 2                | 2                        | 0                  | 0                      |
| Data Not Collected                 | 39    | 37               | 1                        | 1                  | 0                      |
| Total                              | 782   | 211              | 566                      | 5                  | 0                      |

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# PR 26 Financial Summary Report



Office of Community Planning and Development  
U.S. Department of Housing and Urban Development  
Integrated Disbursement and Information System  
PR26 - CDBG Financial Summary Report  
Program Year 2016  
MONROE COUNTY, NY

DATE: 10-25-17  
TIME: 11:23  
PAGE: 1

## PART I: SUMMARY OF CDBG RESOURCES

|   |              |
|---|--------------|
| 01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR  | 2,567,720.00 |
| 02 ENTITLEMENT GRANT                                      | 1,651,379.00 |
| 03 SURPLUS URBAN RENEWAL                                  | 0.00         |
| 04 SECTION 108 GUARANTEED LOAN FUNDS                      | 0.00         |
| 05 CURRENT YEAR PROGRAM INCOME                            | 461,440.43   |
| 05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE) | 0.00         |
| 06 FUNDS RETURNED TO THE LINE-OF-CREDIT                   | 0.00         |
| 06a FUNDS RETURNED TO THE LOCAL CDBG ACCOUNT              | 0.00         |
| 07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE                  | 0.00         |
| 08 TOTAL AVAILABLE (SUM, LINES 01-07)                     | 4,680,539.43 |

## PART II: SUMMARY OF CDBG EXPENDITURES

|  |              |
|--|--------------|
| 09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION | 1,477,226.85 |
| 10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT               | 0.00         |
| 11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)                       | 1,477,226.85 |
| 12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION                               | 413,074.20   |
| 13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS                                | 0.00         |
| 14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES                                    | 0.00         |
| 15 TOTAL EXPENDITURES (SUM, LINES 11-14)                                       | 1,890,301.05 |
| 16 UNEXPENDED BALANCE (LINE 08 - LINE 15)                                      | 2,790,238.38 |

## PART III: LOW/MOD BENEFIT THIS REPORTING PERIOD

|  |              |
|--|--------------|
| 17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS | 0.00         |
| 18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING       | 0.00         |
| 19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES        | 1,475,361.85 |
| 20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT    | 0.00         |
| 21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)       | 1,475,361.85 |
| 22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)      | 99.87%       |

## LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

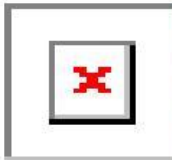
|   |                  |
|---|------------------|
| 23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION                         | PY: 2016 PY: PY: |
| 24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION | 0.00             |
| 25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS                 | 0.00             |
| 26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)               | 0.00%            |

## PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

|   |              |
|---|--------------|
| 27 DISBURSED IN IDIS FOR PUBLIC SERVICES                        | 275,039.25   |
| 28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR   | 41,853.38    |
| 29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR  | 65,392.16    |
| 30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS                   | 0.00         |
| 31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30) | 251,500.47   |
| 32 ENTITLEMENT GRANT  | 1,651,379.00 |
| 33 PRIOR YEAR PROGRAM INCOME                                    | 330,168.90   |
| 34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP                | 0.00         |
| 35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)                   | 1,981,547.90 |
| 36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)  | 12.69%       |

## PART V: PLANNING AND ADMINISTRATION (PA) CAP

|   |              |
|---|--------------|
| 37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION                | 413,074.20   |
| 38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR   | 23,667.00    |
| 39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR  | 33,073.51    |
| 40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS                   | 0.00         |
| 41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 + LINE 40) | 403,667.69   |
| 42 ENTITLEMENT GRANT  | 1,651,379.00 |
| 43 CURRENT YEAR PROGRAM INCOME                                  | 461,440.43   |
| 44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP                | 0.00         |
| 45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)                   | 2,112,819.43 |
| 46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)  | 19.11%       |



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LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17

| Plan Year | IDIS Project | IDIS Activity | Voucher Number | Activity Name     | Matrix Code | National Objective | Target Area Type | Drawn Amount |
|-----------|--------------|---------------|----------------|-------------------|-------------|--------------------|------------------|--------------|
| 2013      | 34           | 3610          | 5957341        | Proway Management | 14I         | LMH                | CDFI area        | \$550.00     |
| 2013      | 34           | 3610          | 5961727        | Proway Management | 14I         | LMH                | CDFI area        | \$275.00     |
| 2013      | 34           | 3610          | 5970947        | Proway Management | 14I         | LMH                | CDFI area        | \$275.00     |
| 2013      | 34           | 3610          | 5974821        | Proway Management | 14I         | LMH                | CDFI area        | \$550.00     |
| 2013      | 34           | 3610          | 5992357        | Proway Management | 14I         | LMH                | CDFI area        | \$215.00     |
|           |              |               |                |                   | 14I         | Matrix Code        |                  | \$1,865.00   |
| Total     |              |               |                |                   |             |                    |                  | \$1,865.00   |

LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18

Report returned no data.

LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19

| Plan Year | IDIS Project | IDIS Activity | Voucher Number | Activity Name   | Matrix Code | National Objective | Drawn Amount |
|-----------|--------------|---------------|----------------|---|-------------|--------------------|--------------|
| 2014      | 27           | 3740          | 5984503        | Pittsford Village Hall ADA Improvements                         | 03          | LMC                | \$13,260.00  |
|           |              |               |                |   | 03          | Matrix Code        | \$13,260.00  |
| 2014      | 25           | 3762          | 5984503        | Village Hilton/Hilton Community Center upgrade                  | 03E         | LMC                | \$20,850.00  |
| 2015      | 17           | 3909          | 6015630        | T/Ogden Library ADA Door Opener                                 | 03E         | LMA                | \$19,000.00  |
| 2015      | 21           | 3940          | 5974821        | ADA Amplification System Town of Rush                           | 03E         | LMC                | \$4,610.30   |
| 2016      | 6            | 4020          | 6064996        | Town of Rush ADA Library Doors                                  | 03E         | LMC                | \$5,350.00   |
|           |              |               |                |   | 03E         | Matrix Code        | \$49,810.30  |
| 2014      | 18           | 3829          | 5953250        | T/Perinton RS&E HikeWay/Bikeway Trail Phase II                  | 03F         | LMC                | \$27,800.00  |
| 2015      | 18           | 3954          | 6056337        | VPW Lodge ADA Accessibility Town of Parma                       | 03F         | LMC                | \$11,463.13  |
| 2015      | 20           | 3926          | 5987736        | RS&E HikeWay/Bikeway Trail Phase III Town of Perinton           | 03F         | LMC                | \$32,000.00  |
| 2015      | 22           | 3925          | 6001025        | Sweden Town Park ADA Parking project                            | 03F         | LMA                | \$24,000.00  |
|           |              |               |                |   | 03F         | Matrix Code        | \$95,263.13  |
| 2015      | 16           | 3830          | 5953250        | T/Henrietta sewer relining of calkins road & rayne drive        | 03J         | LMA                | \$32,000.00  |
| 2015      | 23           | 3906          | 5970947        | Village of Brockport West Avenue WaterMain Replacement Phase II | 03J         | LMA                | \$40,000.00  |
| 2016      | 1            | 4031          | 6035977        | T Brighton Doncaster Rd & Southland Dr Sanitary Sewer Relining  | 03J         | LMA                | \$40,000.00  |
|           |              |               |                |   | 03J         | Matrix Code        | \$112,000.00 |
| 2013      | 9            | 3714          | 5953250        | T/Hamlin Hamlin Town Line Rd Sidewalk Loop                      | 03L         | LMA                | \$18,659.91  |
| 2014      | 17           | 3763          | 6042884        | Town of Penfield/Empire Blvd Sidewalk Improvements              | 03L         | LMA                | \$34,750.00  |
| 2015      | 24           | 3907          | 5992357        | Village of Churchville Newman Riga Public Library ADA Sidewalk  | 03L         | LMC                | \$8,313.00   |
| 2016      | 13           | 4000          | 6042884        | Village of Webster Kittleberger Park Sidewalk                   | 03L         | LMA                | \$64,305.00  |
|           |              |               |                |   | 03L         | Matrix Code        | \$126,027.91 |
| 2015      | 7            | 3840          | 5961727        | Lifespan of Greater Rochester                                   | 05A         | LMC                | \$8,105.13   |
| 2016      | 14           | 3984          | 6015630        | Lifespan Safety and Security for Seniors                        | 05A         | LMC                | \$7,241.97   |
| 2016      | 14           | 3984          | 6035977        | Lifespan Safety and Security for Seniors                        | 05A         | LMC                | \$7,892.93   |
| 2016      | 14           | 3984          | 6045673        | Lifespan Safety and Security for Seniors                        | 05A         | LMC                | \$7,368.15   |
|           |              |               |                |   | 05A         | Matrix Code        | \$30,608.18  |
| 2016      | 21           | 3991          | 6045673        | Lifetime Assistance Classified Shredding Services               | 05B         | LMC                | \$22,705.00  |
|           |              |               |                |   | 05B         | Matrix Code        | \$22,705.00  |
| 2015      | 6            | 3833          | 5966311        | Volunteers of America Working Wardrobe Program                  | 05H         | LMC                | \$5,427.50   |
| 2016      | 15           | 4010          | 6015630        | PICD- Work Pays   | 05H         | LMC                | \$4,750.00   |
| 2016      | 15           | 4010          | 6045735        | PICD- Work Pays   | 05H         | LMC                | \$2,375.00   |
| 2016      | 16           | 3985          | 6042884        | VOA Working Wardrobe/Wardrobe on Wheels                         | 05H         | LMC                | \$5,645.00   |
|           |              |               |                |   | 05H         | Matrix Code        | \$18,197.50  |
| 2013      | 39           | 3903          | 5961727        | Travis Gordon Analysis of Impediments                           | 05J         | LMC                | \$4,000.00   |
| 2014      | 36           | 3764          | 6035977        | Anne S. Peterson Fair Housing Action Plan Phase 2 Year 1        | 05J         | LMC                | \$7,900.00   |



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|-----------|--------------|---------------|----------------|--|-------------|--------------------|--------------|
|           |              |               |                |  | 05J         | Matrix Code        | \$11,900.00  |
| 2015      | 5            | 3852          | 5957341        | The Housing Council@Pathstone Expanding Housing Opportunities    | 05K         | LMC                | \$9,090.00   |
| 2016      | 18           | 4001          | 6015630        | The Housing Council at Pathstone Expanding Housing Opportunities | 05K         | LMC                | \$9,381.05   |
| 2016      | 18           | 4001          | 6024176        | The Housing Council at Pathstone Expanding Housing Opportunities | 05K         | LMC                | \$9,574.68   |
| 2016      | 18           | 4001          | 6042884        | The Housing Council at Pathstone Expanding Housing Opportunities | 05K         | LMC                | \$10,086.29  |
| 2016      | 18           | 4001          | 6045673        | The Housing Council at Pathstone Expanding Housing Opportunities | 05K         | LMC                | \$5,507.33   |
|           |              |               |                |  | 05K         | Matrix Code        | \$43,639.35  |
| 2015      | 8            | 3851          | 5957341        | Housing Council @ Pathstone Homeownership Program                | 05R         | LMH                | \$13,817.00  |
|           |              |               |                |  | 05R         | Matrix Code        | \$13,817.00  |
| 2015      | 4            | 3850          | 5957341        | Housing Council @Pathstone Foreclosure Prevention                | 05U         | LMC                | \$24,953.00  |
| 2016      | 19           | 3990          | 6001025        | Housing Council - Foreclosure Prevention                         | 05U         | LMC                | \$16,820.85  |
| 2016      | 19           | 3990          | 6024176        | Housing Council - Foreclosure Prevention                         | 05U         | LMC                | \$15,817.84  |
| 2016      | 19           | 3990          | 6042884        | Housing Council - Foreclosure Prevention                         | 05U         | LMC                | \$15,026.94  |
| 2016      | 19           | 3990          | 6045673        | Housing Council - Foreclosure Prevention                         | 05U         | LMC                | \$3,213.39   |
| 2016      | 20           | 3989          | 6001025        | Housing Council - Homeownership                                  | 05U         | LMC                | \$18,417.51  |
| 2016      | 20           | 3989          | 6036414        | Housing Council - Homeownership                                  | 05U         | LMC                | \$12,731.37  |
| 2016      | 20           | 3989          | 6042884        | Housing Council - Homeownership                                  | 05U         | LMC                | \$22,419.00  |
| 2016      | 20           | 3989          | 6045673        | Housing Council - Homeownership                                  | 05U         | LMC                | \$4,772.32   |
|           |              |               |                |  | 05U         | Matrix Code        | \$134,172.22 |
| 2011      | 13           | 4037          | 6035977        | 1621 Spencerport Road  | 14A         | LMH                | \$19,975.00  |
| 2012      | 20           | 4038          | 6035977        | 144 Farnsworth Road North  | 14A         | LMH                | \$19,450.00  |
| 2013      | 33           | 4039          | 6035977        | 30 Blue Ridge Trail  | 14A         | LMH                | \$9,237.00   |
| 2013      | 33           | 4044          | 6036414        | 331 South Avenue   | 14A         | LMH                | \$12,696.00  |
| 2013      | 33           | 4046          | 6036414        | 11 Revere Drive  | 14A         | LMH                | \$19,593.00  |
| 2014      | 2            | 3963          | 5953250        | 6 Hannibal Place   | 14A         | LMH                | \$19,232.00  |
| 2014      | 2            | 3967          | 5953250        | 44 Ford Avenue   | 14A         | LMH                | \$6,938.00   |
| 2014      | 2            | 3969          | 5957341        | 2818 Buffalo Road  | 14A         | LMH                | \$20,000.00  |
| 2014      | 2            | 3973          | 5970947        | 949 West Linden Avenue   | 14A         | LMH                | \$14,380.00  |
| 2014      | 2            | 3974          | 5980316        | 19 Doris Lane  | 14A         | LMH                | \$10,000.00  |
| 2014      | 2            | 3975          | 5980316        | 23 Doris Lane  | 14A         | LMH                | \$10,000.00  |
| 2014      | 2            | 3977          | 5987736        | 774 Clover Street  | 14A         | LMH                | \$14,999.00  |
| 2014      | 2            | 3978          | 5987736        | 120 Sandy Creek Road   | 14A         | LMH                | \$13,410.75  |
| 2014      | 2            | 3979          | 5992357        | 1063 Peck Road   | 14A         | LMH                | \$12,587.00  |
| 2014      | 2            | 3982          | 5992357        | 276 Shorewood Drive  | 14A         | LMH                | \$20,000.00  |
| 2014      | 2            | 3983          | 5992357        | 4553 Sweden Walker Road  | 14A         | LMH                | \$20,000.00  |
| 2014      | 2            | 3986          | 5997038        | 256 Howard Road  | 14A         | LMH                | \$18,733.72  |
| 2014      | 2            | 4003          | 6005308        | 298 Amherst Drive  | 14A         | LMH                | \$20,000.00  |
| 2014      | 2            | 4004          | 6005308        | 1551 Harris Road   | 14A         | LMH                | \$19,550.00  |
| 2014      | 2            | 4011          | 6015630        | 5839 Riga Center Road Lot #1A                                    | 14A         | LMH                | \$9,458.00   |
| 2014      | 2            | 4012          | 6015630        | 1531 Buffalo Road Lot# 17  | 14A         | LMH                | \$10,000.00  |
| 2014      | 2            | 4013          | 6015630        | 68 Reddick Lane  | 14A         | LMH                | \$14,769.00  |
| 2014      | 2            | 4014          | 6015630        | 368 Surrey Hill Way  | 14A         | LMH                | \$14,685.00  |
| 2014      | 2            | 4015          | 6015630        | 928 Plank Road   | 14A         | LMH                | \$9,701.00   |
| 2014      | 2            | 4015          | 6045673        | 928 Plank Road   | 14A         | LMH                | \$5,075.00   |
| 2014      | 2            | 4016          | 6015630        | 30 Mandy Lane  | 14A         | LMH                | \$20,000.00  |
| 2014      | 2            | 4017          | 6015630        | 105 Elvira Street  | 14A         | LMH                | \$16,928.32  |
| 2014      | 2            | 4018          | 6015630        | 181 Ardella Street   | 14A         | LMH                | \$14,988.00  |
| 2014      | 2            | 4026          | 6024176        | 42 Elderberry Circle   | 14A         | LMH                | \$9,950.00   |
| 2014      | 2            | 4027          | 6024176        | 339 Main Street  | 14A         | LMH                | \$20,000.00  |
| 2014      | 2            | 4045          | 6036414        | 1531 Buffalo Road Lot# 16  | 14A         | LMH                | \$9,900.00   |
| 2014      | 2            | 4047          | 6036414        | 48 Harold Avenue   | 14A         | LMH                | \$7,320.00   |
| 2014      | 2            | 4049          | 6042884        | 7 Alice Lane   | 14A         | LMH                | \$10,000.00  |





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|-----------|--------------|---------------|----------------|---|-------------|--------------------|----------------|
| 2014      | 2            | 4050          | 6042884        | 44 Applan Drive   | 14A         | LMH                | \$14,423.00    |
| 2014      | 2            | 4051          | 6042884        | 128 Colonnade Drive   | 14A         | LMH                | \$20,000.00    |
| 2014      | 2            | 4053          | 6045673        | 116 Park Avenue   | 14A         | LMH                | \$12,469.00    |
| 2014      | 2            | 4070          | 6047340        | 44 Applan Drive   | 14A         | LMH                | \$5,000.00     |
| 2015      | 13           | 4054          | 6045673        | 14 Willis Road  | 14A         | LMH                | \$19,697.00    |
| 2015      | 13           | 4055          | 6045673        | 41 Loderdale Road   | 14A         | LMH                | \$19,850.00    |
| 2015      | 13           | 4056          | 6045673        | 988 Broomley Road   | 14A         | LMH                | \$7,298.00     |
| 2015      | 13           | 4057          | 6045673        | 140 Auburn Avenue   | 14A         | LMH                | \$14,444.00    |
| 2015      | 13           | 4058          | 6045673        | 1742 Hiron Parma Corners # 18                               | 14A         | LMH                | \$9,900.00     |
| 2015      | 13           | 4059          | 6045673        | 291 Bennett Road  | 14A         | LMH                | \$19,990.00    |
| 2015      | 13           | 4060          | 6045673        | 65 Coronet Road   | 14A         | LMH                | \$16,500.00    |
| 2015      | 13           | 4060          | 6064996        | 65 Coronet Road   | 14A         | LMH                | \$3,500.00     |
| 2015      | 13           | 4061          | 6045673        | 33 Wallman Drive  | 14A         | LMH                | \$9,000.00     |
| 2015      | 13           | 4062          | 6045673        | 19 Sebastian Drive  | 14A         | LMH                | \$9,052.00     |
| 2015      | 13           | 4063          | 6045673        | 6174 Lake Road  | 14A         | LMH                | \$11,000.00    |
| 2015      | 13           | 4064          | 6045673        | 26 Jasmine Drive  | 14A         | LMH                | \$14,000.00    |
| 2015      | 13           | 4065          | 6045673        | 23 Gennis Drive   | 14A         | LMH                | \$10,000.00    |
| 2015      | 13           | 4066          | 6045673        | 19 Blossomdale Circle                                       | 14A         | LMH                | \$20,000.00    |
| 2015      | 13           | 4067          | 6045673        | 121 Pine Street   | 14A         | LMH                | \$14,999.00    |
| 2015      | 13           | 4068          | 6045673        | 9 Jackson Place   | 14A         | LMH                | \$6,500.00     |
| 2015      | 13           | 4071          | 6047340        | 68 Reddick Lane   | 14A         | LMH                | \$4,923.00     |
| 2015      | 13           | 4072          | 6047340        | 116 Park Avenue   | 14A         | LMH                | \$4,156.00     |
| 2015      | 13           | 4073          | 6047340        | 140 Auburn Avenue   | 14A         | LMH                | \$4,814.00     |
| 2015      | 13           | 4078          | 6064996        | 898 Ridge Road Lot # 4                                      | 14A         | LMH                | \$9,900.00     |
|           |              |               |                |   | 14A         | Matrix Code        | \$754,970.79   |
| 2015      | 35           | 3921          | 6035977        | Program Delivery- HIP                                       | 14H         | LMC                | \$3,689.70     |
| 2016      | 26           | 3981          | 5992357        | Program Delivery Planning                                   | 14H         | LMH                | \$15,000.00    |
| 2016      | 27           | 3988          | 5997038        | HIP - Program Delivery                                      | 14H         | LMC                | \$3,142.54     |
| 2016      | 27           | 3988          | 6024176        | HIP - Program Delivery                                      | 14H         | LMC                | \$5,123.23     |
|           |              |               |                |   | 14H         | Matrix Code        | \$26,955.47    |
| 2012      | 41           | 3970          | 5992357        | Proway Management Corp HIP Lead Based Paint Testing Service | 14I         | LMH                | \$885.00       |
| 2012      | 41           | 3970          | 6001025        | Proway Management Corp HIP Lead Based Paint Testing Service | 14I         | LMH                | \$825.00       |
| 2012      | 41           | 3970          | 6015630        | Proway Management Corp HIP Lead Based Paint Testing Service | 14I         | LMH                | \$1,375.00     |
| 2012      | 41           | 3970          | 6024176        | Proway Management Corp HIP Lead Based Paint Testing Service | 14I         | LMH                | \$275.00       |
| 2012      | 41           | 3970          | 6035977        | Proway Management Corp HIP Lead Based Paint Testing Service | 14I         | LMH                | \$275.00       |
| 2012      | 41           | 3970          | 6036414        | Proway Management Corp HIP Lead Based Paint Testing Service | 14I         | LMH                | \$1,100.00     |
| 2012      | 41           | 3970          | 6042884        | Proway Management Corp HIP Lead Based Paint Testing Service | 14I         | LMH                | \$775.00       |
| 2012      | 41           | 3970          | 6045673        | Proway Management Corp HIP Lead Based Paint Testing Service | 14I         | LMH                | \$1,250.00     |
| 2012      | 41           | 3970          | 6060457        | Proway Management Corp HIP Lead Based Paint Testing Service | 14I         | LMH                | \$275.00       |
|           |              |               |                |   | 14I         | Matrix Code        | \$7,035.00     |
| 2016      | 25           | 3980          | 5992357        | Program Delivery ED   | 18B         | LMCSV              | \$15,000.00    |
|           |              |               |                |   | 18B         | Matrix Code        | \$15,000.00    |
| Total     |              |               |                |   |             |                    | \$1,475,361.85 |

LINE 27 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 27



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|-----------|--------------|---------------|----------------|--|-------------|--------------------|--------------|
| 2015      | 7            | 3840          | 5961727        | Lifespan of Greater Rochester                                    | 05A         | LMC                | \$8,105.13   |
| 2016      | 14           | 3984          | 6015630        | Lifespan Safety and Security for Seniors                         | 05A         | LMC                | \$7,241.97   |
| 2016      | 14           | 3984          | 6035977        | Lifespan Safety and Security for Seniors                         | 05A         | LMC                | \$7,892.93   |
| 2016      | 14           | 3984          | 6045673        | Lifespan Safety and Security for Seniors                         | 05A         | LMC                | \$7,368.15   |
|           |              |               |                |  | 05A         | Matrix Code        | \$30,608.18  |
| 2016      | 21           | 3991          | 6045673        | Lifetime Assistance Classified Shredding Services                | 05B         | LMC                | \$22,705.00  |
|           |              |               |                |  | 05B         | Matrix Code        | \$22,705.00  |
| 2015      | 6            | 3833          | 5966311        | Volunteers of America Working Wardrobe Program                   | 05H         | LMC                | \$5,427.50   |
| 2016      | 15           | 4010          | 6015630        | PICD- Work Pays  | 05H         | LMC                | \$4,750.00   |
| 2016      | 15           | 4010          | 6045735        | PICD- Work Pays  | 05H         | LMC                | \$2,375.00   |
| 2016      | 16           | 3985          | 6042884        | VOA Working Wardrobe/Wardrobe on Wheels                          | 05H         | LMC                | \$5,645.00   |
|           |              |               |                |  | 05H         | Matrix Code        | \$18,197.50  |
| 2013      | 39           | 3903          | 5961727        | Travis Gordon Analysis of Impediments                            | 05J         | LMC                | \$4,000.00   |
| 2014      | 36           | 3764          | 6035977        | Anne S. Peterson Fair Housing Action Plan Phase 2 Year 1         | 05J         | LMC                | \$7,900.00   |
|           |              |               |                |  | 05J         | Matrix Code        | \$11,900.00  |
| 2015      | 5            | 3852          | 5957341        | The Housing Council@Pathstone Expanding Housing Opportunities    | 05K         | LMC                | \$9,090.00   |
| 2016      | 18           | 4001          | 6015630        | The Housing Council at Pathstone Expanding Housing Opportunities | 05K         | LMC                | \$9,381.05   |
| 2016      | 18           | 4001          | 6024176        | The Housing Council at Pathstone Expanding Housing Opportunities | 05K         | LMC                | \$9,574.68   |
| 2016      | 18           | 4001          | 6042884        | The Housing Council at Pathstone Expanding Housing Opportunities | 05K         | LMC                | \$10,086.29  |
| 2016      | 18           | 4001          | 6045673        | The Housing Council at Pathstone Expanding Housing Opportunities | 05K         | LMC                | \$5,507.33   |
|           |              |               |                |  | 05K         | Matrix Code        | \$43,639.35  |
| 2015      | 8            | 3851          | 5957341        | Housing Council @ Pathstone Homeownership Program                | 05R         | LMH                | \$13,817.00  |
|           |              |               |                |  | 05R         | Matrix Code        | \$13,817.00  |
| 2015      | 4            | 3850          | 5957341        | Housing Council @Pathstone Foreclosure Prevention                | 05U         | LMC                | \$24,953.00  |
| 2016      | 19           | 3990          | 6001025        | Housing Council - Foreclosure Prevention                         | 05U         | LMC                | \$16,820.85  |
| 2016      | 19           | 3990          | 6024176        | Housing Council - Foreclosure Prevention                         | 05U         | LMC                | \$15,817.84  |
| 2016      | 19           | 3990          | 6042884        | Housing Council - Foreclosure Prevention                         | 05U         | LMC                | \$15,026.94  |
| 2016      | 19           | 3990          | 6045673        | Housing Council - Foreclosure Prevention                         | 05U         | LMC                | \$3,213.39   |
| 2016      | 20           | 3989          | 6001025        | Housing Council - Homeownership                                  | 05U         | LMC                | \$18,417.51  |
| 2016      | 20           | 3989          | 6036414        | Housing Council - Homeownership                                  | 05U         | LMC                | \$12,731.37  |
| 2016      | 20           | 3989          | 6042884        | Housing Council - Homeownership                                  | 05U         | LMC                | \$22,419.00  |
| 2016      | 20           | 3989          | 6045673        | Housing Council - Homeownership                                  | 05U         | LMC                | \$4,772.32   |
|           |              |               |                |  | 05U         | Matrix Code        | \$134,172.22 |
| Total     |              |               |                |  |             |                    | \$275,039.25 |

LINE 37 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 37

| Plan Year | IDIS Project | IDIS Activity | Voucher Number | Activity Name               | Matrix Code | National Objective | Drawn Amount |
|-----------|--------------|---------------|----------------|-----------------------------|-------------|--------------------|--------------|
| 2015      | 10           | 3845          | 5961734        | CDBG Administration         | 21A         |                    | \$4,228.48   |
| 2015      | 10           | 3845          | 5961737        | CDBG Administration         | 21A         |                    | \$5,189.15   |
| 2016      | 24           | 3976          | 5984503        | Community Development Admin | 21A         |                    | \$29,343.24  |
| 2016      | 24           | 3976          | 5997038        | Community Development Admin | 21A         |                    | \$70,990.12  |
| 2016      | 24           | 3976          | 6015630        | Community Development Admin | 21A         |                    | \$72,035.86  |
| 2016      | 24           | 3976          | 6024176        | Community Development Admin | 21A         |                    | \$19,345.38  |
| 2016      | 24           | 3976          | 6036414        | Community Development Admin | 21A         |                    | \$37,682.49  |
| 2016      | 24           | 3976          | 6042884        | Community Development Admin | 21A         |                    | \$72,931.66  |
| 2016      | 24           | 3976          | 6045735        | Community Development Admin | 21A         |                    | \$101,327.82 |
|           |              |               |                |                             | 21A         | Matrix Code        | \$413,074.20 |
| Total     |              |               |                |                             |             |                    | \$413,074.20 |

## Section 3 Report



### Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons  
U.S. Department of Housing and Urban Development  
Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043  
(exp. 11/30/2018)

|   |
|---|
| <b>Disbursement Agency</b>                    |
| COUNTY OF MONROE                              |
| 39 WEST MAIN ST ROOM 110, ROCHESTER, NY 14614 |
| 16-6002563                                    |

|   |
|---|
| <b>Reporting Entity</b>                       |
| COUNTY OF MONROE                              |
| 39 WEST MAIN ST ROOM 110, ROCHESTER, NY 14614 |

|                              |                  |
|------------------------------|------------------|
| <b>Dollar Amount</b>         | \$1,556,387.99   |
| <b>Contact Person</b>        | Richard Mikiciuk |
| <b>Date Report Submitted</b> | 10/25/2016       |

| Reporting Period |            | Program Area Code | Program Area Name            |
|------------------|------------|-------------------|------------------------------|
| From             | To         |                   |                              |
| 08/01/2015       | 07/31/2016 | CDB1              | Community Devel Block Grants |

**Part I: Employment Training**

| <b>Job Category</b>     | <b>Number of New Hires</b> | <b>Number of New Hires that Are Sec. 3 Residents</b> | <b>Aggregate Number of Staff Hours Worked</b> | <b>Total Staff Hours for Section 3 Employees</b> | <b>Number of Section 3 Trainees</b> |
|-------------------------|----------------------------|--|---|--|-------------------------------------|
| Facilities /Maintenance | 7                          | 0  | 0   | 0  | 0                                   |
| Professional            | 11                         | 1  | 0   | 0  | 0                                   |
| Craft Workers Skilled   | 3                          | 0  | 0   | 0  | 0                                   |
| Operator                | 59                         | 26   | 0   | 0  | 0                                   |
| Line Lead               | 5                          | 0  | 0   | 0  | 0                                   |
| Hygiene                 | 4                          | 4  | 0   | 0  | 0                                   |
| Housekeeping            | 1                          | 1  | 0   | 0  | 0                                   |

|  |               |
|--|---------------|
| <b>Total New Hires</b>                                     | <b>90</b>     |
| <b>Section 3 New Hires</b>                                 | <b>32</b>     |
| <b>Percent Section 3 New Hires</b>                         | <b>35.55%</b> |
| <b>Total Section 3 Trainees</b>                            | <b>0</b>      |
| The minimum numerical goal for Section 3 new hires is 30%. |               |



## Part II: Contracts Awarded

| <b>Construction Contracts</b>  |                |
|--|----------------|
| Total dollar amount of construction contracts awarded                          | \$1,283,892.80 |
| Total dollar amount of contracts awarded to Section 3 businesses               | \$0.00         |
| Percentage of the total dollar amount that was awarded to Section 3 businesses | 0.0%           |
| Total number of Section 3 businesses receiving construction contracts          | 0              |
| The minimum numerical goal for Section 3 construction opportunities is 10%.    |                |

| <b>Non-Construction Contracts</b>   |              |
|---|--------------|
| Total dollar amount of all non-construction contracts awarded                     | \$425,721.50 |
| Total dollar amount of non-construction contracts awarded to Section 3 businesses | \$0.00       |
| Percentage of the total dollar amount that was awarded to Section 3 businesses    | 0.0%         |
| Total number of Section 3 businesses receiving non-construction contracts         | 0            |
| The minimum numerical goal for Section 3 non-construction opportunities is 3%.    |              |

### Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing.

|     |  |
|-----|--|
| Yes | Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods. |
| Yes | Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.   |
| Yes | Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.  |
| No  | Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.  |
| No  | Other; describe below.   |

Coordinated with RochesterWorks, Rochester Housing Authority and other local employment agencies to assist contractors with finding Section 3 residents as applicants for available jobs. Working with City of Rochester, Rochester Housing Authority, RochesterWorks, Urban League of Rochester and others to develop coordinated Section 3 resources in the Rochester /Monroe County community.



### Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons  
**U.S. Department of Housing and Urban Development**  
 Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043  
 (exp. 11/30/2018)

|   |
|---|
| <b>Disbursement Agency</b>                    |
| COUNTY OF MONROE                              |
| 39 WEST MAIN ST ROOM 110, ROCHESTER, NY 14614 |
| 16-6002563                                    |

|   |
|---|
| <b>Reporting Entity</b>                       |
| COUNTY OF MONROE                              |
| 39 WEST MAIN ST ROOM 110, ROCHESTER, NY 14614 |

|                              |                  |
|------------------------------|------------------|
| <b>Dollar Amount</b>         | \$892,360.48     |
| <b>Contact Person</b>        | Richard Mikiciuk |
| <b>Date Report Submitted</b> | 10/25/2016       |

| Reporting Period |            | Program Area Code | Program Area Name |
|------------------|------------|-------------------|-------------------|
| From             | To         |                   |                   |
| 08/01/2015       | 07/31/2016 | HOME              | HOME Program      |

### Part I: Employment Training

| Job Category      | Number of New Hires | Number of New Hires that Are Sec. 3 Residents | Aggregate Number of Staff Hours Worked | Total Staff Hours for Section 3 Employees | Number of Section 3 Trainees |
|-------------------|---------------------|---|--|---|------------------------------|
| Carpentry         | 5                   | 1   | 0                                      | 0   | 8                            |
| Drywall Finishers | 5                   | 1   | 0                                      | 0   | 2                            |
| Masonry           | 0                   | 0   | 0                                      | 0   | 2                            |
| Laborer           | 3                   | 3   | 0                                      | 0   | 1                            |

|  |               |
|--|---------------|
| <b>Total New Hires</b>                                     | <b>13</b>     |
| <b>Section 3 New Hires</b>                                 | <b>5</b>      |
| <b>Percent Section 3 New Hires</b>                         | <b>38.46%</b> |
| <b>Total Section 3 Trainees</b>                            | <b>13</b>     |
| The minimum numerical goal for Section 3 new hires is 30%. |               |

## Part II: Contracts Awarded

| <b>Construction Contracts</b>  |                |
|--|----------------|
| Total dollar amount of construction contracts awarded                          | \$1,009,948.00 |
| Total dollar amount of contracts awarded to Section 3 businesses               | \$0.00         |
| Percentage of the total dollar amount that was awarded to Section 3 businesses | 0.0%           |
| Total number of Section 3 businesses receiving construction contracts          | 0              |
| The minimum numerical goal for Section 3 construction opportunities is 10%.    |                |

| <b>Non-Construction Contracts</b>   |             |
|---|-------------|
| Total dollar amount of all non-construction contracts awarded                     | \$42,500.00 |
| Total dollar amount of non-construction contracts awarded to Section 3 businesses | \$0.00      |
| Percentage of the total dollar amount that was awarded to Section 3 businesses    | 0.0%        |
| Total number of Section 3 businesses receiving non-construction contracts         | 0           |
| The minimum numerical goal for Section 3 non-construction opportunities is 3%.    |             |

### Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing.

|     |  |
|-----|--|
| Yes | Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods. |
| Yes | Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.   |
| Yes | Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.  |
| No  | Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.  |
| No  | Other; describe below.   |

Coordinated with RochesterWorks, Rochester Housing Authority and other local employment agencies to assist contractors with finding Section 3 residents as applicants for available jobs. Working with City of Rochester, Rochester Housing Authority, RochesterWorks, Urban League of Rochester and others to develop coordinated Section 3 resources in the Rochester /Monroe County community.

# Loan Portfolio

CDBG Economic Development Loan Portfolio  
for Program Year 8/1/2016 - 7/31/2017

| Active Loans                            |                              |            |               |       |              |          |               |                 |              |                     |              |   |                       |
|---|------------------------------|------------|---------------|-------|--------------|----------|---------------|-----------------|--------------|---------------------|--------------|---|-----------------------|
|   | Company                      | Issue Date | Loan to Grant | Staff | Loan Amount  | Term     | Interest Rate | Monthly Payment | Balance      | Payment Status      | Jobs at App. | Job Creation Requirements                     | Job Creation Status   |
| 1                                       | Qualicoat (Loan #2)          | 11/01/97   | No            | JS    | \$185,000.00 | 10 years | 3.50%         | \$ 1,258.74     | \$ 20,847.11 | Current             | 71           | 6 new   | 13 of 6 jobs created  |
| 2                                       | Cropo's, Inc.                | 01/01/06   | No            | MD    | \$60,000.00  | 5years   | 5.25%         | \$ 299.65       | \$ 10,974.42 | Current             | 40           | 5 new (5 low to mod)                          | 5 of 5 jobs created   |
| 3                                       | Whitney Baird Associates LLC | 04/20/11   | No            | RS    | \$100,000.00 | 7 years  | 1.00%         | \$ 1,233.12     | \$ 8,603.57  | Current             | 155          | 16 New full time positions by end of 7 years  | 16 of 16 jobs created |
| 4                                       | Retrotech                    | 09/16/14   | No            | JM    | \$200,000.00 | 5years   | 2.00%         | \$ 3,505.55     | \$ 85,768.21 | Current             | 50           | 9 full time jobs created over three years     | 9 of 9 jobs created   |
| 5                                       | Normal Communications        | 12/31/09   | No            | RS    | \$100,000.00 | 5 years  | 1.25%         | \$ 1,730.91     | \$ 1,729.11  | Preparing close out | 17           | 3 low to mod.                                 | 3 of 3 jobs created   |
| 6                                       | Richards & West              | 08/24/11   | No            | LB    | \$57,600.00  | 5 years  | 1.25%         | \$ 990.81       | \$ 3,953.08  | Refinancing         | 67           | 4 new   | 4 of 4 jobs created   |
| 7                                       | Rochester Collision          | 02/24/99   | No            | MM    | \$60,000.00  | 6 years  | 5.50%         | \$ -            | \$ -         | Paid off            | 0            | 3 new   | 3 of 3 jobs created   |
| 8                                       | New Vision/Rid-Lom           | 10/25/95   | No            | JS    | \$190,000.00 | 7 years  | 6.00%         | \$ -            | \$ -         | Paid off            | 0            | 6 new   | 12 of 6 jobs created  |
| 9                                       | 384 East Avenue Inn, LLC     | 07/27/11   | No            | JS    | \$100,000.00 | 7 years  | 2.25%         | \$ -            | \$ -         | Paid off            | 9            | 6 to be created over three years              | 6 of 6 jobs created   |
| 10                                      | 545 Colfax, Inc.             | 04/01/11   | No            | RS    | \$50,000.00  | 3years   | 1.25%         | \$ -            | \$ -         | Paid off            | 18           | 40 new  | 40 of 40 jobs created |
| 11                                      | eHealth Global Technologies  | 08/12/11   | No            | RS    | \$50,000.00  | 5 years  | 1.25%         | \$ -            | \$ -         | Paid off            | 31           | 4 new jobs over the next five years           | 4 of 4 jobs created   |
| 12                                      | Monroe County Sports Centre  | 07/29/14   | No            | JM    | \$450,000.00 | 5 years  | 4.00%         | \$ -            | \$ -         | Paid Off            | 22           | 14 new jobs to be created during term of loan | 14 of 14 jobs created |
| Total Committed and Balance Outstanding |                              |            |               |       | \$807,600.00 |          |               | \$ 9,018.78     | \$131,875.50 |                     |              |   |                       |
|   |                              |            |               |       |              |          |               |                 |              |                     |              |   |                       |
|   |                              |            |               |       |              |          |               |                 |              |                     |              |   |                       |
|   |                              |            |               |       |              |          |               |                 |              |                     |              |   |                       |

# Affordable Housing Monitoring Report

## HOME Monitoring and Inspection report Program Year August 1, 2016 - July 31, 2017

| Project Name                       | Developer        | Total units | HOME units | Affordability Period | Date  | Notes   |
|------------------------------------|------------------|-------------|------------|----------------------|-------|---|
| ADA Ridge I                        | PathStone        | 49          | 49         | 30 Yrs               | 8/29  | 10 files reviewed and 10 units inspected. 48 units leased at time of monitoring.  |
| ADA Ridge II                       | PathStone        | 45          | 11         | 50 Yrs               | 8/29  | 11 files reviewed and 11 units inspected. 44 units leased at time of monitoring.  |
| Arwood                             | Providence       | 33          | 33         | 40 Yrs               | 8/10  | 7 files reviewed and 7 units inspected. All 33 units leased at the time of monitoring. Minor health and safety matters (resolved by property management)  |
| Briarwood Place                    | PathStone        | 32          | 32         | 20 Yrs               | 10/4  | 8 files reviewed and 8 units inspected. 31 units leased at the time of monitoring (4 units provided move-out notice). Minor health and safety issues and repairs required. (Addressed by property management and repairs are in process). |
| Canal Place                        | PathStone        | 40          | 40         | 30 Yrs               | 8/29  | 8 files reviewed and 8 units inspected. 38 units were leased at the time of monitoring. Minor repairs required (repaired by property management with completed work order in file).   |
| CDS Lonesome Road                  | CDS              | 6           | 6          | 20 Yrs               | 10/20 | SRO (All units monitored and inspected). All units leased at the time of monitoring. No issues found. *bi-annual inspection   |
| CDS Monarch-Holt Road              | CDS              | 45          | 25         | 30 Yrs               | 9/5   | 5 files reviewed and 5 units inspected. All units leased at time of monitoring visit. No issues found.  |
| CDS Monarch-Hard Road              | CDS              | 50          | 11         | 30 Yrs               | 10/6  | 6 files reviewed and 6 inspected. All units leased at time of monitoring visit. No issues found.  |
| CDS Turk Hill                      | CDS              | 6           | 6          | 20 Yrs               | 10/20 | SRO (All units monitored and inspected). All units leased at the time of monitoring. No issues found. *bi-annual inspection   |
| Cobblestone Place                  | Conifer          | 60          | 60         | 30 Yrs               |       | NEW-  |
| Crerand Commons I (Elmgrove Place) | PathStone        | 48          | 48         | 30 Yrs               | 10/10 | 10 files reviewed and 10 units inspected. 47 units leased at the time of monitoring. Minor housekeeping and repairs required. (Addressed by property management and repairs completed)  |
| Crerand Commons II                 | PathStone        | 33          | 10         | 30 Yrs               |       | NEW-  |
| Crosman                            | Baldwin RE       | 21          | 21         | 20 Yrs               | 10/28 | 4 files reviewed and 4 units inspected. All 21 units leased at time of monitoring visit. No issues found. *bi-annual inspection   |
| Elliott's Landing                  | PathStone        | 14          | 14         | 30 Yrs               | 10/27 | 3 files reviewed and 3 units inspected. 13 units leased at the time of monitoring. Minor repairs required (property management completed) * bi-annual inspection  |
| Fairport Apartments (FAI)          | F/P Sr. Liv Coun | 104         | 8          | 50 Yrs               | 9/28  | 8 files reviewed and 8 units inspected. 103 units were leased at the time of monitoring. No issues found. *some tenants exceed new rent limits, were grandfathered under new requirements.  |
| Gardens at Town Center             | Home Leasing     | 176         | 8          | 30 Yrs               | 10/11 | 8 files reviewed and 8 units inspected. 176 units were leased at the time of monitoring. No issues found.   |

## HOME Monitoring and Inspection report Program Year August 1, 2016 - July 31, 2017

|                                    |                    |    |    |        |       |   |
|------------------------------------|--------------------|----|----|--------|-------|---|
| Goose Landing I                    | Home Leasing       | 20 | 4  | 40 Yrs | 6/8   | 4 files reviewed and 4 units inspected. All 19 units leased at time of monitoring visit. No issues found.   |
| Goose Landing II                   | ULREDC             | 20 | 19 | 30 Yrs | 6/8   | 4 files reviewed and 4 units inspected. All 19 units leased at time of monitoring visit. No issues found.   |
| HCS Westside Drive                 | Heritage C S       | 12 | 11 | 30 Yrs | 6/1   | SRO (All units monitored and inspected). All units leased at the time of monitoring. Recertification for income not conducted (corrected with documentation in file). *bi-annual inspection |
| HCS Winton Road                    | Heritage C S       | 6  | 6  | 20 Yrs | 6/1   | SRO (All units monitored and inspected). All units leased at the time of monitoring. Recertification for income not conducted (corrected with documentation in file) *bi-annual inspection  |
| Hilton Park                        | Rochester Regional | 69 | 28 | 30 Yrs | 11/10 | 6 units monitored and inspected. All units were leased at time of monitoring. No issues found.  |
| Hobie Creek                        | PathStone          | 64 | 64 | 30 Yrs | 10/10 | 13 files reviewed and 13 units inspected. All units were leased at the time of monitoring. Minor repairs required (property management completed with completed work orders in file)        |
| Hope Gardens                       | PathStone          | 10 | 10 | 25 Yrs |       | NEW-  |
| Jefferson Park                     | F/P Sr. Liv Coun   | 69 | 6  | 30 Yrs | 11/18 | 6 units monitored and inspected. All units were leased at time of monitoring. No issues found.  |
| Kathryn Gardens                    | YWCA               | 12 | 11 | 30 Yrs | 11/15 | 3 units monitored and inspected. All units were leased at time of monitoring  |
| LAI Buffalo Road                   | Lifetime Assist    | 10 | 10 | 20 Yrs | 6/22  | SRO (All units monitored and inspected). All units leased at the time of monitoring. No issues found. *bi-annual inspection   |
| LAI Hamlin (E Fork Lake Road)      | Lifetime Assist    | 10 | 10 | 15 Yrs |       | NEW-  |
| LAI Holley Street                  | Lifetime Assist    | 3  | 4  | 15 Yrs | 6/21  | SRO (All units monitored and inspected). All units leased at the time of monitoring. No issues found. *bi-annual inspection   |
| LAI Island Cottage                 | Lifetime Assist    | 7  | 7  | 20 Yrs | 6/22  | SRO (All units monitored and inspected). All units leased at the time of monitoring. No issues found. *bi-annual inspection   |
| LAI North Greece                   | Lifetime Assist    | 8  | 8  | 20 Yrs | 6/22  | SRO (All units monitored and inspected). All units leased at the time of monitoring. No issues found. *bi-annual inspection   |
| LAI South Avenue                   | Lifetime Assist    | 8  | 10 | 20 Yrs | 6/21  | SRO (All units monitored and inspected). All units leased at the time of monitoring. No issues found. *bi-annual inspection   |
| LAI Weiland Woods                  | Lifetime Assist    | 6  | 11 | 20 Yrs | 6/22  | SRO (All units monitored and inspected). All units leased at the time of monitoring. No issues found. *bi-annual inspection   |
| LAI Windsor Woods (Hobbes/Norwich) | Lifetime Assist    | 6  | 6  | 40 Yrs | 6/22  | SRO (All units monitored and inspected). All units leased at the time of monitoring. No issues found. *bi-annual inspection   |



**HOME Monitoring and Inspection report  
Program Year August 1, 2016 - July 31, 2017**

|                             |                        |     |     |        |       |   |
|-----------------------------|------------------------|-----|-----|--------|-------|---|
| Long Pond Senior            | Cornerstone Management | 54  | 11  | 30 Yrs |       | NEW-  |
| Northfield Enriched Housing | Northfield Housing Dev | 69  | 14  | 30 Yrs | 11/30 | 7 files reviewed and 7 units inspected. All 33 units leased at the time of monitoring.  |
| Ogden Gardens               | Home Leasing           | 89  | 28  | 30 Yrs | 10/11 | 6 files reviewed and 6 units inspected. 88 units leased at time of monitoring. Minor repairs required. (property management completed).   |
| Rockwood Center             | Episcopal SrLife       | 40  | 20  | 50 Yrs | 8/9   | 4 files reviewed and 4 units inspected. All 40 units leased at time of monitoring. No issues found.   |
| Rose Hollow                 | F/P Sr. Liv Co         | 12  | 11  | 20 Yrs | 6/23  | 3 files reviewed and 3 units inspected. All 12 units leased at the time of monitoring. Minor repairs required (property management completed) * bi-annual inspection  |
| Seldon Square I             | PathStone              | 30  | 30  | 30 Yrs | 10/5  | 6 files reviewed and 6 units inspected. 28 units leased at time of monitoring. Minor repairs required. (Addressed by property management with completed work order in file).  |
| Seldon Square II            | PathStone              | 36  | 36  | 30 Yrs | 10/5  | 6 files reviewed and 6 units inspected. 35 units leased at time of monitoring. Minor repairs required. (Addressed by property management with completed work orders in file).   |
| Seneca Place                | Conifer                | 40  | 11  | 30 Yrs | 11/16 | 8 files reviewed and 3 units inspected. All units leased at time of monitoring. No issues found.  |
| St. Andrews                 | Providence             | 12  | 12  | 20 Yrs | 10/17 | 3 files reviewed and 3 inspected. All units leased at time of monitoring.   |
| St. Salome's                | Providence             | 45  | 11  | 50 Yrs | 8/11  | 2 files reviewed and 2 units inspected. 44 units leased at time of monitoring. Minor repairs required. (Addressed by property management with completed work orders in file).   |
| Stonewood Village           | WinnCo                 | 188 | 168 | 30 Yrs | 11/16 | 33 senior apartment files reviewed and inspected and 33 family unit files reviewed and inspected. Minor repairs required and housekeeping issues. (addressed by property management with completed work orders in file) |
| Union Meadows II            | Providence             | 42  | 42  | 50 Yrs | 10/16 | day of monitoring. Housekeeping and minor repairs required. (Addressed by property management with completed work orders in file).  |
| Union Meadows I             | Providence             | 48  | 48  | 20 Yrs | 10/16 | 9 files reviewed and 9 units inspected. All units leased at time of monitoring. Minor repairs required and housekeeping issues. (Addressed by property management with completed work order in file).                   |
| Union Park                  | Providence             | 50  | 50  | 20 Yrs | 10/16 | 9 files reviewed and 9 units inspected. All units leased at time of monitoring. Minor repairs required and housekeeping issues. (Addressed by property management with completed work order in file).                   |
| West Town Village           | Providence             | 59  | 59  | 30 Yrs | 8/9   | delay in units being leased up. Minor repairs required. (Addressed by property management with completed work orders in file).  |